

Phone: 608-266-2112 Web: http://dsps.wi.gov Email: dsps@wisconsin.gov

Tony Evers, Governor Dan Hereth, Secretary

VIRTUAL/TELECONFERENCE COSMETOLOGY EXAMINING BOARD

Virtual, 4822 Madison Yards Way, Madison Contact: Adam Barr (608) 266-2112 August 29, 2022

The following agenda describes the issues that the Board plans to consider at the meeting. At the time of the meeting, items may be removed from the agenda. Please consult the meeting minutes for a record of the actions of the Board.

AGENDA

9:30 AM

OPEN SESSION - CALL TO ORDER - ROLL CALL

- A. Adoption of Agenda (1-3)
- B. Approval of Minutes of June 13, 2022 (4-6)
- C. Introductions, Announcements and Recognition Discussion and Consideration
- D. Reminders: Conflicts of Interest, Scheduling Concerns
- E. APPEARANCE: Keith Buckhout & Matt Shafer, The Council of State Governments
 Cosmetology Licensure Compact Review of Model Legislation (7-51)
- F. Administrative Matters Discussion and Consideration
 - 1) Department, Staff, and Board Updates
 - 2) Board Members Term Expiration Dates
 - a. Cwojdzinski, Kayla M. 7/1/2024
 - b. Fazel, Charity-Faith 7/1/2024
 - c. Halverson, Georgianna 7/1/2023
 - d. Hoeppner, Ann M. -7/1/2023
 - e. Jackson, Megan A. -7/1/2023
 - f. Lee, Kristin N. -7/1/2023
 - g. Quintal, Daisy L. -7/1/2023
- G. Barbering and Cosmetology Apprenticeships Discussion and Consideration
- H. Legislative and Policy Matters Discussion and Consideration
- I. Report and Possible Action Resulting from the Cosmetology Examining Board Scope of Practice Committee Discussion and Consideration (52-54)
- J. Administrative Rule Matters Discussion and Consideration (55)
 - 1) Cos 2, 3, 5, & 8, Relating to Scope of Practice, Mobile Practice, Distance Learning

- 2) Pending and Possible Rulemaking Projects
- K. COVID-19 Discussion and Consideration
- L. Deliberation on Items Added After Preparation of Agenda
 - 1) Introductions, Announcements and Recognition
 - 2) Election of Officers, Appointment of Liaison(s), Delegation of Authorities
 - 3) Administrative Matters
 - 4) Education and Examination Matters
 - 5) Credentialing Matters
 - 6) Practice Matters
 - 7) Legislative and Policy Matters
 - 8) Administrative Rule Matters
 - 9) Liaison Reports
 - 10) Board Liaison Training and Appointment of Mentors
 - 11) Informational Items
 - 12) Division of Legal Services and Compliance (DLSC) Matters
 - 13) Presentations of Petitions for Summary Suspension
 - 14) Petitions for Designation of Hearing Examiner
 - 15) Presentation of Stipulations, Final Decisions and Orders
 - 16) Presentation of Stipulations and Interim Orders
 - 17) Presentation of Proposed Final Decision and Orders
 - 18) Presentation of Interim Orders
 - 19) Petitions for Re-Hearing
 - 20) Petitions for Assessments
 - 21) Petitions to Vacate Orders
 - 22) Requests for Disciplinary Proceeding Presentations
 - 23) Motions
 - 24) Petitions
 - 25) Appearances from Requests Received or Renewed
 - 26) Speaking Engagement(s), Travel, or Public Relation Request(s)

M. Public Comments

CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.); to consider licensure or certification of individuals (s. 19.85(1)(b), Stats.); to consider closing disciplinary investigations with administrative warnings (ss. 19.85 (1)(b), and 440.205, Stats.); to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.); and to confer with legal counsel (s. 19.85(1)(g), Stats.).

- N. Deliberation on Division of Legal Services and Compliance (DLSC) Matters
 - 1) Case Closings
 - a) 19 BAC 001, 19 BAC 080 B.M.U.C. (**56-64**)
 - b) 20 BAC 074 F.N. **(65-70)**
 - 2) Proposed Stipulation(s), Final Decision(s) and Order(s)
 - a) 20 BAC 074 Jonathan Vu (**71-77**)
- O. Deliberation on Matters Relating to Costs/Orders Fixing Costs
 - 1) Kim Bui and TLC Nails & Spa, LLC, Respondents DHA Case Number SPS-21-0073/DLSC Case Number 18 BAC 003 (78-95)
- P. Deliberation of Items Added After Preparation of the Agenda

- 1) Education and Examination Matters
- 2) Credentialing Matters
- 3) DLSC Matters
- 4) Monitoring Matters
- 5) Professional Assistance Procedure (PAP) Matters
- 6) Petitions for Summary Suspension
- 7) Petitions for Designation of Hearing Examiner
- 8) Proposed Stipulations, Final Decision(s) and Order(s)
- 9) Proposed Final Decision(s) and Order(s) of Default
- 10) Proposed Interim Orders
- 11) Administrative Warnings
- 12) Review of Administrative Warnings
- 13) Proposed Final Decisions and Orders
- 14) Orders Fixing Costs/Matters Related to Costs
- 15) Case Closings
- 16) Board Liaison Training
- 17) Petitions for Assessments and Evaluations
- 18) Petitions to Vacate Orders
- 19) Remedial Education Cases
- 20) Motions
- 21) Petitions for Re-hearings
- 22) Appearances from Requests Received or Renewed
- Q. Consulting with Legal Counsel

RECONVENE TO OPEN SESSION IMMEDIATELY FOLLOWING CLOSED SESSION

- R. Vote on Items Considered or Deliberated Upon in Closed Session, if Voting is Appropriate
- S. Open Session Items Noticed Above Not Completed in the Initial Open Session

ADJOURNMENT

NEXT MEETING: OCTOBER 31, 2022

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC, AND MAY BE CANCELLED WITHOUT NOTICE.

Times listed for meeting items are approximate and depend on the length of discussion and voting. All meetings are held virtually unless otherwise indicated. In-person meetings are typically conducted at 4822 Madison Yards Way, Madison, Wisconsin, unless an alternative location is listed on the meeting notice. In order to confirm a meeting or to request a complete copy of the board's agenda, please visit the Department website at https:\\dsps.wi.gov. The board may also consider materials or items filed after the transmission of this notice. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. Requests for interpreters for the hard of hearing, or other accommodations, are considered upon request by contacting the Affirmative Action Officer at 608-266-2112, or the Meeting Staff at 608-266-5439.

COSMETOLOGY EXAMINING BOARD MEETING MINUTES JUNE 13, 2022

PRESENT: Kayla Cwojdzinski (via Zoom), Charity-Faith Fazel, Georgianna Halverson, Ann

Hoeppner (via Zoom), Megan Jackson, Daisy Quintal

EXCUSED: Kristin Lee

STAFF: Adam Barr, Executive Director; Joseph Ricker, Legal Counsel; Dana Denny,

Administrative Rule Coordinator; Kimberly Wood, Program Assistant Supervisor-

Adv.; and other Department staff.

CALL TO ORDER

Megan Jackson, Chairperson, called the meeting to order at 9:35 a.m. A quorum was confirmed with six (6) members present.

ADOPTION OF AGENDA

MOTION: Daisy Quintal moved, seconded by Georgianna Halverson, to adopt the

Agenda as published. Motion carried unanimously.

APPROVAL OF MINUTES OF MARCH 28, 2022

MOTION: Ann Hoeppner moved, seconded by Charity-Faith Fazel, to approve the

Minutes of March 28, 2022 as published. Motion carried unanimously.

REPORT AND POSSIBLE ACTION RESULTING FROM THE COSMETOLOGY EXAMINING BOARD SCOPE OF PRACTICE COMMITTEE

MOTION: Megan Jackson moved, seconded by Daisy Quintal, to acknowledge the

recommendations of the Cosmetology Scope of Practice Advisory Committee

and thank the members for their service. Motion carried unanimously.

CLOSED SESSION

MOTION: Daisy Quintal moved, seconded by Georgianna Halverson, to convene to

closed session to deliberate on cases following hearing (s. 19.85(1)(a), Stats.); to consider licensure or certification of individuals (s. 19.85(1)(b), Stats.); to consider closing disciplinary investigation with administrative warning (ss. 19.85(1)(b), Stats. and 440.205, Stats.); to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.); and, to confer with legal counsel (s. 19.85(1)(g), Stats.). Megan Jackson, Chairperson, read the language of the motion. The vote of each member was ascertained by voice vote. Roll Call

Vote: Kayla Cwojdzinski-yes; Charity-Faith Fazel-yes; Georgianna

Halverson-yes; Ann Hoeppner-yes; Megan Jackson-yes; and Daisy Quintal-

yes. Motion carried unanimously.

The Board convened into Closed Session at 10:27 a.m.

DELIBERATION ON DIVISION OF LEGAL SERVICES AND COMPLIANCE (DLSC) MATTERS

Administrative Warnings

22 BAC 019 - T.N.

MOTION: Ann Hoeppner moved, seconded by Kayla Cwojdzinski, to issue an

Administrative Warning in the matter of T.N., DLSC Case Number 22 BAC

019. Motion carried unanimously.

Case Closings

MOTION: Ann Hoeppner moved, seconded by Daisy Quintal, to close the following DLSC Cases for the reasons outlined below:

1. 18 BAC 003 – T.N.S. – Lack of Jurisdiction (L2)

2. 19 BAC 019 – T.W., B.N.C., B.N.C. – Prosecutorial Discretion (P5)

3. 19 BAC 077 – P.N.S. – Prosecutorial Discretion (P5)

4. 19 BAC 082 – C.N. – Prosecutorial Discretion (P5)

5. 20 BAC 069 – N.H. – Prosecutorial Discretion (P2)

6. 21 BAC 012 – L.D. – Prosecutorial Discretion (P2)

Motion carried unanimously.

Proposed Stipulation (s), Final Decision(s) and Orders

MOTION: Megan Jackson moved, seconded by Ann Hoeppner, to adopt the Findings of Fact, Conclusions of Law and Order in the matter of disciplinary proceedings

of the following cases:

1. 19 BAC 003 – Sarai Gillmore & Salon on Sixth

2. 20 BAC 050 – Tommy Nguyen

Motion carried unanimously.

Proposed Final Decision(s) and Order(s) of Default

19 BAC 095 – Nguyet Nguyen & Nailistic of Wisconsin, LLC

MOTION: Ann Hoeppner moved, seconded by Georgianna Halverson, to adopt the

Proposed Decision and Order of Default in the matter of disciplinary

proceedings against Nguyet Nguyen & Nailistic of Wisconsin, LLC, DLSC

Case Number 19 BAC 095. Motion carried unanimously.

(Megan Jackson recused herself and left the room for deliberation and voting in the matter concerning Nguyet Nguyen & Nailistic of Wisconsin, LLC, DLSC Case Number 19 BAC 095.)

RECONVENE TO OPEN SESSION

MOTION: Megan Jackson moved, seconded by Daisy Quintal, to reconvene into open

session. Motion carried unanimously.

The Board reconvened into Open Session at 10:38 a.m.

VOTING ON ITEMS CONSIDERED OR DELIBERATED ON IN CLOSED SESSION

MOTION: Ann Hoeppner moved, seconded by Megan Jackson, to affirm all motions made and votes taken in Closed Session. Motion carried unanimously.

(Be advised that any recusals or abstentions reflected in the closed session motions stand for the purposes of the affirmation vote.)

ADJOURNMENT

MOTION: Daisy Quintal moved, seconded by Georgianna Halverson, to adjourn the meeting. Motion carried unanimously.

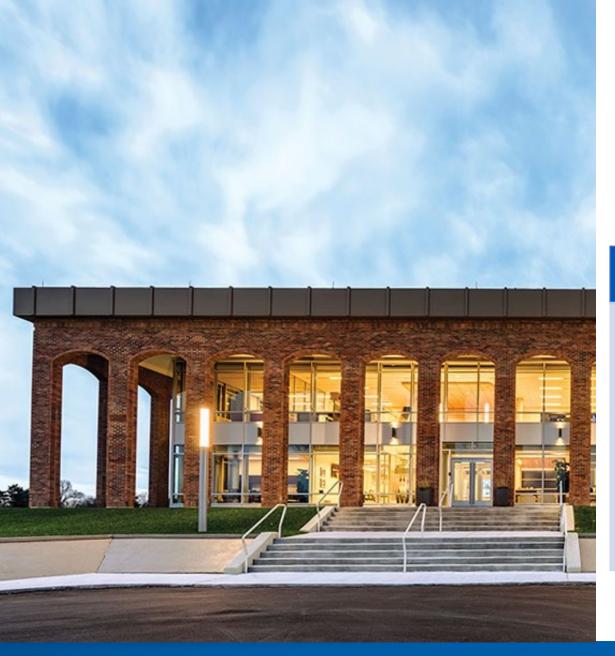
The meeting adjourned at 10:39 a.m.

State of Wisconsin Department of Safety & Professional Services

AGENDA REQUEST FORM

1) Name and title of person submitting the request:				2) Date when request submitted:			
Kimberly Wood, Program Assistant Supervisor on Behalf				8/19/2022			
of Executive Director				Items will be considered late if submitted after 12:00 p.m. on the			
3) Name of Board, Committee, Council, Sections:				deadline date which is 8 business days before the meeting			
Cosmetology Examining Board							
4) Meeting Date: 5) Attachments:		6) How should the item be titled on the agenda page?					
8/29/2022 🛛 Yes		es	APPEARANCE: Keith Buckhout & Matt Shafer, The Council of State				
	□ No	Governments – Control Legislation			s – Cosmetology Licensure Compact Review of Model		
7) Place Item in:		ce before the Board being		9) Name of Case Advisor(s), if applicable:			
		scheduled?			N/A		
☐ Closed Session				& Matt Shafer)			
L Ologed Ocasion		□ No ´		•			
10) Describe the issue and action that should be addressed:							
The Board will receive a presentation from Keith Buckhout & Matt Shafer, The Council of State Governments, regarding the licensure compacts and review of model legislation.							
11) Authorization							
Kímberly Wood					8/19/2022		
Signature of person making this request				Date			
Supervisor (Only required for post agenda deadline items)					Date		
Executive Director signa	ature (Ind	icates approval for	post age	enda deadline items)	Date		
Executive Director signature (Indicates approval for post agenda deadline items) Date							
Directions for including supporting documents:							
This form should be saved with any other documents submitted to the <u>Agenda Items</u> folders.							
2. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.							
3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a meeting.							





The Council of State Governments

Founded in 1933, CSG is our nation's only organization serving all three branches of state government.

Scope

The nation's only organization serving all three branches of state government

Membership

csg is a regionbased membership organization that fosters the exchange of insights and ideas to help state officials shape public policy

Mission

Champion
excellence in
state
governments in
order to advance
the common
good

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National Center for Interstate Compacts (NCIC)

- Exists within The CSG Center of Innovation
- Seeks to help states work cooperatively to solve mutual issues and meet shared goals
- Serves as an:
 - 1. Information clearinghouse
 - 2. Provider of training and technical assistance
 - 3. Primary facilitator for assisting states in the review, revision and creation of new interstate compacts











Multistate Problem Solving with Interstate Compacts



What is an interstate compact?

A legal contract between two or more states that allows states to:

#1 Cooperatively address shared problems

#3



#2 Maintain sovereignty over issues belonging to states

Respond to national priorities with one voice

Occupational Licensing Interstate Compacts

Facilitate Multistate Practice Maintain or Improve Public Health and Safety

Preserve State
Authority Over
Professional
Licensing



44 states (+ DC, Guam, USVI) have adopted at least 1 compact.

35 states (+ DC) have adopted at least 3 compacts.



Over 230 pieces of occupational licensure compact legislation have been enacted since January 2016.



9 professions have active interstate compacts for occupational licensing.



Active Occupational Licensing Interstate Compacts

Nurse Licensure Compact – 39 Psychology Interjurisdictional Compact – 34

Occupational Therapy
Compact – 21

Medical Licensure Compact – 39

EMS Compact – 22

Counseling Compact – 16

Physical Therapy Compact – 34 Audiology and
Speech Language
Pathology Compact –
22

Advanced Practice

Nursing Compact – 3



Occupational Licensing Interstate Compacts Under Development

Cosmetology and Barbering

Physician Assistant

Dentistry and Dental Hygiene

Social Work

Massage Therapy

K-12 Teaching

School Psychologists

Dietitian Nutritionists



Phase I Development

TECHNICAL ASSISTANCE GROUP

- Composed of approximately 20 state officials, stakeholders and issue experts
- Examines issues, current policy, best practices and alternative structures
- Establishes recommendations as to the content of an interstate compact

COMPACT DOCUMENT TEAM

- Composed of 5 to 8 state officials, stakeholders, and issue experts
- Crafts compact based on Technical Assistance Group recommendations
- Circulates draft compact to states and stakeholder groups for comment

FINAL PRODUCT

- Drafting team considers comments and incorporates into compact
- Final product sent to TA group
- Released to states for consideration

Phase II Education and Enactment

EDUCATION

- Develop comprehensive legislative resource kit
- Develop informational website with state-bystate tracking and support documents
- Convene "National Briefing" to educate legislators and key state officials

STATE SUPPORT

- Develop network of "champions"
- Provide on-site technical support and assistance
- Provide informational testimony to legislative committees

STATE ENACTMENTS

- Track and support state enactments
- Prepare for transition and implementation of compact
- Provide requested support as needed

Phase III Transition and Operation

TRANSITION

- Enactment threshold met
- State notification
- Interim Executive Board appointed
- Interim Committee's established
- Convene first Compact meeting
- Information system development (standards, security, vendors)

OPERATION

- Ongoing state control and governance
- Staff support
- Annual assessment, if necessary
- Annual business meeting
- Information system oversight (maintenance, security, training, etc.)
- Long-term enhancements / up-grades



Stakeholder Review

Draft compact circulated for public comment

Virtual meetings to review provisions of the compact

Provide comments and feedback through survey

Document Team considers feedback and edits the compact as needed

Released to states for consideration and enactment





How does the Cosmetology Compact work?

Only State A may revoke their--multistate license STATE A
Home State

STATE A

Home State



A cosmetologist lives in State A, and they want to be able to work in any compact member state.

MULTISTATE LICENSE APPLICATION

- Home state
verifies that
cosmetologist
meets the
compact's
requirements
and issues the
multistate license.

MULTISTATE LICENSE ISSUED

- --- They can now practice in any other member state
- may revoke their authorization to practice in that state

All other compact member states





State Requirements to Join the Compact



License and regulate cosmetology



Require that licensees satisfy education or training requirements prior to being licensed



Have a mechanism in place to receive and investigate complaints about licensees practicing in that state



Require that Licensees within the State pass a competency exam prior to being licensed



State Requirements to Join the Compact



Notify the commission of discipline actions taken against a licensee



Comply with the rules of the Commission



Implement procedures for considering one or more of the following: criminal history; disciplinary history; or Background Check.



Participate in the Data System and notify Commission and other Member States of any disciplinary action



Practitioner Requirements to Receive a Multistate License



Hold an active, unencumbered license in Home State, which must be a member of the compact



Agree to abide by the laws and rules in the state where services are provided



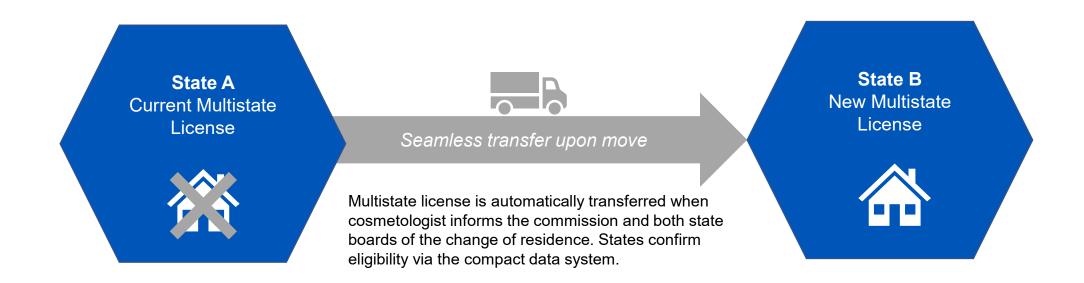
Pay all required fees related to the application



Cosmetology Licensure Compact

Multistate License Transfer

Cosmetologist wants to change their Home State to another compact member state





BENEFITS OF COSMETOLOGY COMPACT FOR LICENSEES



Eases mobility for licensees



Improves access to cosmetology services



Expands employment opportunities into new markets



Supports relocating military spouses and families

BENEFITS OF COSMETOLOGY COMPACT FOR REGULATORS

Creates shared data system which:



Reduces application processing time



Assists in identifying license fraud



Provides access to investigative and disciplinary information



Expands cooperation among state licensure boards on investigations and disputes



Enhances public safety and reduces unlicensed activity

BENEFITS OF COSMETOLOGY COMPACT FOR STATES



Promotes workforce development and strengthens labor markets



Expands consumer access to highly qualified practitioners



Preserves state sovereignty

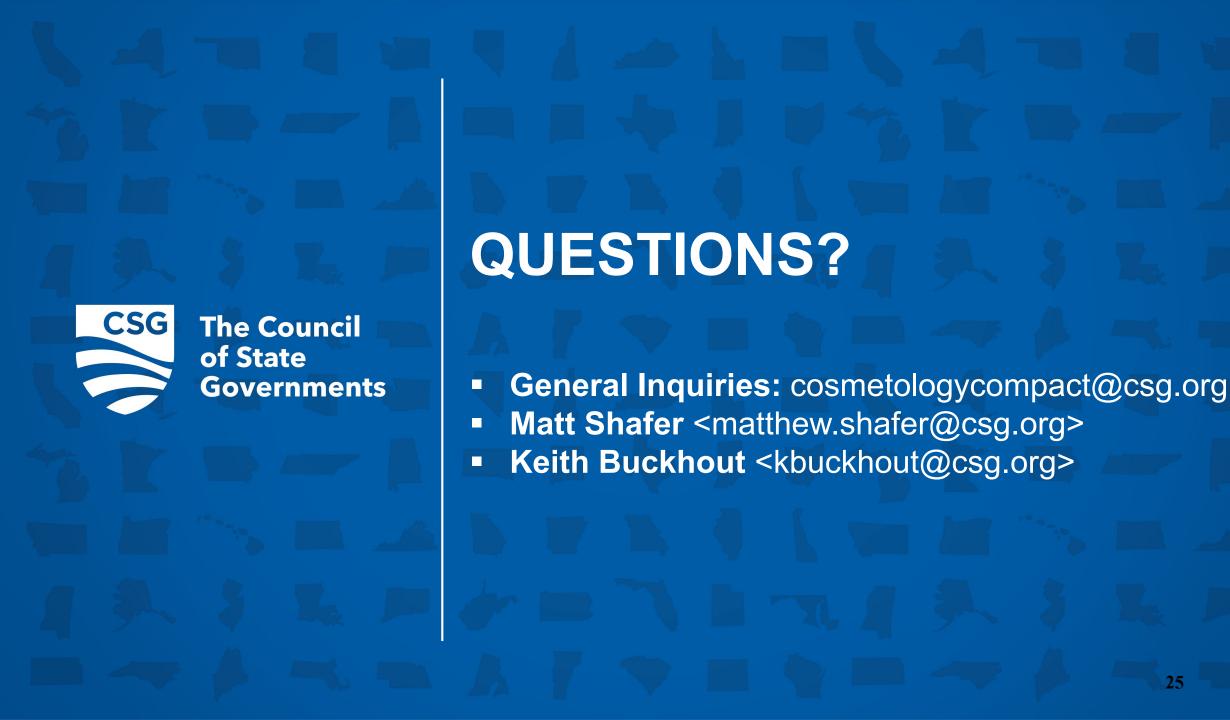


Next Steps

CSG and Document Team review feedback and make changes to the compact as necessary

Compact released to states for consideration in 2023 legislative sessions





Cosmetology Stakeholder Review Survey

If you have additional thoughts or questions not covered in the survey, visit https://compacts.csg.org/compact-updates/cosmetology/ or reach out to our staff at cosmetologycompact@csg.org.

Demographic Information

- 1. First Name
- 2. Last Name
- 3. Title
- 4. Organization
- 5. State
- 6. What category would best describe you? (Select all that apply)
 - a. Licensed member of the profession
 - b. State legislator
 - c. State regulator
 - d. Staff of a professional organization
 - e. Other: _____

Section Review

Refer to **Article I: Purpose** in the model legislation of the Cosmetology Compact.

- 1. Do the objectives found in the **Purpose** section align with the needs of the cosmetology profession? [Yes/No/No comment]
 - If "No", please provide feedback [Open Answer]
- 2. Of the objectives outlined in the **Purpose**, which do you believe to be most important? [Open Answer]
- 3. Do you have any additional comments or questions regarding this section? [Open Answer]

Refer to **Article II: Definitions** in the model legislation of the Cosmetology Compact.

- 1. In your experience, do the **Definitions** accurately describe how the regulation of cosmetology licensure occurs in your state? [Yes/No/No comment]
 - If "No", highlight any differences between your experience and the model legislation. [Open Answer]
- 2. Refer to **Definitions F (Cosmetologist) and R (Licensee)**. The Compact intends to cover licensed cosmetologists, <u>not</u> related professions such as barbering, esthetics, nail technicians, etc. Please provide any feedback you have on this provision. [Open Answer]
- 3. Are there terms that need to be defined that are omitted in the model legislation? [Yes/No/No comment]
 - If "Yes", please note those terms and potential definitions. [Open Answer]
- 4. Do you have any additional comments or questions regarding this section? [Open Answer]

Refer to Article III: Member State Requirements in the model legislation of the Cosmetology Compact.

1. Are there additional requirements necessary for your state to participate in the Compact? [Open Answer]

- 2. Refer to **Section A, Item 3**. Compact member states must require that licensees pass a competency exam, however the model legislation does not specify exam methods or exam providers. Do you have feedback on this provision? [Open Answer]
- 3. Refer to **Section A, Item 4**. Compact member states must require that licensees complete an educational or training program, but the model legislation does not specify a minimum number of educational hours nor curriculum. Do you have feedback on this provision? [Open Answer]
- 4. Refer to **Section A, Item 5**. Compact member states must maintain a process by which the licensure authority can consider a licensee's criminal history and/or license discipline history. It is at the discretion of member states to decide what information they need to collect to maintain public health and safety. Do you have any feedback on this provision? [Open Answer]
- 5. Do you have additional comments or questions regarding this section? [Open Answer]

Refer to Article IV: Multistate License Program in the model legislation of the Cosmetology Compact.

- 1. Refer to **sections A-D.** A cosmetologist who is eligible for a multistate license may use that multistate license to practice in any Compact member state with no additional action or notification needed. Does this approach adequately address the mobility needs of cosmetologists? [Yes/No/No comment]
 - If "No", please provide your feedback. [Open Answer]
- 2. Refer to **Section F**. The model legislation specifies the primary eligibility requirement for a cosmetologist who wishes to obtain a multistate license is maintenance of an active, unencumbered license in the licensee's home state. The model legislation does not specify educational requirements, exams or training an applicant must obtain. Do you have feedback on this provision? [Open Answer]
- 3. Are there additional requirements for licensed cosmetologists needed in the model legislation? [Yes/No/No comment]
 - If "Yes", please describe. [Open Answer]
- 4. Do you have additional comments or questions regarding this section? [Open Answer]

Refer to **Article V: Obtaining a New Home State License Under the Multistate License Program** in the model legislation of the Cosmetology Compact.

- 1. This article provides for the expedited transfer of a multistate license from one member state to another member state should the licensee change their primary state of residence. Do you have any feedback on this provision? [Open Answer]
- 2. With the exception of states that require applicants for licensure to submit to background checks and/or jurisprudence exams, the intent of this article is for cosmetologists to be able to seamlessly transfer their multistate license to another member state by verifying establishment of residency and that their multistate license is active and unencumbered. Does this provision appropriately balance the mobility needs of cosmetologists with the public safety mandates of licensure authorities? [Yes/No/No comment]
 - If "No", please comment. [Open Answer]

Refer to Article VII: Adverse Action in the model legislation of the Cosmetology Compact.

(The intent of this article is to establish a regulatory framework whereby remote states in the Compact can act against a licensee's ability to practice in their state, while home state can take action against the

multistate license. In your state or professional experience, does the framework in this section meet the necessary standard of discipline for cosmetologists? [Yes/No/No comment]

- If "No", please comment. [Open Answer]
- 1. Do you have additional comments or questions regarding this section? [Open Answer]

Refer to **Article IX: Establishment of the Cosmetology Licensure Compact Commission** in the model legislation of the Cosmetology Compact.

- 1. Refer to **Section B, Item 1, Subsection a**. The Cosmetology Compact model legislation requires member states to appoint one delegate; and that delegate must be an administrator of the licensing authority or their designee. Do you have any feedback on this provision? [Open answer)
- 2. Refer to **Section D, Item 2**. The Cosmetology Compact model legislation requires the commission's executive committee to be comprised of *ex officio* members from national cosmetology professional organizations. Do you have any feedback on this provision? [Open Answer]
- 3. Do you have additional comments or questions regarding this section? [Open Answer]

Refer to Article X: Data System in the model legislation of the Cosmetology Compact.

- 1. Refer to **Section B**. Are there additional data points the Compact should collect and share with member states? [Yes/No/No comment]
 - If "Yes", please explain. [Open Answer]
- 2. Do you have additional comments or questions regarding this section? [Open Answer]

General - Cosmetology Compact

Do you have additional feedback regarding the Cosmetology Compact not discussed in another section of the survey? [Yes/No]

• If "Yes", please provide. [Open Answer]

Wrap-up

Would you like to sign up for email updates regarding the Cosmetology Compact development process? [Yes/No]

Thank you for participating in stakeholder review of the Cosmetology Compact development process. The Council of State Governments and our partners value community feedback and participation in shaping this Compact to best serve cosmetologists, cosmetology clients, and state workforces.

If you have additional thoughts or questions, visit https://compacts.csg.org/compact-updates/cosmetology/ or reach out to our staff at cosmetologycompact@csg.org.

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ARTICLE 1- PURPOSE

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- The purpose of this Compact is to facilitate the interstate practice and regulation of
- Cosmetology with the goal of improving public access to, and the safety of, Cosmetology
- Services and reducing unnecessary burdens related to Cosmetology licensure. Through this
- Compact, the member states seek to establish a regulatory framework which provides for a
- 31 new multistate licensing program. Through this new licensing program, the member states
- 32 seek to provide increased value and mobility to licensed Cosmetologists in the member
- states, while ensuring the provision of safe, effective, and reliable services to the public.
- This Compact is designed to achieve the following objectives, and the member states hereby ratify the same intentions by subscribing hereto:
- A. Provide opportunities for interstate practice by Cosmetologists who meet uniform requirements for multistate licensure;
- B. Enhance the abilities of Member States to protect public health and safety, and prevent fraud and unlicensed activity within the profession;
- C. Ensure and encourage cooperation between Member States in the licensure and regulation of
 the Practice of Cosmetology;
- D. Support relocating military members and their spouses; 46
- E. Facilitate the exchange of information between Member States related to the licensure, investigation, and discipline of the Practice of Cosmetology;
- F. Provide for meaningful dispute resolution while allowing a Remote State to hold a Licensee
 accountable under the Remote State's Practice Laws, even where that Licensee holds a
 Multistate License; and
- G. Provide for the licensure and mobility of the workforce in the profession, while addressing the shortage of workers and lessening the associated burdens on the Member States.

ARTICLE 2- DEFINITIONS

- As used in this Compact, and except as otherwise provided, the following definitions shall govern the terms herein:
- A. "Active Duty Military" means any individual in full-time duty status in the active uniformed service of the United States including members of the National Guard and Reserve.
- B. "Adverse Action" means any administrative, civil, equitable, or criminal action permitted by a Member State's laws which is imposed by a Licensing Authority or other regulatory body against a or Cosmetologist, including actions against an individual's licensure privilege such as revocation, suspension, probation, monitoring of the Licensee, limitation of the Licensee's

- 67 practice, or any other Encumbrance on licensure affecting an individual's ability to 68 participate in the Cosmetology industry, including the issuance of a cease and desist order.
- 70 C. "Authorization to Practice" means a legal authorization associated with a Multistate License permitting the Practice of Cosmetology in a remote state. 71 72
- 73 D. "Alternative Program" means a non-disciplinary monitoring or prosecutorial diversion 74 program approved by a Member State's Licensing Authority. 75
- 76 E. "Background Check" means the submission of information for an applicant for the purpose of obtaining that applicant's criminal history record information, as further defined in 28 C.F.R. § 20.3(d), from the Federal Bureau of Investigation and the agency responsible for retaining state criminal or disciplinary history in the applicant's Home State.
- 81 F. "Commission" shall refer to the national administrative body whose membership consists of 82 all states that have enacted this Compact, and which is known as the Cosmetology Licensure 83 Compact Commission. 84
- 85 G. "Cosmetologist" means an individual licensed in their Home State to practice Cosmetology. 86
 - H. "Cosmetology", "Cosmetology Services", and the "Practice of Cosmetology" mean the care and services provided by a Cosmetologist as set forth in the Member State's statutes and regulations in the State where the services are being provided.
 - I. "Current Significant Investigative Information" means:

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- 1. Investigative Information that a Licensing Authority, after an inquiry or investigation that complies with a Member State's due process requirements, has reason to believe is not groundless and, if proved true, would indicate a violation of that State's laws regarding fraud or the Practice of Cosmetology; or
- 2. Investigative Information that indicates that a Licensee has engaged in fraud or represents an immediate threat to public health and safety, regardless of whether the Licensee has been notified and had an opportunity to respond.
- 102 J. "Data System" means a repository of information about Licensees, including but not limited to license status, Investigative Information, and Adverse Actions. 103
- 105 K. "Disqualifying Event" means any event which shall disqualify an individual from holding a 106 Multistate License under this Compact, which the Commission may by Rule or order specify.
- 108 L. "Encumbered License" means a license in which an Adverse Action restricts the Practice of 109 Cosmetology by a Licensee, or where said Adverse Action has been reported to the 110 Commission. 111

- M. "Encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted Practice of Cosmetology by a Licensing Authority.
 N. "Executive Committee" means a group of commissioners elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.
- O. "Home State" means the Member State which is a Licensee's primary state of residence, and where that Licensee holds an active and unencumbered license to practice Cosmetology.
- P. "Investigative Information" means information, records, or documents received or generated by a Licensing Authority pursuant to an investigation or other inquiry.
- Q. "Jurisprudence Requirement" means the assessment of an individual's knowledge of the laws and rules governing the Practice of Cosmetology in a State.
- R. "Licensing Authority" means a Member State's regulatory body responsible for issuing
 Cosmetology licenses or otherwise overseeing the Practice of Cosmetology in that State.
- S. "Licensee" means an individual who currently holds an authorization from a Member State to practice as a Cosmetologist.
- 133 T. "Member State" means any State that has adopted this Compact.

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- U. "Multistate License" means a license to practice as a Cosmetologist in all Member States
 issued by the Licensing Authority of the Licensee's Home State pursuant to this Compact.
- V. "Remote State" means any Member State, other than the Licensee's Home State.
- W. "Rule" means any rule or regulation promulgated by the Commission under this Compactwhich has the force of law.
- X. "Single-State License" means a Cosmetology license issued by a Member State that
 authorizes practice of Cosmetology only within the issuing state and does not include any
 authorization outside of the issuing state.
- Y. "State" means a state, territory, or possession of the United States and the District of Columbia.
- Z. "State Practice Laws" means a Member State's laws, rules, and regulations that govern the
 Practice of Cosmetology, define the scope of such practice, and create the methods and
 grounds for imposing discipline.

154 ARTICLE 3- MEMBER STATE REQUIREMENTS

A. To be eligible to join this Compact, and to maintain eligibility as a Member State, a State must:

1. License and regulate Cosmetology;

Have a mechanism or entity in place to receive and investigate complaints about
 Licensees practicing in that state;

Require that Licensees within the State pass a competency examination prior to being
 licensed to provide Cosmetology Services to the public in that State;

4. Require that Licensees satisfy educational or training requirements prior to being licensed
 to provide Cosmetology Services to the public in that State;

5. Implement procedures for considering one or more of the following categories of information from applicants for licensure: criminal history; disciplinary history; or Background Check. Such procedures may include the submission of information by applicants for the purpose of obtaining an applicant's Background Check as defined herein;

6. Participate in the Data System, including through the use of unique identifying numbers;

7. Share information related to disciplinary actions with the Commission and other Member States, both through the Data System and otherwise;

8. Notify the Commission and other Member States, in compliance with the terms of the Compact and Rules of the Commission, of any Current Significant Investigative Information in the State's possession regarding a Licensee practicing in that State;

9. Comply with such Rules as may be enacted by the Commission to administer the Compact; and

10. Accept Licensees from other Member States as established herein.

B. Member States may charge a fee for granting a license to practice Cosmetology.

C. Individuals not residing in a Member State shall continue to be able to apply for a Member State's Single-State License as provided under the laws of each Member State. However, the Singe-State License granted to these individuals shall not be recognized as granting a Multistate License to provide services in any other Member State.

D. Nothing in this Compact shall affect the requirements established by a Member State for the
 issuance of a Single-State License.

E. A Multistate License issued to a Licensee by a Home State to a resident of that State shall be recognized by each Member State as authorizing a Licensee to practice Cosmetology in each Member State.

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F. At no point shall the Commission have the power to define the educational or professional requirements for a license to practice Cosmetology. The Member States shall retain sole jurisdiction over the provision of these requirements.

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ARTICLE 4- MULTISTATE LICENSE PROGRAM

A. A Licensee who holds an active and unencumbered license to practice Cosmetology in their Home State shall be entitled to apply to their Home State's Licensing Authority for a Multistate License.

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B. Upon the receipt of an application for a Multistate License for Cosmetology, according to the Rules of the Commission, a Member State's Licensing Authority shall ascertain whether the applicant meets the requirements for a Multistate License under this Compact using any and all information available to the Licensing Authority, including, but not limited to, information uploaded to the Data System by the applicant's Home State.

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C. If an applicant meets the requirements for a Multistate License under this Compact and any Rules of the Commission, the Licensing Authority in receipt of the application shall, within a reasonable time, grant a Multistate License to that applicant, and inform all Member States of the grant of said Multistate License.

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D. A Multistate License to practice Cosmetology issued by a Member State's Licensing
Authority shall be recognized by each Member State as authorizing the practice thereof as
though that Licensee held a Single-State License to do so in each Member State, subject to
the restrictions herein.

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E. A Multistate License granted pursuant to this Compact may be effective for a definite period of time, concurrent with renewal of the Home State License.

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F. To qualify for a Multistate License under this Compact, and to maintain eligibility for such a license, an applicant must:

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1. Hold an active, unencumbered license in the applicant's Home State;

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2. Be assigned and maintain a unique identifying number, according to the Rules of the Commission;

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Agree to abide by the rules and requirements of the Licensing Authority, and the State
 Practice Laws, of any Member State in which the applicant provides services;

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- Pay all required fees related to the application and certification process, and any other
 fees which the Commission may by Rule require; and
- 5. Comply with any and all other requirements regarding Multistate Licenses which the Commission may by Rule provide.

- G. A Licensee providing services in a Member State must comply with the State Practice Laws of the State in which the services are provided, and all other applicable laws of that State.
- H. The Practice of Cosmetology under a Multistate License granted pursuant to this Compact will subject the Licensee to the jurisdiction of the Licensing Authority, the courts, and the laws of the Member State in which the Cosmetology Services are provided.

255 ARTICLE 5- OBTAINING A NEW HOME STATE LICENSE UNDER THE 256 MULTISTATE LICENSE

- A. Under the Multistate Licensure Program in Article 4, a Licensee may hold a Home State License in only one Member State at any given time.
- B. If a Licensee changes their Primary State of Residence by moving between two Member States:
 - 1. The Licensee shall file an application for obtaining a new Home State License under the Multistate Licensure Program pursuant to Article 4, pay all applicable fees, and notify the current and new Home States in accordance with the Rules of the Commission.
 - 2. Upon receipt of an application for obtaining a new Home State License under the Multistate Licensure Program, the new Home State shall verify that the Licensee meets the criteria outlined in Article 4 via the Data System, without the need for primary source verification.
 - 3. If required for initial licensure in that State, a Member State may require an additional Background Check as specified in the laws of that State, or the compliance with any Jurisprudence Requirements of the new Home State.
 - 4. A Licensee may hold a Multistate License, as issued by their Home State, in only one Member State at a time.
 - 5. If a Licensee holding a Multistate License changes their primary state of residence by moving between two Member States, the Licensee shall apply for licensure in the new Home State, and the Multistate License issued by the prior Home State will be deactivated in accordance with the applicable Rules adopted by the Commission.

284 6. Notwithstanding any other provision of this Compact, if a Licensee cannot meet the criteria set out in Article 4, then the new Home State shall use the applicable requirements for a Single-State License in that State.

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- 7. A Licensee shall pay all applicable fees to the new Home State in order to be issued a new Home State license.
- C. If a Licensee changes their Primary State of Residence by moving from a Member State to a non-Member State, or from a non-Member State to a Member State, then the State criteria shall apply for the issuance of a Single-State License in the new Home State.
- D. Nothing in this Compact shall interfere with a Licensee's ability to hold a Single-State License in multiple States; however, for the purposes of this Compact, a Licensee shall have only one Home State, and only one Multistate License.
- E. Nothing in this Compact shall interfere with the requirements established by a Member State for the issuance of a Single-State License.

ARTICLE 6- AUTHORITY OF THE COMPACT COMMISSION AND MEMBER STATE LICENSING AUTHORITIES

- A. Nothing in this Compact, nor any Rule or regulation of the Commission, shall be construed to limit, restrict, or in any way reduce the ability of a Member State to enact and enforce laws, regulations, or other rules related to the Practice of Cosmetology in that State, where those laws, regulations, or other rules are not inconsistent with the provisions of this Compact.
- 310 B. Insofar as practical, a Member State's Licensing Authority shall cooperate with the
 311 Commission and with each entity exercising independent regulatory authority over the
 312 Practice of Cosmetology according to the provisions of this Compact.
- C. Licensees operating in a Member State under a Multistate License shall be subject to both the Rules and requirements of the Commission and those of the Member State in which Cosmetology Services are being provided.
- D. An Adverse Action by a Member State's Licensing Authority against a Licensee shall in no way limit another Member State's authority to grant a Single-State License to that Licensee, or to regulate such Single-State Licenses.
- E. Discipline shall be the sole responsibility of the State in which Cosmetology Services are provided. Accordingly, each Member State's Licensing Authority shall be responsible for receiving complaints about individuals practicing Cosmetology in that State, and for communicating all relevant Investigative Information about any such Adverse Action to the

other Member States through the Data System in addition to any other methods the Commission may by Rule require.

ARTICLE 7- ADVERSE ACTIONS

A. A Licensee's Home State shall have exclusive power to impose an Adverse Action against Licensee's Multistate License issued by the Home State.

B. In addition to the powers conferred by state law, each Member State's Licensing Authority shall have the power to:

1. Take Adverse Action against a Licensee's Authorization to Practice Cosmetology through the Multistate License in the Member State, provided that:

a. Only the Licensee's Home State shall have the power to take Adverse Action against the license issued by the Home State; and

b. For the purposes of taking Adverse Action, the Home State's Licensing Authority shall give the same priority and effect to reported conduct received from a Remote State as it would if such conduct had occurred within the Home State. In so doing, the Home State shall apply its own state laws to determine the appropriate action.

2. Issue cease and desist orders or impose an Encumbrance on a Licensee's Authorization to Practice within that Member State.

 3. Complete any pending investigations of a Licensee who changes their Primary State of Residence during the course of such an investigation. The Licensing Authority shall also be empowered to report the results of such an investigation to the Commission through the Data System as described herein.

4. Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses, as well as the production of evidence. Subpoenas issued by a Licensing Authority in a Member State for the attendance and testimony of witnesses or the production of evidence from another Member State shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings before it. The issuing Licensing Authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the State in which the witnesses or evidence are located.

5. If otherwise permitted by state law, recover from the affected Licensee the costs of investigations and disposition of cases resulting from any Adverse Action taken against that Licensee.

6. Take Adverse Action based on the factual findings of a Remote State, provided that the Licensing Authority follows its own procedures for taking such Adverse Action.

371 C. A Licensee's Home State shall complete any pending investigation(s) of a Cosmetologist 372 who changes their Primary State of Residence during the course of the investigation(s). The 373 Home State, shall also have the authority to take appropriate action(s) and shall promptly 374 report the conclusions of the investigations to the Data System.

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D. If an Adverse Action is taken by the Home State against Licensee's Multistate License, the
Licensee's Authorization to Practice in all other Member States shall be deactivated until all
Encumbrances have been removed from the Home State license. All Home State disciplinary
orders that impose an Adverse Action against a Licensee's Multistate License shall include a
statement that the Cosmetologist's Authorization to Practice is deactivated in all Member
States during the pendency of the order.

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E. Nothing in this Compact shall override a Member State's authority to accept a Licensee's participation in an Alternative Program in lieu of Adverse Action. A Licensee's Multistate License shall be suspended for the duration of the Licensee's participation in any Alternative Program.

387 ARTICLE 8- ACTIVE DUTY MILITARY AND THEIR SPOUSES

- 388 Active Duty Military personnel, or their spouses, shall designate a Home State where the
- individual has a current license in good standing. The individual may retain their Home State
- designation during any period of service when that individual is on active duty assignment.

391 ARTICLE 9- ESTABLISHMENT OF THE COSMETOLOGY LICENSURE COMPACT

392 **COMMISSION**

- 393 A. The Compact Member States hereby create and establish a joint government agency whose
- membership consists of all member states that have enacted the compact known as the
- Cosmetology Compact Commission. The Commission is an instrumentality of the Compact
- 396 States acting jointly and not an instrumentality of any one state.
- 397 B. Membership, Voting, and Meetings
- 1. Each Member State shall have and be limited to one (1) delegate selected by that Member State's State Licensing Authority.
- 2. The delegate shall be an administrator of the Licensing Authority of the Member State or their designee.
- 3. The Commission shall by Rule or bylaw establish a term of office for delegates and may by Rule or bylaw establish term limits.
- 4. The Commission may recommend removal or suspension any delegate from office.
- 5. A Member State's State Licensing Authority shall fill any vacancy of its delegate occurring on the Commission within 60 days of the vacancy.
- 6. Each delegate shall be entitled to one vote on all matters before the Commission

- 408 requiring a vote by Commission delegates.
- 7. A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates to meet by telecommunication, videoconference, or other means of communication.
- 412 8. The Commission shall meet at least once during each calendar year. Additional meetings 413 may be held as set forth in the bylaws. The Commission may meet by 414 telecommunication, video conference or other similar electronic means.
- 415 C. The Commission shall have the following powers:
- 1. Establish the fiscal year of the Commission;
- 2. Establish code of conduct and conflict of interest policies;
- 418 3. Establish and amend Rules and bylaws;
- 4. Maintain its financial records in accordance with the bylaws;
- 5. Meet and take such actions as are consistent with the provisions of this Compact, the Commission's Rules, and the bylaws;
- 6. Initiate and conclude legal proceedings or actions in the name of the Commission, provided that the standing of any State Licensing Board to sue or be sued under applicable law shall not be affected;
- 7. Purchase and maintain insurance and bonds:
- 8. Borrow, accept, or contract for services of personnel, including, but not limited to, employees of a Member State;
- 428 9. Conduct an annual financial review
- 10. Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters;
- 433 11. Assess and collect fees;
- 12. Accept any and all appropriate gifts, donations, grants of money, other sources of revenue, equipment, supplies, materials, and services, and to receive, utilize, and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety or conflict of interest;
- 438 13. Lease, purchase, retain, own, hold, improve, or use any property, real, personal, or mixed, or any undivided interest therein;

440 14. Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any 441 property real, personal, or mixed; 442 15. Establish a budget and make expenditures; 443 16. Borrow money; 444 17. Appoint committees, including standing committees, composed of members, State 445 regulators, State legislators or their representatives, and consumer representatives, and 446 such other interested persons as may be designated in this Compact and the bylaws; 447 18. Provide and receive information from, and cooperate with, law enforcement agencies; 448 19. Establish and elect an Executive Committee, including a chair and a vice chair; 449 20. Determine whether a State's adopted language is materially different from the model 450 compact language such that the State would not qualify for participation in the Compact; 451 and 452 21. Perform such other functions as may be necessary or appropriate to achieve the purposes 453 of this Compact. 454 D. The Executive Committee 455 1. The Executive Committee shall have the power to act on behalf of the Commission 456 according to the terms of this Compact. The powers, duties, and responsibilities of the 457 Executive Committee shall include: 458 a. Oversee the day-to-day activities of the administration of the compact including 459 enforcement and compliance with the provisions of the compact, its Rules and 460 bylaws, and other such duties as deemed necessary; 461 b. Recommend to the Commission changes to the Rules or bylaws, changes to this 462 Compact legislation, fees charged to Compact Member States, fees charged to licensees, and other fees; 463 464 c. Ensure Compact administration services are appropriately provided, including by 465 contract; 466 d. Prepare and recommend the budget; 467 e. Maintain financial records on behalf of the Commission; 468 f. Monitor Compact compliance of Member States and provide compliance reports to 469 the Commission; 470

g. Establish additional committees as necessary;

- 471 h. Exercise the powers and duties of the Commission during the interim between 472 Commission meetings, except for adopting or amending Rules, adopting or amending 473 bylaws, and exercising any other powers and duties expressly reserved to the 474 Commission by Rule or bylaw; and 475
 - i. Other duties as provided in the Rules or bylaws of the Commission.
 - 2. The Executive Committee shall be composed of seven members:
 - a. The chair and vice chair of the Commission shall be voting members of the Executive Committee; and
 - b. The Commission shall elect seven voting members from the current membership of the Commission.
 - c. The Commission may elect ex-officio, nonvoting members from a recognized national Cosmetology professional association as approved by the Commission. The Commission's bylaws shall identify qualifying organizations and the manner of appointment if the number of organizations seeking to appoint an ex officio member exceeds the number of members specified in this section.
 - 3. The Commission may remove any member of the Executive Committee as provided in the Commission's bylaws.
 - 4. The Executive Committee shall meet at least annually.
 - a. Executive Committee meetings shall be open to the public, except that the Executive Committee may meet in a closed, non-public meeting as provided in subsection E.2 below.
 - b. The Executive Committee shall give ten days' notice of its meetings, posted on its website and as determined to provide notice to persons with an interest in the business of the Commission.
 - c. The Executive Committee may hold a special meeting in accordance with subsection E.1.b. below.
- 498 E. The Commission shall adopt and provide to the Member States an annual report.
- 499 F. Meetings of the Commission

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- 500 1. All meetings shall be open to the public, except that the Commission may meet in a 501 closed, non-public meeting as provided in subsection F.2 below.
 - a. Public notice for all meetings of the full Commission of meetings shall be given in the same manner as required under the Rulemaking provisions in Section 11, except that the Commission may hold a special meeting as provided in subsection F.1.b below.

- 505 b. The Commission may hold a special meeting when it must meet to conduct
 506 emergency business by giving [24, 48,or other] hours' notice to all commissioners, on
 507 the Commission's website, and other means as provided in the Commission's rules.
 508 The Commission's legal counsel shall certify that the Commission's need to meet
 509 qualifies as an emergency.
 - 2. The Commission or the Executive Committee or other committees of the Commission may convene in a closed, non-public meeting for the Commission or Executive Committee or other committees of the Commission to receive legal advice or to discuss:
 - a. Non-compliance of a Member State with its obligations under the Compact;
 - b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees;
 - c. Current or threatened discipline of a Licensee or by the Commission or by a Member State's Licensing Board;
 - d. Current, threatened, or reasonably anticipated litigation;

- e. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate;
- f. Accusing any person of a crime or formally censuring any person;
- g. Trade secrets or commercial or financial information that is privileged or confidential;
- h. Information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy;
- i. Investigative records compiled for law enforcement purposes;
- j. Information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the Compact;
- k. Matters specifically exempted from disclosure by federal or Member State law; or
- 1. Other matters as promulgated by the Commission by Rule.
 - 3. If a meeting, or portion of a meeting, is closed, the presiding officer shall state that the meeting will be closed and reference each relevant exempting provision, and such reference shall be recorded in the minutes.
 - 4. The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the

reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the Commission or order of a court of competent jurisdiction.

G. Financing of the Commission

- 1. The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.
- 2. The Commission may accept any and all appropriate revenue sources as provided in C(12).
 - 3. The Commission may levy on and collect an annual assessment from each Member State and impose fees on licensees of Member States to whom it grants a Multistate License to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount for Member States shall be allocated based upon a formula that the Commission shall promulgate by Rule.
- 4. The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the Member States, except by and with the authority of the Member State.
 - 5. The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the financial review and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be subject to an annual financial review by a certified or licensed public accountant, and the report of the financial review shall be included in and become part of the annual report of the Commission.

H. Qualified Immunity, Defense, and Indemnification

1. The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any

- such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person. The procurement of insurance of any type by the Commission shall not in any way compromise or limit the immunity granted hereunder.
 - 2. The Commission shall defend any member, officer, executive director, employee, and representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or as determined by the commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.
 - 3. The Commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.
 - 4. Nothing herein shall be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable state laws.
 - 5. Nothing in this Compact shall be interpreted to waive or otherwise abrogate a Member State's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act, Clayton Act, or any other state or federal antitrust or anticompetitive law or regulation.
 - 6. Nothing in this Compact shall be construed to be a waiver of sovereign immunity by the Member States or by the Commission.

ARTICLE 10- DATA SYSTEM

A. The Commission shall provide for the development, maintenance, operation, and utilization

- of a coordinated database and reporting system containing licensure, Adverse Action, and the
- presence of Current Significant Investigative Information on all licensed individuals in
- Member States.
- B. Notwithstanding any other provision of State law to the contrary, a Member State shall
- submit a uniform data set to the Data System on all individuals to whom this Compact is
- applicable as required by the Rules of the Commission, including:
- 1. Identifying information;
- 612 2. Licensure data;
- 3. Adverse Actions against a license or Privilege to Practice [and information related thereto];
- 4. Non-confidential information related to Alternative Program participation, the beginning and ending dates of such participation, and other information related to such participation not made confidential under Member State law;
- 5. Any denial of application for licensure, and the reason(s) for such denial;
- 6. The presence of Current Significant Investigative Information; and
- 7. Other information that may facilitate the administration of this Compact or the protection of the public, as determined by the Rules of the Commission.
- 622 C. The information contained in the data system shall be considered authentic and not hearsay in
- any civil action involving the Commission when accompanied by a certification by the
- 624 Commission's data system manager concerning the authenticity of the data.
- D. Current Significant Investigative Information and Investigative Information pertaining to a
- Licensee in any Member State will only be available to other Member States.
- E. It is the responsibility of the Member States to report any Adverse Action against a Licensee.
- Adverse Action information pertaining to a Licensee in any Member State will be available
- to any other Member State.
- 630 F. Member States contributing information to the Data System may designate information that
- may not be shared with the public without the express permission of the contributing State.
- G. Any information submitted to the Data System that is subsequently expunged pursuant to
- federal law or the laws of the Member State contributing the information shall be removed
- from the Data System.

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ARTICLE 11- RULEMAKING

- A. The Commission shall promulgate reasonable Rules in order to effectively and efficiently
- 638 implement and administer the purposes and provisions of the Compact. In addition to any
- other applicable standard of review, in the event a court of competent jurisdiction holds that
- the Commission exercised its Rulemaking authority in a manner that is beyond the scope of
- the purposes of the Compact, or the powers granted hereunder, then such an action by the
- Commission shall be invalid and have no force or effect.
- B. The Rules of the Commission shall have the force of law in each Member State, provided
- however that where the Rules of the Commission conflict with the State Practice Laws of a
- Member State as held by a court of competent jurisdiction, the Rules of the Commission shall
- be ineffective in that State to the extent of the conflict.
- 647 C. The Commission shall exercise its Rulemaking powers pursuant to the criteria set forth in
- this Section and the Rules adopted thereunder. Rules and amendments shall become binding
- as of the date specified in each Rule or amendment.
- D. If a majority of the legislatures of the Member States rejects a Rule or portion of a Rule, by
- enactment of a statute or resolution in the same manner used to adopt the Compact within
- four (4) years of the date of adoption of the Rule, then such Rule shall have no further force
- and effect in any Member State.
- E. Rules or amendments to the Rules shall be adopted at a regular or special meeting of the
- 655 Commission.
- 656 F. Prior to adoption of a proposed Rule, the Commission shall hold a public hearing and allow
- persons to give oral testimony and submit written data, facts, opinions, and arguments.
- 658 G. Prior to adoption of a proposed Rule by the Commission, and at least thirty (30) days in
- advance of the meeting at which the Commission will hold a public hearing on the proposed
- Rule, the Commission shall provide a Notice of Proposed Rulemaking:
- 1. On the website of the Commission or other publicly accessible platform;
- 2. To persons who have requested notice of the Commission's notices of proposed
- rulemaking, and
- 3. In such other way(s) as the Commission may by Rule specify.
- H. The Notice of Proposed Rulemaking shall include:
- 1. The time, date, and location of the public hearing at which the Commission will hear
- testimony on the proposed Rule and, if different, the time, date, and location of the
- meeting where the Commission will consider and vote on the proposed Rule;
- 2. If the hearing is held via telecommunication, video conference, or other electronic means,

- the Commission shall include the mechanism for access to the hearing in the Notice of Proposed Rulemaking;
- 3. The text of the proposed Rule and the reason therefor;
- 4. A request for comments on the proposed Rule from any interested person; and
- 5. The manner in which interested persons may submit written comments.

I. Prior to adoption of a proposed Rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

J. The Commission shall grant an opportunity for a public hearing before it adopts a Rule or amendment if a hearing is requested by:

1. At least twenty-five (25) persons;

2. A State or federal governmental subdivision or agency; or

3. An association or organization having at least twenty-five (25) members.

K. If a hearing is held on the proposed Rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

1. All persons wishing to be heard at the hearing shall notify the executive director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than five (5) business days before the scheduled date of the hearing.

2. Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to commend orally or in writing.

3. All hearings will be recorded. A copy of the recording will be made available on request.

4. Nothing in this section shall be construed as requiring a separate hearing on each Rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

L. Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

M. If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed Rule without a public hearing.

- N. The Commission shall, by majority vote of all members, take final action on the proposed Rule and shall determine the effective date of the Rule, if any, based on the Rulemaking record and the full text of the Rule.
- O. Upon determination that an emergency exists, the Commission may consider and adopt an emergency Rule without prior notice, opportunity for comment, or hearing, provided that the usual Rulemaking procedures provided in the Compact and in this Article shall be retroactively applied to the Rule as soon as reasonably possible, in no event later than ninety (90) days after the effective date of the Rule. For the purposes of this provision, an emergency Rule is one that must be adopted immediately in order to:
 - 1. Meet an imminent threat to public health, safety, or welfare;
 - 2. Prevent a loss of Commission or Member State funds;
 - 3. Meet a deadline for the promulgation of an administrative Rule that is established by federal law or Rule; or
 - 4. Protect public health and safety.
 - P. The Commission or authorized committee of the Commission may direct revisions to a previously adopted Rule or amendment fir purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of thirty (30) days after posting. The revision may be challenged only on grounds that the revision results in a material change to a Rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If not challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect with the approval of the Commission.

ARTICLE 12- OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

A. Oversight

- 1. The executive, legislative, and judicial branches of State government in each Member State shall enforce this Compact and take all actions necessary and appropriate to effectuate the Compact's purposes and intent. The provisions of this Compact and the Rules promulgated hereunder shall have standing as statutory law.
- 2. All courts shall take judicial notice of the Compact and the Rules in any judicial or administrative proceeding in a Member State pertaining to the subject matter of this Compact which may affect the powers, responsibilities, or actions of the Commission.
- 3. The Commission shall be entitled to receive service of process in any such proceeding, and shall have standing to intervene in such a proceeding for all purposes. Failure to

provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact, or promulgated Rules.

B. Default, Technical Assistance, and Termination

- 1. If the Commission determines that a Member State has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated Rules, the Commission shall:
 - a. Provide written notice to the defaulting State and other Member States of the nature of the default, the proposed means or curing the default and any other action to be taken by the Commission; and
 - b. Provide remedial training and specific technical assistance regarding the default.
- 2. If a State in default fails to cure the default, the defaulting State may be terminated from this Compact upon an affirmative vote of a majority of the Member States, and all rights, privileges and benefits conferred by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending State of obligations or liabilities incurred during the period of default.
- 3. Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting State's legislature, and each of the Member States.
- 4. A State that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.
- 5. The Commission shall not bear any costs related to a State that is found to be in default or that has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting State.
- 6. The defaulting State may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including attorney's fees.

C. Dispute Resolution

1. Upon request by a Member State, the Commission shall attempt to resolve disputes related to the Compact that arise among Member States and between member and non-member States.

2. The Commission shall promulgate a Rule providing for both mediation and binding dispute resolution for disputes as appropriate.

D. Enforcement

1. The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and Rules of this Compact.

2. By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a Member State in default to enforce compliance with the provisions of the Compact and its promulgated Rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded costs of such litigation, including attorney's fees.

3. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or State law.

820 ARTICLE 13- DATE OF IMPLEMENTATION OF THE COSMETOLOGY

- 821 LICENSURE COMPACT COMMISSION AND ASSOCIATED RULES,
- 822 WITHDRAWAL, AND AMENDMENT

A. The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth Member State. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation or Rules. Thereafter, the Commission shall meet and exercise Rulemaking powers necessary to the implementation and administration of this Compact.

B. Any State that joins the Compact subsequent to the Commission's initial adoption of the Rules shall be subject to the Rules as they exist on the date on which the Compact becomes law in that State. Any Rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that State.

C. Any Member State may withdraw from this Compact by enacting a statute repealing the same.

1. A Member State's withdrawal shall not take effect until six (6) months after the enactment of the repealing statute.

2. Withdrawal shall not affect the continuing requirement of the withdrawing State's Licensing Authority to comply with the investigative and Adverse Action reporting requirements of this Compact prior to the effective date of withdrawal.

- D. Nothing contained in this Compact shall be construed to invalidate or prevent any
 Cosmetology licensure agreement or other cooperative agreement between a Member State
 and a non-member State that does not conflict with the provisions of this Compact.
- E. This Compact may be amended by the Member States. No amendment to this Compact shall become effective and binding upon any Member State until it is enacted into the laws of all Member States.

ARTICLE 14- CONSTRUCTION AND SEVERABILITY

- This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions
- of this Compact shall be severable and if any phrase, clause, sentence, or provision of this
- 855 Compact is declared to be contrary to the constitution of any Member State or of the United
- States or the applicability thereof to any government, agency, person, or circumstance is held
- invalid, the validity of the remainder of this Compact and the applicability thereof to any
- government, agency, person, or circumstance shall not be affected thereby. If this Compact shall
- be held contrary to the constitution of any Member State, the Compact shall remain in full force
- and effect as to the remaining Member States and in full force and effect as to the Member State
- affected as to all severable matters.

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ARTICLE 15- BINDING EFFECT OF COMPACT AND OTHER LAWS

- A. A Licensee providing Cosmetology Services in a Remote State under a Multistate License shall function within the laws and regulations of the Remote State.
- B. Nothing herein prevents the enforcement of any other law of a Member State that is not inconsistent with this Compact.
- C. Any laws in a Member State in conflict with this Compact are superseded to the extent of the conflict.
- D. Any lawful actions by the Commission, including all Rules and bylaws promulgated by the Commission, are binding upon the Member States.
- E. All agreements between the Commission and the Member States are binding in accordance with their terms.
- F. In the event any provision of the Compact exceeds the constitutional limits imposed on the legislature of any Member State, the provision shall be ineffective to the extent of the conflict with the constitutional provision in question in that Member State.

State of Wisconsin Department of Safety & Professional Services

AGENDA REQUEST FORM

1) Name and title of pers	son subm	itting the request:		2) Date when request submitted:							
Kimberly Wood, Prograi	m Assista	nt Supervisor on B	Behalf	8/17/2022							
of Legal Counsel				Items will be considered late if submitted after 12:00 p.m. on the							
3) Name of Board Com	mittee Co	uncil Sections:		deadline date which is 8 business days before the meeting							
3) Name of Board, Committee, Council, Sections:											
Cosmetology Examining Board											
4) Meeting Date: 5) Attachments:		hments:	6) How should the item be titled on the agenda page?								
8/29/2022		es		Report and Possible Action Resulting from the Cosmetology Examining							
	□ No	0	Board S	Scope of Practice Cor	mmittee						
7) Place Item in:		8) Is an appearance before		the Board being	9) Name of Case Advisor(s), if applicable:						
□ Open Session	scheduled?				N/A						
☐ Closed Session	— ·-										
	⊠ No										
10) Describe the issue and action that should be addressed:											
The Board should consider the recommendations made by the Cosmetology Examining Board Scope of Practice Committee											
relative to rule promulgation efforts for Cos 2, 3, 5, & 8, Relating to Scope of Practice, Mobile Practice, Distance Learning. The											
recommendation motions of the Committee are attached.											
11) Authorization											
11)		,	Autnoriza	ition							
Kímberly Woo	od			8/17/2022							
Signature of person mal	king this	request		Date							
Supervisor (Only require	ed for pos	st agenda deadline	items)	Date							
			,	2							
Executive Director signature (Indicates approval for post agenda deadline items) Date											
Executive Director signs	ature (ind	icates approval for	enda deadline items)	a deadline items) Date							
Directions for including supporting documents: 1. This form should be saved with any other documents submitted to the Agenda Items folders.											
Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.											
3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a											
moeting											

Recommendations Regarding Cos 2, 3, 5, & 8, Relating to Scope of Practice, Mobile Practice, Distance Learning

FEBRUARY 10, 2022

MOTION: Sumeet Goel moved, seconded by Edit Olasz Harken, to recommend to

the Cosmetology Examining Board that it clarify its administrative rules to specify that microblading is within the scope of practice of aestheticians

and cosmetologists as defined in state statute. Motion carried

unanimously.

MOTION: Megan Jackson moved, seconded by Ann Hoeppner, to recommend to the

Cosmetology Examining Board that it make no changes to its administrative rules regarding microdermabrasion. Motion carried

unanimously.

MAY 12, 2022

MOTION: Megan Jackson moved, seconded by Ann Hoeppner, to recommend to the

Cosmetology Examining Board that dermaplaning may be considered part of the scope of practice of aesthetics, assuming proper formal training in the procedure as part of a board approved curriculum, as long as only the

stratum corneum layer is being affected. Motion carried unanimously.

MOTION: Ann Hoeppner moved, seconded by Sumeet Goel, to recommend to the

Cosmetology Examining Board that Cos 2.025 as it relates to chemical exfoliation be amended to reduce the minimum ph level to 2.0, the remainder of the provision should remain unchanged. Motion carried

unanimously.

MOTION: Edit Olasz Harken moved, seconded by Megan Jackson, to recommend to

the Cosmetology Examining Board that microneedling be listed under Cos

2.025 as a delegated medical procedure. Motion carried unanimously.

MOTION: Megan Jackson moved, seconded by Sumeet Goel, to recommend to the

Cosmetology Examining Board that eyelash and eyebrow tinting and lifting may be considered part of the scope of board regulated professions, assuming proper formal training in the procedure as part of a board

approved curriculum. Motion carried unanimously.

JUNE 2, 2022

MOTION: Sumeet Goel moved, seconded by Ann Hoeppner, to recommend to the

Cosmetology Examining Board that utilization of electromagnetic radiation and electric current be considered delegated medical procedures, except when application is limited to the stratum corneum layer of skin assuming proper formal training in the procedures as part of a board

approved curriculum. Motion carried unanimously.

MOTION:

Megan Jackson moved, seconded by Sumeet Goel, to recommend to the Cosmetology Examining Board that it open a Scope Statement revising Cos 5 to outline formal education requirements for procedures recommended for inclusion by the Committee. Motion carried unanimously.

MOTION:

Edit Olasz Harken moved, seconded by Megan Jackson, to recommend to the Cosmetology Examining Board that 2.02(1), Wis. Admin. Code be modified to read "No licensee may <u>diagnose or</u> treat any disease of the skin unless under the direction of a physician." Motion carried unanimously.

MOTION:

Edit Olasz Harken moved, seconded by Megan Jackson, to recommend to the Cosmetology Examining Board that utilization of thermal energy be considered a delegated medical procedure, except when application is limited to the stratum corneum layer of skin assuming proper formal training in the procedure as part of a board approved curriculum. Motion carried unanimously.

MOTION:

Ann Hoeppner moved, seconded by Edit Olasz Harken, to recommend to the Cosmetology Examining Board incorporation of language indicating that any treatment impacting a skin layer below the stratum corneum layer be considered a delegated medical procedure. Motion carried unanimously.

AGENDA REQUEST FORM

1) Name and title of pers	son submitting the	request:	2) Date when request submitted:							
Dana Denny			08/17/22 Items will be considered late if submitted after 12:00 p.m. on the deadline date which is 8 business days before the meeting							
Administrative Rules	Coordinator									
3) Name of Board, Committee, Council, Sections:										
Cosmetology Examining Board										
4) Meeting Date:	5)	6) How should the item be titled on the agenda page?								
08/18/22	Attachments:									
	⊠ Yes	Administrative Rule Matters – Discussion and Consideration 1. COS 2, 3, 5 and 8 Scope of Practice, Mobile Practice and								
	☐ No		Distance Learning							
		2. Pending	ng or Possible Rulemaking Projects							
7) Diago Itam in	O) le en enneere	noo hafaya tha Daa	ard being 9) Name of Case Advisor(s), if required:							
7) Place Item in:	scheduled?	ince before the Boa	ara being	, , , , , , , , , , , , , , , , , , , ,	equirea:					
Open Session				N/A						
☐ Closed Session	│									
☑ No 10) Describe the issue and action that should be addressed:										
·, ···································										
Possible and pending Rulemaking Projects										
Copies of surrent Poord Pula Projects Can be Viewed Here, https://doma.wi.com/Doces/PulasStatutes/Dow-live-Pulas										
Copies of current Board Rule Projects Can be Viewed Here: https://dsps.wi.gov/Pages/RulesStatutes/PendingRules.aspx										
11)		Authoriza	tion							
Dana Denny					08/17/22					
Signature of person ma	king this request			Date						
Supervisor (if required)				Date						
Executive Director signature (indicates approval to add post agenda deadline item to agenda) Date										
Excount of Shorter of Minimutes approved to and post agenda deadline item to agenda, Date										
Directions for including supporting documents:										
 This form should be attached to any documents submitted to the agenda. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director. 										
	3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a									
meeting.										