

Phone: 608-266-2112 Web: http://dsps.wi.gov Email: dsps@wisconsin.gov

Tony Evers, Governor Dan Hereth, Secretary

TELECONFERENCE/VIRTUAL

EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND REGISTERED INTERIOR DESIGNERS

Virtual, 4822 Madison Yards Way, Madison Contact: Adam Barr (608) 266-2112 October 12, 2022

The following agenda describes the issues that the Board plans to consider at the meeting. At the time of the meeting, items may be removed from the agenda. Please consult the meeting minutes for a record of the actions of the Board.

AGENDA

11:00 A.M.

OPEN SESSION - CALL TO ORDER - ROLL CALL

- A. Adoption of Agenda (1-3)
- B. Approval of Minutes of October 6, 2021 (4-5)
- C. Reminders: Conflicts of Interest, Scheduling Concerns
- D. Introductions, Announcements and Recognition Discussion and Consideration
 - 1) Appointments of Registered Interior Designer Section Members
 - a. Introductions:
 - 1. Melissa Destree, Registered Interior Designer
 - 2. Jennifer Phillips, Public Member
 - 3. Robin Stroebel, Registered Interior Designer
 - 4. Laura Schade Stroik, Registered Interior Designer
 - 5. Corissa Uselmann, Public Member

E. Administrative Matters – Discussion and Consideration

- 1) Department, Staff and Board Updates
- 2) Annual Policy Review (6-9)
- 3) Election of Officers, Appointment of Liaisons and Alternates, and Delegation of Authorities (10-12)
- 4) Board Members Term Expiration Dates
 - a. Arneson, Ken 7/1/2018
 - b. Cotharn, Kristine A. -7/1/2021
 - c. Destree, Melissa M. 7/1/2025
 - d. Douglas, Gregory A. -7/1/2023
 - e. Fedderly, Daniel J. -7/1/2013
 - f. Gersich, A. James -7/1/2015
 - g. Heberling, Michael J. -7/1/2019

- h. Hook, Steven J. -7/1/2014
- i. Linck, Karl L. 7/1/2025
- j. Martin, Christina C. -7/1/2019
- k. Myers, Dennis -7/1/2025
- 1. Schade Stroik, Laura 7/1/2024
- m. Scholl, Colleen M. 7/1/2023
- n. Sina, Christopher M. -7/1/2025
- o. Stroebel, Robin A. -7/1/2026
- p. Styczinski, Rosheen M. -7/1/2013
- q. Tweed, Steven T 7/1/2024
- r. Vaughn, Nathan A. -7/1/2024
- s. Wagner, Steven L. -7/1/2021

F. Section Reports – Discussion and Consideration

- 1) Architect Section
- 2) Designer Section
- 3) Landscape Architect Section
- 4) Professional Engineer Section
- 5) Professional Land Surveyor Section
- 6) Registered Interior Designer Section
- G. Legislative and Policy Matters Discussion and Consideration

H. Administrative Rule Matters – Discussion and Consideration (13)

- 1) Adoption Orders:
 - a. CR 21-020: A-E 2, Relating to General Requirements and Procedures (14-19)
 - b. CR 21-019: A-E 8, Relating to Professional Conduct (20-23)
- 2) Rule Drafts:
 - a. A-E 2, 7 and 8, Relating to Sealing and Stamping of Documents (24-28)
 - b. A-E 8, Relating to Supervision (29-32)
- 3) Scope Statement: A-E 4 and 13, Relating to Engineer in Training Credential (33-34)
- 4) Pending or Possible Rulemaking Projects (35)
- I. Discussion and Consideration of Items Added After Preparation of Agenda:
 - 1) Introductions, Announcements and Recognition
 - 2) Administrative Matters
 - 3) Election of Officers
 - 4) Appointment of Liaisons and Alternates
 - 5) Delegation of Authorities
 - 6) Education and Examination Matters
 - 7) Credentialing Matters
 - 8) Practice Matters
 - 9) Legislative and Policy Matters
 - 10) Administrative Rule Matters
 - 11) Liaison Reports
 - 12) Board Liaison Training and Appointment of Mentors
 - 13) Informational Items
 - 14) Division of Legal Services and Compliance (DLSC) Matters
 - 15) Motions
 - 16) Petitions
 - 17) Appearances from Requests Received or Renewed

18) Speaking Engagements, Travel, or Public Relation Requests, and Reports

J. Public Comments

ADJOURNMENT

NEXT MEETING: APRIL 2023 (TBD)

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC, AND MAY BE CANCELLED WITHOUT NOTICE.

Times listed for meeting items are approximate and depend on the length of discussion and voting. All meetings are held virtually unless otherwise indicated. In-person meetings are typically conducted at 4822 Madison Yards Way, Madison, Wisconsin, unless an alternative location is listed on the meeting notice. In order to confirm a meeting or to request a complete copy of the board's agenda, please visit the Department website at https:\\dsps.wi.gov. The board may also consider materials or items filed after the transmission of this notice. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. Requests for interpreters for the hard of hearing, or other accommodations, are considered upon request by contacting the Affirmative Action Officer at 608-266-2112, or the Meeting Staff at 608-266-5439.

VIRTUAL/TELECONFERENCE EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS AND PROFESSIONAL LAND SURVEYORS MEETING MINUTES OCTOBER 6, 2021

PRESENT: Kristine Cotharn, Gregory Douglas, Daniel Fedderly, James Gersich, Michael

Heberling, Steven Hook, Karl Linck, Christina Martin, Dennis Myers, Colleen Scholl, Christopher Sina, Rosheen Styczinski, Steven Tweed, Steven Wagner

EXCUSED: Kenneth Arneson, Nathan Vaughn

STAFF: Adam Barr, Executive Director; Jon Derenne, Legal Counsel; Sofia Anderson,

Administrative Rules Coordinator; Megan Glaeser, Bureau Assistant; and

other Department staff.

CALL TO ORDER

Rosheen Styczinski, Chairperson, called the meeting to order at 11:00 a.m. A quorum was confirmed with fourteen (14) members present.

ADOPTION OF AGENDA

MOTION: Dennis Myers moved, seconded by Steven Hook, to adopt the Agenda as

published. Motion carried unanimously.

APPROVAL OF MINUTES OF APRIL 14, 2021

MOTION: Dennis Myers moved, seconded by Steven Hook, to approve the Minutes

of April 14, 2021 as published. Motion carried unanimously.

INTRODUCTIONS, ANNOUNCEMENTS AND RECOGNITION

Recognition of Michael Kinney, Landscape Architect Section Public Member

MOTION: James Gersich moved, seconded by Colleen Scholl, to recognize and thank

Michael Kinney, Landscape Architect Public Member, for his years of service to the A-E Examining Board and the State of Wisconsin. Motion

carried unanimously.

ADMINISTRATIVE RULE MATTERS

A-E 4 and 13, Relating to Engineer in Training Credential: Scope Statement

MOTION: Steven Hook moved, seconded by Dennis Myers, to approve the Scope

Statement revising A-E 4 and 13, relating to Engineer in Training

Credential and Continuing Education, for submission to the Department of Administration and Governor's Office and for publication. Additionally,

Virtual/Teleconference A-E Examining Board Meeting Minutes October 6, 2021 Page 1 of 2 the Board authorizes the Chairperson to approve the Scope Statement for implementation no less than 10 days after publication. If the Board is directed to hold a preliminary public hearing on the Scope Statement, the Chairperson is authorized to approve the required notice of hearing. Motion carried unanimously.

A-E 2, 7, and 8, Relating to Sealing and Stamping of Documents: Scope Statement

MOTION:

Dennis Myers moved, seconded by Steven Tweed, to approve the Scope Statement revising A-E 2, 7, and 8, relating to Sealing and Stamping of Documents, for submission to the Department of Administration and Governor's Office and for publication. Additionally, the Board authorizes the Chairperson to approve the Scope Statement for implementation no less than 10 days after publication. If the Board is directed to hold a preliminary public hearing on the Scope Statement, the Chairperson is authorized to approve the required notice of hearing. Motion carried unanimously.

ADJOURNMENT

MOTION: Daniel Fedderly moved, seconded by Kristine Cotharn, to adjourn the

meeting. Motion carried unanimously.

The meeting adjourned at 11:40 a.m.

State of Wisconsin Department of Safety & Professional Services

AGENDA REQUEST FORM

1) Name and title of pers	son subm	itting the request:		2) Date when request submitted:		
Kimberly Wood, Program Assistant Supervisor-Adv. on			. on	12/13/2021		
behalf of Division of Policy Development Executive Directors					Items will be considered late if submitted after 12:00 p.m. on the deadline date which is 8 business days before the meeting	
3) Name of Board, Com	nittee, Co	ouncil, Sections:		acaumic date mile.	and a submission ways sold to the meeting	
All Boards						
4) Meeting Date:	5) Attac	hments:	6) How	should the item be tit	led on the agenda page?	
First Meeting of 2022	⊠ Ye	es	Annı	ual Policy Review		
7) Diago Home inc	□ No			the Decad being	O) Name of Occo Advisor(s) if anythering	
7) Place Item in:		s) is an appearant	ice betore	e the Board being	9) Name of Case Advisor(s), if applicable:	
☐ Open Session		☐ Yes			N/A	
☐ Closed Session		□ Tes ⊠ No				
10) Describe the issue a	nd action		dressed:			
Please be advised of the	e followin	g Annual Policy Re	eview iten	ns:		
a meeting or if is appreciated	you have as quoru	e scheduling conflice m is required for o	cts impac ur Boards	ting your attendance s, Sections and Coun	nt to meeting attendance. If you cannot attend , please let us know ASAP. Timely notification cils to meet pursuant to Open Meetings Law. discuss the body's business outside of a	
					members could be violating the open meetings	
3. Agenda Deadli					e Director before the agenda submission	
					hment: Timeline of a Meeting) and Reimbursement claims to DSPS within 30	
days of the clo					ments: Per Diem Example, Travel Voucher	
Example) 5. Lodging Accommodations/Hotel Cancellation Policy: Lodging accommodations are available to eligible members.				dations are available to eligible members.		
Standard eligibility: member must leave home before 6:00 a.m. to attend a meeting by the scheduled start time.						
					el their reservation within the applicable is cancelled or rescheduled DSPS staff will	
		servations as appro		to occur remotery or	to defice of resolication per o stair will	
			nclement	weather the agency i	may change a meeting from an in-person	
venue to one that is executed remotely. Authorization						
Kimberly Wood					12/13/2021	
Signature of person ma	king this	request			Date	
	·	•				
Supervisor (Only require	ed for po	st agenda deadline	items)		Date	
			,			
Executive Director signature (Indicates approval for post agenda deadline items) Date						
Directions for including supporting documents:						
	 This form should be saved with any other documents submitted to the <u>Agenda Items</u> folders. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director. 					
3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a						
meeting.						

Timeline of a Meeting

8 business days prior to the meeting: All agenda materials are due to the Department by 12:00 pm, 8 business days prior to the meeting date.

7 business days prior to the meeting: The draft agenda page is due to the Executive Director. The Executive Director transmits to the Chair for review and approval.

5 business days prior to the meeting: The approved agenda is returned to the Bureau Assistant for agenda packet production and compilation.

4 business days prior to the meeting: Agenda packets are posted on the DSPS Board SharePoint site and on the Department website.

Agenda Item Examples:

- o Approval of the Agenda and Minutes (from the last meeting)
- Open Session Items
 - Public Hearings (on Admin Rules)
 - Administrative Matters
 - Legislation and Policy Matters
 - Administrative Rules Matters
 - Credentialing Matters
 - Education and Exam Issues
 - Public Agenda Requests
 - Current Issues Affecting the Profession
 - Public Comments
- Closed Session items
 - Deliberations on Proposed Disciplinary Actions
 - Stipulations
 - Administrative Warnings
 - Case Closings
 - Monitoring Matters
 - Professional Assistance Procedure (PAP) Issues
 - Proposed Final Decisions and Orders
 - Orders Fixing Costs/Matters Relating to Costs
 - Credentialing Matters
 - Education and Exam Issues

Thursday of the Week Prior to the Meeting: Agendas are published for public notice on the Public Notices and Meeting Minutes website: publicmeetings.wi.gov.

1 business day after the Meeting: "Action" lists are distributed by staff detailing board actions on closed session business.

5 business days after the Meeting: "To Do" lists are distributed to staff to ensure that board decisions are acted on and/or implemented within the appropriate divisions in the Department. Minutes approved by the board are published on the Public Notices and Meeting Minutes website: **publicmeetings.wi.gov**.

Department of Safety and Professional Services

PER DIEM REPORT

INSTRUCTIONS: Claimant records board-related activities by entering the date of an activity, the duration of time spent in that activity, the relevant purpose code (see purpose code descriptions below), where the activity is conducted, and the type of activity performed. Only one (1) \$25.00 per diem payment can be issued on any given calendar day.

Purpose Codes:

- A. Official meetings including video/teleconference calls (automatic day of per diem): i.e., board, committee, board training or screening panels; Hearings, i.e., Senate Confirmation, legislative, disciplinary or informal settlement conferences; Examinations and Test Development Sessions, i.e., test administration, test review or analysis events, national testing events, tour of test facilities, etc.)
- **B.** Other (One (1) per diem will be issued for every five (5) hours spent in category B, per calendar month): i.e., review of disciplinary cases, consultation on cases, review of meeting materials, board liaison work e.g., contacts regarding Monitoring, Professional Assistance Procedure, Credentialing, Education and Examinations

NAME OF EXAMINING BOARD OR COUNCIL					BOARD OR COUNCIL MEMBER'S NAME
EXAMPLE EXAMINING BOARD			BOARD		MARY SUNSHINE
Activity Date	Duration of Activity	Purpose Code	Where Performed	d	Activity
MM/DD/YY	Hours/Minutes	A or B	City/Location (Home, Work, DSP	PS)	Describe Activity Performed (see purpose codes)
12/2/20	2 hrs	В	Pleasant Prairie/Ho	me	Review of screening panel materials
12/3/20	2 hr / 30 mins	В	Pleasant Prairie/Ho		Review of screening panel materials
12/10/20	1 hr	A	Pleasant Prairie/Hom		Screening Panel Meeting - Teleconference
12/12/20	1 hr / 30 mins	В	Pleasant Prairie/Ho		Case consultation
12/13/20	1 hr	В	Pleasant Prairie/Ho	me	Liaison: Application Review
12/16/20	6 hrs	A	Madison/DSPS		Board Member Training
				<u> </u>	The 5-hour rule applies to "B" code activities. Add the 'B' codes within the calendar month and then divide by five (5) hours to calculate your per diem payment. In this case the total is seven (7) hours which equals one (1) day of per diem. Each 'A' code is an automatic day of per diem regardless of time spent in that activity. Ms. Sunshine is eligible for two (2) additional days of payment.
					Department staff completes the fields titled "Total Days Claimed".
CLAIMANT'	S CERTIFICATI	ON		Com	ments:
The undersigned certifies, in accordance with § 16.53, Wis. Stats., that this account for per diem, is just and correct; and that this claim is for service necessarily incurred in the performance of duties required by the State, as authorized by law.			and correct; and that in the performance of		
Mary Sun			1/4/2021		
Claimant's Sig			Date	Supe	rvisor Date
EMPL ID: 10	00012345-0				

EIVII E 110. 10000125 15 0

To be completed by Department staff: TOTAL DAYS CLAIMED: ____3 @ \$25.00 = ___75.00

(Rev. 07/17)

*Item billed directly to the state agency

Sub-Totals

Claimant's Statement § 16.53 Wisconsin Statutes

I declare, under penalties, that all claimed travel expenses are true and correct and are in conformity with Wisconsin statute 16.53 and related agreements. This claim represents reasonable and actual expenses necessarily incurred by me personally in the performance of official duties and no portion was previously reimbursed to me by the State or any other source.

Date

Date ______Claimant's Signature ______

I certify that this travel claim is reasonable, proper, and in conformity with applicable statutes, travel schedule amounts, and/or collective bargaining agreements.

Supervisor's Signature _

I certify that all expenses on this voucher conform to statutory, departmental or applicable collective bargaining provisions, and were necessary in the official performance of duties required by the State Expenditures are determined to be reasonable and proper, and that sufficient funds are available to pay this claim.

Mileage Costs

Total Expenditure

Net Amount Due

Less Travel Advance

Totals

Agency Head or Authorized Representative

Miles at

_ Audited in accordance with S. 16.53 Wisconsin Statutes and allowed by the provisions of chapter 20.

0.510 cents/mile

State of Wisconsin Department of Safety & Professional Services

AGENDA REQUEST FORM

1) Name and title of person submitting the request:				2) Date when request submitted:	
Kimberly Wood, Program Assistant Supervisor-Adv.				3/31/2022	
					dered late if submitted after 12:00 p.m. on the
3) Name of Board, Comr	nittee. Co	ouncil. Sections:		deadline date which	h is 8 business days before the meeting
A-E Examining Board					
4) Meeting Date:	5) Attac	hments:	6) How	should the item he tit	lled on the agenda page?
4/12/2022			'	strative Matters	ned on the agenda page.
4/12/2022	⊠ Ye		Auminis		, Appointment of Liaisons and Alternates,
		o .		Delegation of Author	
7) Place Item in:		8) Is an appearan	ce before	the Board being	9) Name of Case Advisor(s), if applicable:
		scheduled?			N/A
☐ Closed Session		☐ Yes			
		⊠ No			
10) Describe the issue a	nd actior	that should be ad	dressed:		
				erson, Vice Chairper	
					nd Alternates as appropriate
-,	The Board should review and then consider its existing delegated authorities including any modification of these delegations and any proposals for additional delegations.				
11) Authorization					
Kimberly Wood 3/31/2022					
Signature of person mal	king this	request			Date
Supervisor (Only require	ed for po	st agenda deadline	items)		Date
Executive Director signature (Indicates approval for post agenda deadline items) Date					
	`		. •	•	
Directions for including	supporti	na documents:			
This form should be saved with any other documents submitted to the <u>Agenda Items</u> folders.					
2. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.					
3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a					

EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND REGISTERED INTERIOR DESIGNERS

Elections, Liaisons and Delegations

ELECTION RESULTS		
Chairperson	Rosheen Styczinski	
Vice Chairperson	James Gersich	
Secretary	Dennis Myers	

Appointment of Liaisons and Alternates

APPOINTMENTS		
A-E Rules Committee Public Member Appointments (3) (Appointed by A-E Chairperson)	Steven Hook, Dennis Myers	
A-E Rules Committee Professional Members (6) (Appointed by Section)	Rosheen Styczinski, Mick Heberling, Steven Wagner, Kris Cotharn, Dan Fedderly Alternates: Gregory Douglas (ARC), Karl Linck (ENG), Christina Martin (LSR)	
Legislative Liaison	Karl Linck	
Travel Liaison	James Gersich	

Delegation of Authorities

Document Signature Delegations

MOTION: Daniel Fedderly moved, seconded by Nathan Vaughn, to delegate

authority to the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) to sign documents on behalf of the Board in order to carry out its duties.

Motion carried unanimously.

MOTION: Dennis Myers moved, seconded by Steven Hook, in order to carry out

duties of the Board, the Chairperson (or in absence of the Chairperson, the

highest-ranking officer or longest serving board member in that succession) has the ability to delegate signature authority for purposes of facilitating the completion of assignments during or between meetings. The members of the Board hereby delegate to the Executive Director or DPD Division Administrator, the authority to sign on behalf of a board member as necessary. Motion carried unanimously.

Delegated Authority for Urgent Matters

MOTION:

James Gersich moved, seconded by Colleen Scholl, that in order to facilitate the completion of urgent matters between meetings, the Board delegates its authority to the Chairperson (or, in the absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession), to appoint liaisons to the Department to act in urgent matters. Motion carried unanimously.

Authorization for DSPS to Provide Board Member Contact Information to National Regulatory Related Bodies

MOTION:

Karl Linck moved, seconded by Kenneth Arneson, to authorize the Department staff to provide national regulatory related bodies with all board member contact information that the Department retains on file. Motion carried unanimously.

Optional Renewal Notice Insert Delegation

MOTION:

James Gersich moved, seconded by Gregory Douglas, to designate the Chairperson (or, in the absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) to provide a brief statement or link relating to board -related business within the license renewal notice at the Board's or Board designee's request. Motion carried unanimously.

Legislative Liaison(s) Delegation

MOTION:

James Gersich moved, seconded by Nathan Vaughn, to delegate authority to the Legislative Liaison(s) to speak on behalf of the Board regarding legislative matters. Motion carried unanimously.

Travel Liaison(s) Delegation

MOTION:

James Gersich moved, seconded by Steven Hook, to delegate authority to the Travel Liaison(s) to approve any board member travel. Motion carried unanimously.

State of Wisconsin Department of Safety & Professional Services

AGENDA REQUEST FORM

1) Name and title of person submitting the request:		2) Date when request submitted:				
Dana Denny			09/30/2022			
			Items will be considered late if submitted after 12:00 p.m. on the deadline date which is 8 business days before the meeting			
3) Name of Board, Com	nittee Co	uncil Sections:		date which is 8 busine	ess days before the meeting	
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Registered Interior Desi		i, Professional Eng	ineers, La	andscape Architects,	Designers, Professional Land Surveyors, and	
4) Meeting Date:		hments:	6) How	should the item be tit	iled on the agenda page?	
10/12/2022	∑ Ye	ne .	•	dministrative Rule Matters – Discussion and Consideration		
.0, .2,2022			1. Adoption Orders:			
			а	. CR 21-020: A-E 2, Procedures	Relating to General Requirements and	
			b		Relating to Professional Conduct	
				Rule Drafts:		
				 A-E 2, 7 and 8, Re A-E 8, Relating to 	elating to Sealing and Stamping of Documents	
					4 and 13, Relating to Engineer in Training	
				redential		
		I a		Pending or Possible R		
7) Place Item in:		8) Is an appearan	ce before	the Board being	9) Name of Case Advisor(s), if required:	
Open Session					N/A	
Closed Session		Yes				
10) Describe the issue a	nd action	No No	draccad:			
iv) Describe the issue a	iiu action	i tilat siloulu be au	uresseu.			
Attachments:						
 Adoption Order – A-E 2, relating to General Requirements and Procedures 						
 Adoption Order – A-E 8, relating to Professional Conduct Preliminary Rule Draft - A-E 2, 7 and 8, Relating to Sealing and Stamping of Documents 				a of Documents		
_		- A-E 2, 7 and 6, Re - A-E 8, Relating to	_		g of Documents	
_			•	neer in Training Crede	ential	
 Rules Project 			,	•		
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11)	u Kule Fi		Authoriza		Pages/RulesStatutes/PendingRules.aspx	
, Dana Denny					09/30/22	
Signature of person mal	king this	roquost			Date	
Signature of person mai	king uns i	request			Date	
Supervisor (if required)					Data	
Supervisor (if required)					Date	
E C . D' C C .			. 1.1		The second secon	
Executive Director signature (indicates approval to add post agenda deadline item to agenda) Date						
Directions for including comparting decompants.						
	Directions for including supporting documents: 1. This form should be attached to any documents submitted to the agenda.					
2. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.						
3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a						
meeting.						

STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, AND PROFESSIONAL LAND SURVEYORS

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IN THE MATTER OF RULEMAKING
PROCEEDINGS BEFORE THE
EXAMINING BOARD OF
EXAMINING BOARD OF ARCHITECTS,
LANDSCAPE ARCHITECTS,
PROFESSIONAL ENGINEERS,
DESIGNERS, AND PROFESSIONAL
LAND SURVEYORS

CORDER OF THE
EXAMINING BOARD OF
EXAMINING BOARD OF
ARCHITECTS, LANDSCAPE
ARCHITECTS, PROFESSIONAL
PROFESSIONAL ENGINEERS, DESIGNERS, AND
ENGINEERS, DESIGNERS, AND
ADOPTING RULES

: (CLEARINGHOUSE RULE 21-020)

ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors to amend A-E 2.03 (1) (a) and (3) and 2.05 (1) and (2) (a) and (b); and to create A-E 2.06, relating to general procedures.

Analysis prepared by the Department of Safety and Professional Services.

<u>ANALYSIS</u>

Statutes interpreted:

Sections 440.09 and 443.015 (2), Stats.

Statutory authority:

Sections 15.08 (5) (b), 440.09 (5), and 443.015 (2), Stats.

Explanation of agency authority:

Section 15.08 (5) (b), Stats., provides an examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains..."

Section 440.09 (5), Stats., states that "[t]he department or credentialing board, as appropriate, may promulgate rules necessary to implement this section."

Section 443.015 (2), Stats., provides that "[e]ach section of the examining board may promulgate rules governing the professional conduct of individuals, firms, partnerships, and corporations registered, permitted, certified, or granted a certificate of authorization by that section."

Related statute or rule:

Section 440.09, Stats., specifies the requirements for issuing reciprocal credentials to service members, former service members, and the spouses of service members and former service members.

Sections A-E 3.02 (1), 4.025 (1), 4.026, 5.05, 6.02 (1), and 9.02 (1) set forth the regular credentialing provisions for architects, landscape architects, professional engineers, engineers in training, professional land surveyors, and designers of engineering systems.

Plain language analysis:

The Board completed a comprehensive review of ch. A-E 2 to ensure the rules are current with standards of practice and consistent with statute. As a result, the following revisions have been made:

- The definition of "firm" in s. A-E 2.03 (1) (a) is updated to include a limited liability company or limited liability partnership located in Wisconsin that provides or offers to provide architectural, landscape architectural, professional engineering, design or professional land surveying services to the public.
- Section A-E 2.03 (3) is amended to clarify that it is upon request of the Board that a firm must provide notification of at least one resident who is in charge of and responsible for each separate business location.
- Section A-E 2.05 is updated to reflect that the applicable section of the Board makes determinations relating to the late renewal or reinstatement of a credential.
- Section A-E 2.06 is created to implement s. 440.09, Stats., as amended by 2019 Wisconsin Act 143. Section 440.09, Stats., specifies the requirements for issuing reciprocal credentials to service members, former service members, and the spouses of service members and former service members.

Summary of, and comparison with, existing or proposed federal regulation:

None.

Comparison with rules in adjacent states:

Illinois:

Rules of the Illinois Department of Financial and Professional Regulation do not specify general requirements and procedures that apply to architects, engineers, and professional land surveyors. Instead, the rules separately specify requirements and procedures that apply to architects [68 Ill. Adm. Code 1150.10 to 1150.110], engineers [68 Ill. Adm. Code 1380.210 to 1380.325], and professional land surveyors [68 Ill. Adm. Code 1270.5 to 1270.65]. Illinois does not issue permits or similar credentials for designers of engineering systems or landscape architects.

The Illinois Statutes provide for the expedited licensure of service members and their spouses (20 ILCS 5/5-715). "Service member" includes a person whose active duty service concluded within the 2 years preceding application for licensure. A license issued to a service member or the spouse of a service member may be renewed.

Iowa:

The Iowa Administrative Code does not specify general requirements and procedures that apply to architects, engineers, professional land surveyors, and landscape architects. Instead, requirements and procedures for these professions are separately specified in

rules of the Iowa Architectural Examining Board [193B IAC], Iowa Engineering and Land Surveying Examining Board [193C IAC], and Iowa Landscape Architectural Examining Board [193D IAC]. Iowa does not issue permits or similar credentials for designers of engineering systems.

Rules of the Professional Licensing and Regulation Bureau of the Iowa Department of Commerce provide for the expedited reciprocal licensure of a veteran or a spouse of an active duty service member (193 IAC 193.14.3). A reciprocal license issued to a veteran or a spouse of an active duty service member may be renewed.

Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs do not specify general requirements and procedures that apply to architects, professional engineers, professional land surveyors, and landscape architects. Instead, the rules separately specify requirements and procedures that apply to architects [Mich Admin Code, R 339.15101 to R 339.15506], professional engineers [Mich Admin Code, R 339.16001 to R 339.16044], professional land surveyors [Mich Admin Code, R 339.17101 to R 339.17506], and landscape architects [Mich Admin Code, R 339.19023 to R 339.19041]. Michigan does not issue permits or similar credentials for designers of engineering systems.

The Michigan Statutes provide for temporary licensure of the spouse of an active duty service member (MCL 339.213). A temporary license is valid for 6 months and may be renewed for one additional 6-month term if it is determined the licensee continues to meet the requirements for temporary licensure and needs additional time to fulfill the requirements for initial licensure.

Minnesota:

Rules of the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design specify general requirements and procedures that apply to architects, engineers, land surveyors, and landscape architects [Minnesota Rules, parts 1800.0050 to 1800.0900]. Minnesota does not issue permits or similar credentials for designers of engineering systems.

The Minnesota Statutes provide for temporary licensure of an individual who is an active duty military member, the spouse of an active duty military member, or a veteran who has left service in the 2 years preceding the date of license application (2019 Minnesota Statutes, Section 197.4552). A temporary license allows a qualified individual to perform regulated professional services for a limited length of time as determined by the applicable licensing board. During the temporary license period, the individual must complete the full application procedure as required by applicable law.

Summary of factual data and analytical methodologies:

The proposed rules were developed by conducting a comprehensive review of the provisions of ch. A-E 2 and s. 440.09, Stats., as amended by 2019 Wisconsin Act 143, and obtaining input and feedback from the Rules Committee of the A-E Board.

Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules were posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals. No comments were received.

Fiscal Estimate and Economic Impact Analysis:

The Fiscal Estimate and Economic Impact Analysis document is attached.

Effect on small business:

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted by email at Daniel.Hereth@wisconsin.gov, or by calling (608) 267-2435.

Agency contact person:

Dana Denny, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; telephone 608-287-3748; email at DSPSAdminRules@wisconsin.gov.

TEXT OF RULE

SECTION 1. A-E 2.03 (1) (a) and (3) are amended to read:

- A-E 2.03 (1) (a) "Firm" means any sole proprietorship, partnership, or corporation, limited liability company, or limited liability partnership located in Wisconsin this state which provides or offers to provide architectural, landscape architectural, professional engineering, design, or professional land surveying services to the public.
- (3) NOTICE. Every firm shall notify <u>Upon written request from</u> the board, a firm <u>shall provide notification</u> of at least one resident who is in charge of and responsible for each separate business location.

SECTION 2. A-E 2.05 (1) and (2) (a) and (b) are amended to read:

- A-E 2.05 (1) If a licensee an individual who fails to renew his or her a credential by the established renewal date applies for renewal of the credential less than 5 years after its expiration, the credential shall be renewed upon payment of the renewal fee specified in s. 440.08, Stats.
- (2) (a) If a licensee an individual applies for renewal of his or her a credential more than 5 years after its expiration, the applicable section of the board shall determine whether the applicant is competent to practice under the credential in this state. The inquiry shall include a review of the applicant's practice within the previous 5 years, if any, in other licensing jurisdictions.
- **(b)** After inquiry, the <u>applicable section of the</u> board shall impose any reasonable conditions on reinstatement of the credential as the <u>board section</u> deems appropriate,

including a requirement that the applicant complete any current requirement for original licensure.

SECTION 3. A-E 2.06 is created to read:

A-E~2.06~ Reciprocal credentials for service members, former service members, and their spouses.

- (1) In this section, "reciprocal credential" means all any of the following:
- (a) Registration as an architect.
- **(b)** Registration as a landscape architect.
- (c) Registration as a professional engineer.
- (d) Certification as an engineer-in-training.
- (e) A license to engage in the practice of professional land surveying.
- **(f)** A permit as a designer of engineering systems.
- (2) The board shall grant a reciprocal credential to a service member, former service member, or the spouse of a service member or former service member who submits an application provided by the board and who the appropriate section of the board determines meets all of the requirements under s. 440.09 (2), Stats. Subject to s. 440.09 (2m), Stats., the section may request verification necessary to make a determination under this section.

Note: Applications for reciprocal credentials may be obtained from the department of safety and professional services at (608) 266-2112 or from the department's website at http://dsps.wi.gov.

SECTION 4. A-E 3.02 (1) (intro.) is amended to read:

A-E 3.02 (1) (intro.) An Except as provided in s. A-E 2.06 (2), an applicant for registration as an architect, in accordance with s. 443.03, Stats., shall submit all of the following:

SECTION 5. A-E 4.025 (1) (intro.) is amended to read:

A-E 4.025 (1) (intro.) An Except as provided in s. A-E 2.06 (2), an applicant for engineer—in—training is required to submit all of the following:

SECTION 6. A-E 4.026 (1) (intro.) and (2) (intro.) are amended to read:

- A-E 4.026 (1) (intro.) FOUR YEAR COURSE OF STUDY. An Except as provided in s. A-E 2.06 (2), an applicant for professional engineer based on a four—year course of study is required to submit all of the following:
- (2) (intro.) TWO YEAR COURSE OF STUDY. An Except as provided in s. A-E 2.06 (2), an applicant for professional engineer based on a 2-year course of study is required to submit all of the following:

SECTION 7. A-E 5.05 (intro.) is amended to read:

A-E 5.05 (intro.) Application contents. An Except as provided in s. A-E 2.06 (2), an application shall include all of the following:

SECTION 8. A-E 6.02 (1) (intro.) is amended to read:

A-E 6.02 (1) (intro.) An Except as provided in s. A-E 2.06 (2), an applicant for a professional land surveyor licensure, in accordance with s. 443.06 (2), Stats., shall complete all of the following:

SECTION 9. A-E 9.02 (1) is amended to read:

A-E 9.02 (1) An Except as provided in s. A-E 2.06 (2), an applicant for registration as a landscape architect shall submit documentary evidence of having met the requirements under s. 443.035, Stats.

SECTION 10. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

	(END OF TEXT OF RULE)	
Dated	Agency	Chair

STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, AND PROFESSIONAL LAND SURVEYORS

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IN THE MATTER OF RULEMAKING
PROCEEDINGS BEFORE THE
EXAMINING BOARD OF
EXAMINING BOARD OF ARCHITECTS,
LANDSCAPE ARCHITECTS,
PROFESSIONAL ENGINEERS,
DESIGNERS, AND PROFESSIONAL
LAND SURVEYORS

CORDER OF THE
EXAMINING BOARD OF
ARCHITECTS, LANDSCAPE
ARCHITECTS, PROFESSIONAL
PROFESSIONAL ENGINEERS,
PROFESSIONAL LAND SURVEYORS
LAND SURVEYORS

ADOPTING RULES

: (CLEARINGHOUSE RULE 21-019)

ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors to amend A-E 8.04 (5), relating to professional conduct.

Analysis prepared by the Department of Safety and Professional Services.

<u>ANALYSIS</u>

Statutes interpreted:

Section 443.015 (2), Stats.

Statutory authority:

Sections 15.08 (5) (b) and 443.015 (2), Stats.

Explanation of agency authority:

Section 15.08 (5) (b), Stats., provides an examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains..."

Section 443.015 (2), Stats., provides that "[e]ach section of the examining board may promulgate rules governing the professional conduct of individuals, firms, partnerships, and corporations registered, permitted, certified, or granted a certificate of authorization by that section."

Related statute or rule:

Section 443.11, Stats., gives the appropriate section of the Board the authority to, under specified circumstances, reprimand a credential holder or limit, suspend, or revoke a credential. One of the circumstances under which this authority may be exercised is any violation of the rules of professional conduct adopted and promulgated under s. 443.015 (2), Stats.

Plain language analysis:

The Board completed a comprehensive review of ch. A-E 8 to ensure that the rules are current with standards of practice and consistent with ch. 443, Stats. As a result, s. A-E 8.04 (5) has been revised to clarify that the common practice of representing a partnership or association in principal between parties within a proposal for the performance of services is not prohibited.

Summary of, and comparison with, existing or proposed federal regulation:

None.

Comparison with rules in adjacent states:

Illinois:

Rules of the Illinois Department of Financial and Professional Regulation provide standards of professional conduct for architects [68 Ill. Adm. Code 1150.90], engineers [68 Ill. Adm. Code 1380.300], and professional land surveyors [68 Ill. Adm. Code 1270.57]. The rules require an architect to accurately represent to a prospective or existing client or employer the architect's qualifications and the scope of the architect's responsibility in connection with work for which the architect is claiming credit. The rules also provide that engineers and professional land surveyors soliciting employment may not misrepresent pertinent facts concerning employers, employees, associates, joint ventures, or past accomplishments with the intent or purpose of enhancing their qualifications or work.

Illinois does not issue permits or similar credentials for designers of engineering systems or landscape architects.

Iowa:

Rules of professional conduct for architects, engineers, professional land surveyors, and landscape architects are specified by the Iowa Architectural Examining Board [193B IAC 4.1], Iowa Engineering and Land Surveying Examining Board [193C IAC 8.1 to 8.5], and Iowa Landscape Architectural Examining Board [193D IAC 4.1 to 4.5]. The rules require an architect or landscape architect to accurately represent to a prospective or existing client or employer the scope of their responsibility in connection with work for which the architect is claiming credit. The rules also provide that presentations incident to the solicitation of employment as an engineer or professional land surveyor may not misrepresent pertinent facts concerning employers, employees, associates, firms, joint ventures, or past accomplishments.

Iowa does not issue permits or similar credentials for designers of engineering systems.

Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs provide standards of professional conduct for architects [Mich Admin Code, R 339.15401], professional engineers [Mich Admin Code, R 339.16031 to R 339.16034], professional land surveyors [Mich Admin Code, R 339.17401 to R 339.17403], and landscape architects [Mich Admin Code, R 339.19041]. The rules for professional engineers, professional land

surveyors, and landscape architects do not specifically address misrepresenting the extent to which the performance of services will involve a partnership or association with another registrant or licensee or misrepresenting the identity of a registrant or licensee with whom a partnership or association will be engaged in for the performance of services. The rules for architects adopt by reference the National Council of Architectural Registration Boards (NCARB) model rules of conduct revised July 2018. The model rules prohibit an architect from misrepresenting or overstating the scope of the architect's responsibility in connection with work for which the architect or the architect's firm is claiming credit.

Michigan does not issue permits or similar credentials for designers of engineering systems.

Minnesota:

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design provides rules of professional conduct for architects, engineers, land surveyors, and landscape architects [Minnesota Rules, parts 1805.0100 to 1805.1600]. The rules provide that, in connection with work for which the licensee or certificate holder is claiming credit, the licensee or certificate holder must accurately represent the licensee's or certificate holder's qualifications, education, and scope of responsibility for the work. The licensee or certificate holder must also accurately represent the qualifications, education, and scope of responsibility of any employer, employees, or associates.

Minnesota does not issue permits or similar credentials for designers of engineering systems.

Summary of factual data and analytical methodologies:

The proposed rules were developed by conducting a comprehensive review of the provisions of ch. A-E 8 and obtaining input and feedback from the Rules Committee of the A-E Board.

Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules were posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals. No comments were received.

Effect on small business:

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted by email at Daniel.Hereth@wisconsin.gov, or by calling (608) 267-2435.

Agency contact person:

Dana Denny, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; telephone 608-261-4472; email at DSPSAdminRules@wisconsin.gov.

TEXT OF RULE

SECTION 1. A-E 8.04 (5) is amended to read:

A-E 8.04 (5) May not represent that he or she is engaged in misrepresent the extent to which the performance of services will involve a partnership or association with another unless there exists in fact registrant or licensee or misrepresent the identity of a registrant or licensee with whom a partnership or association will be engaged in for the performance of services.

SECTION 2. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

pursuant to s. 227	7.22 (2) (intro.), Stats.	
	(END OF TEXT OF RULE)	
Dated	Agency	
		Chair

STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND INTERIOR DESIGNERS

LAND SURVEYORS, AND REGISTERED:

INTERIOR DESIGNERS

PROPOSED ORDER OF THE
PROCEEDINGS BEFORE THE : EXAMINING BOARD OF
EXAMINING BOARD OF ARCHITECTS, : ARCHITECTS, LANDSCAPE
LANDSCAPE ARCHITECTS, : ARCHITECTS, PROFESSIONAL
PROFESSIONAL ENGINEERS, : ENGINEERS, DESIGNEDS
DESIGNERS, PROFESSIONAL
LAND SURVEYORS : TO SURVEYORS, AND **REGISTERED INTERIOR**

> **DESIGNERS** ADOPTING RULES

(CLEARINGHOUSE RULE)

PROPOSED ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers to amend A-E 2.02 (3), 2.02 (7) (a), 7.05 (8), 8.10, 8.10 (1), and 8.10 (2) relating to sealing and stamping of documents.

Analysis prepared by the Department of Safety and Professional Services.

ANALYSIS

Statutes interpreted:

Section 443.08 (4) (b), 443.17, and 443.175, Stats.

Statutory authority:

Sections 15.08 (5) (b), 443.015 (2), and 227.11 (2) (a), Stats.

Explanation of agency authority:

Section 15.08 (5) (b), Stats., "[e]ach examining board...[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 443.015 (2), Stats., provides that "[e]ach section of the examining board may establish continuing education requirements for renewal of a credential issued by that section under this chapter."

Section 227.11 (2) (a), Stats., "[e]ach agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute..."

Related statute or rule:

NoneA-E 2.02 (7) (b) (2), which lists requirements for electronic signatures, and Section 137.11 (13) Stats.

Plain language analysis:

The A-E Board performed a comprehensive review to evaluate A-E 2, 7, and 8 to provide clarification on the rules relating to seals and stamps and eliminated redundant words or sentences that might bring confusion to stakeholders.

Summary of, and comparison with, existing or proposed federal regulation:

None.

Comparison with rules in adjacent states:

Illinois:

Rules of the Illinois Department of Financial and Professional Regulation provide seal requirement standards of for architects [68 Ill. Adm. Code 1150], professional engineers [68 Ill. Adm. Code 1380.295], and professional land surveyors [68 Ill. Adm. Code 1270.58].

Licensed Architects and Professional Engineers must have a reproducible seal, which may be electronically affixed, or, at the licensed professional's discretion, an original signature in the licensee's handwriting, a scanned copy of documents bearing an original signature, or a signature generated by a computer.

Land surveyors must have a reproducible seal or facsimile, which may be computer generated, the impression of which shall contain the name of the land surveyor, his or her place of business, the license number of the professional land surveyor, and the words "Professional Land Surveyor, State of Illinois." They may also provide an original signature in the licensee's handwriting, a scanned copy of the document bearing an original signature, or a signature generated by a computer.

Iowa:

Rules covering seal requirements for architects, engineers, professional land surveyors, and landscape architects are specified by the Iowa Architectural Examining Board [193B IAC 4.6], the Iowa Engineering and Land Surveying Examining Board [193C IAC 6.1(1) to 6.1(9)], and the Iowa Landscape Architectural Examining Board [193D IAC 4.1(7)].

Architects, Landscape Architects and Professional Land Surveyors may provide seals presented as a legible rubber stamp, an electronic image or any other facsimile of the seal. The information requested in each information block must be typed or legibly

printed in permanent ink or a secure electronic signature which has been defined in Iowa Code section 554D.103(14), which includes digital signature technology.

Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs provide seal requirements for architects [Mich Admin Code, R 339.15404], professional engineers [Mich Admin Code, R 339.16031 to R 339.16034], professional surveyors [Mich Admin Code, R 339.17301], and landscape architects [Mich Admin Code, R 339.19041]. In the State of Michigan rules, there are no differences specified between the types of seals or stamps.

Minnesota:

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design provide seal requirements for licensed architects, licensed professional engineers, licensed land surveyors, and licensed landscape architects [Minnesota Rules, part 1800.4300].

Within the State of Minnesota, professional certifications and signatures on plans, drawings, specifications, plats, reports, and other documents may be electronic, or provided via facsimile or digitally. Plans, specifications, plats, reports, and other documents may be stamped with the seal during the life of a licensee's license or certificate holder's certificate if it remains unrevoked, has not expired, or has not been suspended.

Summary of factual data and analytical methodologies:

The proposed rule was developed by conducting a comprehensive review of the provisions of ch. A-E 2, 7, and 8, reviewing professional sealing and stamping provisions from surrounding states, and obtaining input and feedback from the Rules Committee of the A-E Board.

Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rule will be posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals.

Effect on small business:

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator, Jennifer Garrett, may be contacted by email at Jennifer.Garrett@wisconsin.gov, or by calling (608) 266-6795.

Agency contact person:

Dana Denny, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366,

Madison, Wisconsin 53708; telephone 608-287-3748; email at DSPSAdminRules@wisconsin.gov.

Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Dana Denny, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to DSPSAdminRules@wisconsin.gov. Comments must be received on or before the public hearing, held on a date to be determined, to be included in the record of rule-making proceedings.

TEXT OF RULE

SECTION 1. A-E 2.02 (3) is repealed and replaced to read:

A-E 2.02 (3) A rubber stamp, identical in size, design and content to a board-approved seal, may be used as a substitute for a registration seal. The registration seal may be crimp type, rubber stamp, or electronic. No stickers are allowed.

SECTION 2. A-E 2.02 (7) (a) is amended to read:

A-E 2.02 (7) (a) All seals or stamps affixed to plans, drawings, documents, specifications, and reports to be filed as public documents shall be original. No stickers shall be allowed. Seals or stamps may be applied by crimp type, rubber stamp or by electronic means provided the electronic seal or stamp meets the requirements of Ch. 137, Stats., a security procedure is used, and electronic submissions are permitted by the governmental unit that is to receive the plans, drawings, documents, specifications, and reports.

SECTION 3. A-E 7.05 (8) is amended to read:

A-E 7.05 (8) The map shall bear the stamp or seal, name and address and signature of the professional land surveyor under whose direction and control the property survey was made with a statement certifying that the property survey complies with this chapter and is correct to the best of the professional land surveyor's knowledge and belief.

SECTION 4. A-E 8.10 (title), (1), (2) are amended to read:

A-E 8.10 Plan stamping sealing.

A-E 8.10 (1) No architect, landscape architect, professional engineer or designer may sign, seal or stamp any plans, drawings, documents, specifications or reports for architectural, landscape architectural, professional engineering or design practice which are not prepared by the registrant or under his or her personal direction and control.

A-E 8.10 (2) No professional land surveyor may sign, seal or stamp any maps, plats, charts, or reports for professional land surveying practice which are not prepared by the professional land surveyor or under his or her personal direction and control.

SECTION 5. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

(END OF TEXT OF RULE)

This Proposed Order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers is approved for submission to the Governor and Legislature.

Dated	Agency	
		Chair

STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND INTERIOR DESIGNERS

LAND SURVEYORS, AND REGISTERED :

INTERIOR DESIGNERS

PROPOSED ORDER OF THE
PROCEEDINGS BEFORE THE : EXAMINING BOARD OF
EXAMINING BOARD OF ARCHITECTS, : ARCHITECTS, LANDSCAPE
LANDSCAPE ARCHITECTS, : ARCHITECTS, PROFESSIONAL
PROFESSIONAL ENGINEERS, : ENGINEERS. DESIGNERS, PROFESSIONAL
LAND SURVEYOR? SURVEYORS, AND

REGISTERED INTERIOR DESIGNERS

ADOPTING RULES (CLEARINGHOUSE RULE)

PROPOSED ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers to create A-E 8.05 (5) (b) (6) and 8.06 (4) relating to direct supervision.

Analysis prepared by the Department of Safety and Professional Services.

ANALYSIS

Statutes interpreted:

Section 443.015 (2), Stats.

Statutory authority:

Sections 15.08 (5) (b), and 227.11 (2) (a), Stats.

Explanation of agency authority:

Section 15.08 (5) (b), Stats., "[e]ach examining board...[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 227.11 (2) (a), Stats., "[e]ach agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute..."

Related statute or rule:

None.

Plain language analysis:

The Board completed a comprehensive review of ch. A-E 8 to ensure that rules are consistent with standards of professional practice and to update the definition of supervision and provide improved rule clarification and interpretation.

Summary of, and comparison with, existing or proposed federal regulation:

None.

Comparison with rules in adjacent states:

Illinois:

Rules of the Illinois Department of Financial and Professional Regulation provide standards of professional conduct for architects [68 Ill. Adm. Code 1150.90], professional engineers [68 Ill. Adm. Code 1380.300], and professional land surveyors [68 Ill. Adm. Code 1270.57]. None of these rules address direct supervision of subordinate employees.

Iowa:

Rules of professional conduct for architects, engineers, professional land surveyors, and landscape architects are specified by the Iowa Architectural Examining Board [193B IAC 4.1], the Iowa Engineering and Land Surveying Examining Board [193C IAC 8.1 to 8.5], the Iowa Landscape Architectural Examining Board [193D IAC 4.1 to 4.5] and the Iowa Interior Design Board [193G IAC 4.1]. None of these rules address direct supervision of subordinate employees.

Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs provide standards of professional conduct for architects [Mich Admin Code, R 339.15401], professional engineers [Mich Admin Code, R 339.16031 to R 339.16034], professional land surveyors [Mich Admin Code, R 339.17401 to R 339.17404], and landscape architects [Mich Admin Code, R 339.19001 to R 339.19049]. None of these rules address direct supervision of subordinate employees.

Minnesota:

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design provide rules of professional conduct for architects, engineers, land surveyors, and landscape architects [Minnesota Rules, parts 1805.0100 to 1805.1600]. The Minnesota rules define a direct supervisor as an individual who "directs the work of other licensees, unlicensed professionals, technicians, and clerical persons assigned to that work and is in responsible charge of the project comprising the work being supervised."

Summary of factual data and analytical methodologies:

The proposed rule was developed by conducting a comprehensive review of the provisions of ch. A-E 8, reviewing professional supervision standards from other states, and obtaining input and feedback from the Rules Committee of the A-E Board.

Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rule will be posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals.

Effect on small business:

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator, Jennifer Garrett, may be contacted by email at Jennifer.Garrett@wisconsin.gov, or by calling (608) 266-6795.

Agency contact person:

Dana Denny, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; telephone 608-287-3748; email at DSPSAdminRules@wisconsin.gov.

Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Dana Denny, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to DSPSAdminRules@wisconsin.gov. Comments must be received on or before the public hearing, held on a date to be determined, to be included in the record of rule-making proceedings.

TEXT OF RULE

SECTION 1. A-E 8.05 (5) (b) (6) is created to read:

A-E 8.05 (5) (b) (6) Use of appropriate technology that is functionally equivalent to inperson supervision to provide oversight remotely.

SECTION 2. A-E 8.06 (4) is created to read:

A-E 8.06 (4) Shall be held to the same standards of practice and conduct regardless of whether their professional duties under this chapter are performed in-person or by utilizing remote technology.

SECTION 3. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

(END OF TEXT OF RULE)

This Proposed Order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers is approved for submission to the Governor and Legislature.

Dated	Agency		
		Chair	

STATEMENT OF SCOPE

Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors

Rule No.:	A-E 4 and 13
Delettereter	
Relating to:	Engineer in Training and Continuing Education Requirements
Rule Type:	Permanent

1. Finding/nature of emergency (Emergency Rule only):

N/A

2. Detailed description of the objective of the proposed rule:

The board is interested in reviewing the administrative rules relating to requirements to obtain the engineer-in-training credential. Particularly, the board is considering providing greater clarity as to the required education to receive the credential.

Additionally, the board will review its current rules relating to professional engineering continuing education. The board will consider whether the current provisions specifying acceptable credentialing agencies for continuing education programs should be updated to include additional agencies.

3. Description of the existing policies relevant to the rule, new policies proposed to be included in the rule, and an analysis of policy alternatives:

The relevant policies include ensuring that stakeholders have clarity as to the education required to obtain the engineer-in-training credential. Additionally, the board wants to ensure that its approved continuing education accreditors accurately encompasses the array of continuing education options available to the profession. The alternative to promulgating rules would be to not update the rules. This would result in stakeholders continuing to lack clarity as to the requirements to obtain the engineer-in-training credential, as well as what constitutes acceptable continuing education courses.

4. Detailed explanation of statutory authority for the rule (including the statutory citation and language):

Section 15.08 (5) (b), Stats., "[e]ach examining board...[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 227.11 (2) (a), Stats., "[e]ach agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute..."

Section 443.015 (1), Stats. provides that "[e]ach section of the examining board may establish continuing education requirements for renewal of a credential issued by that section under this chapter."

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Section 443.05, Stats. provides authority to the professional engineer section to review and approve applicant education for the engineer-in-training credential.

applicant education for the engineer-in-training credential.	
5. Estimate of amount of time that state employees will sper resources necessary to develop the rule:	nd developing the rule and of other
Approximately 80 hours.	
6. List with description of all entities that may be affected by	y the proposed rule:
Applicants for the engineer-in-training credential, registered profe continuing education accreditors and course providers.	essional engineers, professional engineer
7. Summary and preliminary comparison with any existing contended to address the activities to be regulated by the pro-	
None.	
8. Anticipated economic impact of implementing the rule (ne significant economic impact on small businesses):	ote if the rule is likely to have a
None to minimal.	
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Approved for publication:	Approved for implementation:
Loshum Stypyinski Authorized Signature	Authorized Signature

Date Approved

10/7/21 Date Approved

Architects, Land Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers Rule Projects (updated 09/29/22)

Clearinghouse Rule Number	Scope #	Scope Expiration	Code Chapter Affected	Relating clause/ Summary	Current Stage	Next Step
21-020	080-19	2/19/2022	A-E 2	General requirements and procedures. Amendments to ensure requirements are current with standards of practice and consistent with Statutes. Update to ensure compliance with 2017 Act 108.	Adoption Order to be presented at 10/12/22 A-E Board Meeting.	After Legislative Review is over, if there are no objections, we may adopt the rule.
21-019	082-19	2/19/2022	A-E 8	Professional Conduct Update to ensure compliance with 2017 Act 108.	Adoption Order to be presented at 10/12/22 A-E Board Meeting.	After Legislative Review is over, if there are no objections, we may adopt the rule.
	112-24	6/20/2024	A-E 4 and 13	Engineer in training credential. Provide more clarity as to the required education and review acceptable credentialing agencies for continuing education.	Currently drafting.	Board Approval of Preliminary Rule Draft
	112-21	6/20/24	A-E 2, 7, and 8	Clarification on definitions of seal and stamps, requirements for electronic signatures, and clean up redundant words or sentences.	Preliminary Rule Draft reviewed at 10/11/22 A-E Rules Meeting.	Posting for EIA Comment and Submission to Clearinghouse and Public Hearing.
	Not Yet Assigned		A-E 8	Clarification on definitions of supervision to ensure requirements are current with standards of practice.	Preliminary Rule Draft reviewed at 10/11/22 A-E Rules Meeting.	Posting for EIA Comment and Submission to Clearinghouse and Public Hearing.