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Tony Evers, Governor Dan Hereth, Secretary

#### VIRTUAL/TELECONFERENCE COSMETOLOGY EXAMINING BOARD

Virtual, 4822 Madison Yards Way, Madison Contact: Will Johnson (608) 266-2112 October 28, 2024

The following agenda describes the issues that the Board plans to consider at the meeting. At the time of the meeting, items may be removed from the agenda. Please consult the meeting minutes for a record of the actions of the Board.

#### **AGENDA**

#### 9:30 AM

#### OPEN SESSION - CALL TO ORDER - ROLL CALL

- A. Adoption of Agenda (1-3)
- B. Approval of Minutes of August 26, 2024 (4-6)
- C. Introductions, Announcements and Recognition
- D. Reminders: Conflicts of Interest, Scheduling Concerns

#### E. Administrative Matters – Discussion and Consideration

- 1) Department, Staff, and Board Updates
- 2) Delegation of Authorities (7-20)
- 3) Board Members Term Expiration Dates
  - a. Beckett, Dutch W.V. -7/1/2027
  - b. Blake, Melissa K. 7/1/2028
  - c. Cwojdzinski, Kayla M. 7/1/2028
  - d. George, Fawn J. -7/2/2027
  - e. Hoeppner, Ann M. -7/1/2027
  - f. Jackson, Megan A. -7/1/2027
  - g. McIntosh, Dana -7/1/2027
  - h. Watkins, Alexis -7/1/2027

#### F. Administrative Rule Matters – Discussion and Consideration (21-27)

- 1) Drafting Proposals: Cos 1 to 4 relating to Mobile Establishments (22-26)
- 2) Pending and Possible Rulemaking Projects (27)

# G. Speaking Engagements, Travel, or Public Relation Request(s) – Discussion and Consideration

1) Travel Report: 2024 NIC Annual Conference on October 11-14, 2024, in Salt Lake City, UT

- H. Legislative and Policy Matters Discussion and Consideration
- I. DSPS Interdisciplinary Advisory Council Discussion and Consideration
- J. Deliberation on Items Added After Preparation of Agenda
  - 1) Introductions, Announcements and Recognition
  - 2) Election of Officers, Appointment of Liaison(s), Delegation of Authorities
  - 3) Administrative Matters
  - 4) Education and Examination Matters
  - 5) Credentialing Matters
  - 6) Practice Matters
  - 7) Legislative and Policy Matters
  - 8) Administrative Rule Matters
  - 9) Liaison Reports
  - 10) Public Health Emergencies
  - 11) Board Liaison Training and Appointment of Mentors
  - 12) Informational Items
  - 13) Division of Legal Services and Compliance (DLSC) Matters
  - 14) Presentations of Petitions for Summary Suspension
  - 15) Petitions for Designation of Hearing Examiner
  - 16) Presentation of Stipulations, Final Decisions and Orders
  - 17) Presentation of Stipulations and Interim Orders
  - 18) Presentation of Proposed Final Decision and Orders
  - 19) Presentation of Interim Orders
  - 20) Petitions for Re-Hearing
  - 21) Petitions for Assessments
  - 22) Petitions to Vacate Orders
  - 23) Requests for Disciplinary Proceeding Presentations
  - 24) Motions
  - 25) Petitions
  - 26) Appearances from Requests Received or Renewed
  - 27) Speaking Engagement(s), Travel, or Public Relation Request(s)

#### K. Public Comments

CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.); to consider licensure or certification of individuals (s. 19.85(1)(b), Stats.); to consider closing disciplinary investigations with administrative warnings (ss. 19.85 (1)(b), and 440.205, Stats.); to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.); and to confer with legal counsel (s. 19.85(1)(g), Stats.).

- L. Deliberation on Division of Legal Services and Compliance (DLSC) Matters
  - 1) Deliberation on Proposed Final Decision and Order of Default
    - a) 21 BAC 023 Azure Nails & Ngoc Dung Thi Nguyen (28-45)
  - 2) Case Closings
    - a) 22 BAC 043 A.V.T. (46-50)

#### M. Deliberation on Proposed Final Decision and Order

1) Gijeila A. Rivera Quiles – DHA Case Number SPS-24-0015/ DLSC Case Number 23 BAC 071 (51-60)

- N. Deliberation of Items Added After Preparation of the Agenda
  - 1) Education and Examination Matters
  - 2) Credentialing Matters
  - 3) DLSC Matters
  - 4) Monitoring Matters
  - 5) Professional Assistance Procedure (PAP) Matters
  - 6) Petitions for Summary Suspension
  - 7) Petitions for Designation of Hearing Examiner
  - 8) Proposed Stipulations, Final Decision(s) and Order(s)
  - 9) Proposed Final Decision(s) and Order(s) of Default
  - 10) Proposed Interim Orders
  - 11) Administrative Warnings
  - 12) Review of Administrative Warnings
  - 13) Proposed Final Decisions and Orders
  - 14) Orders Fixing Costs/Matters Related to Costs
  - 15) Case Closings
  - 16) Board Liaison Training
  - 17) Petitions for Assessments and Evaluations
  - 18) Petitions to Vacate Orders
  - 19) Remedial Education Cases
  - 20) Motions
  - 21) Petitions for Re-hearings
  - 22) Appearances from Requests Received or Renewed
- O. Consulting with Legal Counsel

#### RECONVENE TO OPEN SESSION IMMEDIATELY FOLLOWING CLOSED SESSION

- P. Vote on Items Considered or Deliberated Upon in Closed Session, if Voting is Appropriate
- Q. Open Session Items Noticed Above Not Completed in the Initial Open Session

#### **ADJOURNMENT**

#### NEXT MEETING: JANUARY 27, 2025 Board Member Training: November 15

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MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC, AND MAY BE CANCELLED WITHOUT NOTICE.

Times listed for meeting items are approximate and depend on the length of discussion and voting. All meetings are held virtually unless otherwise indicated. In-person meetings are typically conducted at 4822 Madison Yards Way, Madison, Wisconsin, unless an alternative location is listed on the meeting notice. In order to confirm a meeting or to request a complete copy of the board's agenda, please visit the Department website at https:\\dsps.wi.gov. The board may also consider materials or items filed after the transmission of this notice. Times listed for the commencement of any agenda item may be changed by the board for the convenience of the parties. The person credentialed by the board has the right to demand that the meeting at which final action may be taken against the credential be held in open session. Requests for interpreters for the hard of hearing, or other accommodations, are considered upon request by contacting the Affirmative Action Officer or reach the Meeting Staff by calling 608-267-7213.

### VIRTUAL/TELECONFERENCE COSMETOLOGY EXAMINING BOARD MEETING MINUTES AUGUST 26, 2024

PRESENT: Dutch Beckett, Melissa Blake, Fawn George, Ann Hoeppner, Megan Jackson, Dana

McIntosh (excused at 11:22 a.m.), Alexis Watkins

**ABSENT:** Kayla Cwojdzinski

STAFF: Will Johnson, Executive Director; Joseph Ricker, Legal Counsel; Jacob Pelegrin,

Administrative Rule Coordinator; Tracy Drinkwater, Board Administration

Specialist; and other Department staff.

#### **CALL TO ORDER**

Megan Jackson, Chairperson, called the meeting to order at 9:37 a.m. A quorum was confirmed with Seven (7) members present.

#### ADOPTION OF AGENDA

**MOTION:** Ann Hoeppner moved, seconded by Alexis Watkins, to adopt the Agenda as

published. Motion carried unanimously.

**APPROVAL OF MINUTES OF JUNE 10, 2024** 

**MOTION:** Dana McIntosh moved, seconded by Ann Hoeppner, to approve the Minutes

of June 10, 2024, as published. Motion carried unanimously.

#### DSPS INTERDISCIPLINARY ADVISORY COUNCIL

#### **Board Appointment of Liaison**

**MOTION:** Melissa Blake moved, seconded by Ann Hoeppner, to delegate authority to

the Interdisciplinary Advisory Council liaison to speak and take action on behalf of the Cosmetology Examining Board in matters considered by the Council, and to report back to the Cosmetology Examining Board on any

actions taken by the Council. Motion carried unanimously.

OTHER APPOINTMENTS			
Interdisciplinary Advisory	Alexis Watkins		
Council	Alternate: Dana McIntosh		

#### ADMINISTRATIVE RULE MATTERS

# Board discussion of new scope statement for Cos 1, 2, 4, 5, 6 relating to Education and Approved Formal Training

MOTION: Dut

Dutch Beckett moved, seconded by Ann Hoeppner, to approve the Scope Statement revising rules Cos 1, 2, 4, 5, and 6 relating to Education and Approved Formal Training for submission to the Governor's Office and for publication in the Register. Additionally, the Board authorizes the Chair to approve the Scope Statement for implementation no less than 10 days after publication. If the Board is directed to hold a preliminary public hearing on the Scope Statement, the Chair is authorized to approve the required notice of hearing. Motion carried unanimously.

#### Board discussion of drafting for Cos 1 to 4, relating to Mobile Establishments

**MOTION:** 

Ann Hoeppner moved, seconded by Dutch Beckett, to authorize the Dana McIntosh to work with DSPS staff on preliminary rule drafting for rule Cos 1 to 4 relating to Mobile Establishments. Motion carried unanimously.

#### **CLOSED SESSION**

**MOTION:** 

Ann Hoeppner moved, seconded by Dana McIntosh, to convene to closed session to deliberate on cases following hearing (s. 19.85(1)(a), Stats.); to consider licensure or certification of individuals (s. 19.85(1)(b), Stats.); to consider closing disciplinary investigation with administrative warning (ss. 19.85(1)(b), Stats. and 440.205, Stats.); to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.); and, to confer with legal counsel (s. 19.85(1)(g), Stats.). Megan Jackson, Chairperson, read the language of the motion. The vote of each member was ascertained by voice vote. Roll Call Vote: Dutch Beckett-yes; Melissa Blake-yes; Fawn George-yes, Ann Hoeppner-yes; Megan Jackson-yes; Dana McIntosh-yes; and Alexis Watkins-yes. Motion carried unanimously.

The Board convened into Closed Session at 11:03 a.m.

### DELIBERATION ON DIVISION OF LEGAL SERVICES AND COMPLIANCE (DLSC) MATTERS

#### **Administrative Warnings**

23 BAC 058 - W.M.D.

**MOTION:** Dutch Beckett moved, seconded by Megan Jackson, to issue an

Administrative Warning in the matter of W.M.D., DLSC Case Number 23

BAC 058. Motion carried unanimously.

#### **Case Closings**

**MOTION:** Ann Hoeppner moved, seconded by Dutch Beckett, to close the following DLSC Cases for the reasons outlined below:

- 1. 21 BAC 039 B.C., G.N. Lack of Jurisdiction (L2)
- 2. 21 BAC 058 C.N., C.N., C.N., T.C. Prosecutorial Discretion (P5)
- 3. 24 COS 037 L.M.R. No Violation

Motion carried unanimously.

Dana McIntosh excused at 11:22 a.m.

#### DELIBERATION ON PROPOSED FINAL DECISION AND ORDER

Gijeila A. Rivera Quiles – DHA Case Number SPS-24-0015/DLSC Case Number 23 BAC 071

**MOTION:** Megan Jackson moved, seconded by Dutch Beckett, to postpone item M.(1)

Deliberation on proposed final decision and order of default for Gijeila A. Rivera Quiles – DHA Case Number SPS-24-0015/ DLSC Case Number 23 BAC 071 to the October 28, 2024 meeting. Motion carried unanimously.

#### RECONVENE TO OPEN SESSION

**MOTION:** Ann Hoeppner moved, seconded by Alexis Watkins, to reconvene into open

session. Motion carried unanimously.

The Board reconvened into Open Session at 11:28 a.m.

#### VOTING ON ITEMS CONSIDERED OR DELIBERATED ON IN CLOSED SESSION

**MOTION:** Ann Hoeppner moved, seconded by Fawn George, to affirm all motions made

and votes taken in Closed Session. Motion carried unanimously.

(Be advised that any recusals or abstentions reflected in the closed session motions stand for the purposes of the affirmation vote.)

#### **ADJOURNMENT**

**MOTION:** Ann Hoeppner moved, seconded by Fawn George, to adjourn the meeting.

Motion carried unanimously.

The meeting adjourned at 11:30 a.m.

# State of Wisconsin Department of Safety & Professional Services

# AGENDA REQUEST FORM

1) Name and title of person submitting the request:		2) Date when request submitted:				
Paralegal Richanda Turner, on behalf of Attorney Joseph Ricker			seph	09/25/2024		
				Items will be considered late if submitted after 12:00 p.m. on the		
				deadline date which	h is 8 business days before the meeting	
3) Name of Board, Comr	nittee, Co	uncil, Sections:				
Cosmetology Examining	و Board					
4) Meeting Date:	5) Attac	hments:	6) How	should the item be ti	itled on the agenda page?	
10/28/2024	⊠ Ye	es	Delegat	ion of Authorities		
	□ No					
7) Place Item in: 8) Is an appearance before the Board being 9) Name of Case Advisor(s), if applicable:						
☑ Open Session		scheduled? (If yes			N/A	
☐ Closed Session		Appearance Reque	ist for No	ท-มรคร รัเลส)		
_ Cloud Good on		☐ Yes <appeara< td=""><td>ance Nar</td><td>ne(s)&gt;</td><td></td></appeara<>	ance Nar	ne(s)>		
		⊠ No				
10) Describe the issue a	nd action	that should be add	ressed:			
The Board members nee	ed to revi	ew and consider the	delegat	ion of authorities as	it relates to the Board Monitoring Liaison.	
			J		· ·	
11)		Α	uthoriza	tion		
Ríchanda Turi	ner				09.25.24	
Signature of person making this request			Date			
Supervisor (Only required for post agenda deadline items)  Date					Date	
oupervisor (only require	su ioi pos	st agenda deadinie i	tems		Date	
Executive Director signa	ature (Ind	icates approval for إ	post age	nda deadline items)	Date	
Directions for including	supporti	ng documents:				
1. This form should be s						
					by Development Executive Director.	
3. If necessary, provide meeting.	original (	accuments needing	Board C	mairperson signatur	re to the Bureau Assistant prior to the start of a	



# State of Wisconsin DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

### **CORRESPONDENCE / MEMORANDUM**

DATE: January 9, 2024

TO: Board, Council, and Committee Members

FROM: Legal Counsel

**SUBJECT: Liaison Definitions and Delegations Explanations** 

## **Overall Purpose of Liaison Appointments**

Each Board/Section (Board) has inherent authority that is established in our Wisconsin Statutes. This authority may change from Board to Board. For further information on your Board's authority review Wis. Stat. ch. 15. Generally, each Board has authority to grant credentials, discipline credential holders, and set standards for education and examinations. Additionally, Liaisons assist with the operations of the Boards purpose by weighing in on legislative matters, traveling to national conferences, or communicating with stakeholders.

The Department asks that each year the Boards make liaison appointments to assist the Board and Department to accomplish these tasks in an efficient manner. Your practical knowledge and experience, as an appointed member of a professional board, are essential in making determinations regularly. The Liaison positions below assist the Department to complete operations between Board meetings. In most cases, Liaisons can make decisions for the full Board in their designated area. These are determined through the delegation process. However, a Liaison may also decide to send the delegated issue to the full Board for consideration as appropriate. Delegations assist the Board in defining the roles and authorities of each Liaison.

## **Liaison Definitions**

Credentialing Liaison: The Credentialing Liaison is empowered by the Board to review and make determinations regarding certain applications for credentials. The Credentialing Liaison may be called on by Department staff to answer questions that pertain to qualifications for licensure, which may include whether a particular degree is suitable for the application requirements, whether an applicant's specific work experience satisfies the requirements in statute or rule for licensure, or whether an applicant's criminal or disciplinary history is substantially related to the practice of the profession in such a way that granting the applicant a credential would create a risk of harm to the public. Questions will likely be sent by Department

staff to the Credentialing Liaison via email and may include application materials. The Credentialing Liaison serves a very important role in the credentialing process.

Monitoring Liaison: The Monitoring Liaison is empowered by the Board to make decisions on any credential that is limited either through a disciplinary order or initial licensure. The Department Monitors will send requests from credential holders to the Monitoring Liaison. These requests vary wildly. A common request could be to remove a limitation that has been placed on a credential or to petition for full licensure. The Monitoring Liaison can review these requests and make decisions on behalf of the Board. The Board has the authority to grant decision making latitude to their liaison to any degree. The specific monitoring delegations are found in the Monitoring Document attached to the agenda. If the Monitoring Liaison has a question on a request, it is advisable for the Liaison to consult further with Department staff or bring the matter to the full Board for consideration.

**Professional Assistance Procedure (PAP) Liaison:** PAP is a voluntary program open to credential holders with substance abuse issues who wish to seek help by being held accountable through treatment and monitoring by the Department and Board. As part of PAP, the credential holder enters into an agreement with the Department to undergo testing, counseling, or other rehabilitation. The PAP Liaison's role includes responding to credential holders' requests for modifications and terminations of provisions of the agreement. Similar to the Monitoring Liaison, the Department Monitors will send requests from credential holders to the PAP Liaison for further review.

**Education and Examination Liaison:** Some Boards are required by statute or rule to approve qualifying education and examinations. The Education and Examination Liaison provides guidance to Department staff to exercise authority of the Board to approve or decline examinations and educational programs. This determination requires a level of professional expertise and should be performed by a professional member of the Board. For some Boards, the Education and Examination Liaison will also be tasked with approving continuing education programs and courses.

**Legislative Liaison:** The Legislative Liaison is permitted to act and speak on the Board's behalf regarding pending and enacted legislation or actions being considered by the legislature outside of Board meetings. The Legislative Liaison is not the Board's designated lobbyist and should exercise their delegated authority carefully.

Travel Authorization Liaison: The Travel Authorization Liaison is authorized to approve a Board member to travel to events and speak or act on the Board's behalf between Board meetings. The Travel Authorization Liaison is called upon to make decisions when sufficient notice was not received, and the full Board could not determine a representative to travel. The Travel Authorization Liaison is tasked with making determinations if the Board appointed representative is not able to attend or if the Board becomes authorized to send additional members. As scholarship and funding streams can be unpredictable.

**Communication Liaison:** The Communication Liaison responds on behalf of the Board when questions arise that require a response from the Board. The Communication Liaison works with

the Department to cultivate an appropriate response. The Communication Liaison can be responsible for all types of communication on behalf of the Board. However, the Board can appoint a separate **Website Liaison** to work with DSPS staff to make changes and ensure the Board webpage contains updated and accurate information. Additionally, for the Boards that are required by statute to produce a newsletter or digest. The Board can appoint a separate **Newsletter/Digest Liaison** to assemble and approve content for those communications.

**Screening Panel Members:** The duties of the Screening panel are to review incoming complaints against credential holders and determine which complaints should be opened for investigation and which complaints should be closed without further action. The complexity and amount of work in this role depends substantially on your particular Board. As a member of the Screening panel you are asked to apply your professional expertise to determine if a complaint alleges unprofessional conduct.

# **Delegations Explanations**

#### **Credentialing Delegations**

The overall purpose of credentialing delegations is to allow the credentialing process to proceed as efficiently and effectively as possible.

#### **Delegation of Authority to Credentialing Liaison (Generic)**

MOTION EXAMPLE: to delegate authority to the Credentialing Liaison(s) to serve as a liaison between the Department and the Board and to act on behalf of the Board in regard to credentialing applications or questions presented to them, including the signing of documents related to applications.

PURPOSE: To permit one representative of the Board to assist Department staff with credentialing applications and eliminate the need for the entire Board to convene to consider credential application content or questions. Additionally, it is most efficient to have the designated liaison who has assisted with the credentialing process to be able to effectuate decisions which require a signature.

### Delegation of Authority to DSPS When Credentialing Criteria is Met

MOTION EXAMPLE: to delegate credentialing authority to the Department to act upon applications that meet all credentialing statutory and regulatory requirements without Board or Board liaison review.

PURPOSE: To permit Department staff to efficiently issue credentials and eliminate the need for Board/Section/Liaison review when all credentialing legal requirements are met in an application.

#### **Delegation of Authority for Predetermination Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to make decisions regarding predetermination applications pursuant to Wis. Stat. § 111.335(4)(f).

PURPOSE: In general, the Wisconsin Fair Employment Act (codified in Wis. Stat. Ch. 111) prohibits licensing agencies from discriminating against applicants because of their arrest and/or conviction record. However, there are exceptions which permit denial of a license in certain circumstances. Individuals who do not possess a license have a legal right to apply for a determination of whether they are disqualified from obtaining a license due to their conviction record. This process is called "Predetermination". Predeterminations must be completed within 30 days. This delegation allows Department Attorneys to conduct predetermination reviews and efficiently make these legal determinations without need for Board/Section/Liaison review.

#### **Delegation of Authority for Conviction Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to review and approve applications with convictions which are not substantially related to the practice.

PURPOSE: As used here, "substantially related" is a legal standard that is used in the Wisconsin Fair Employment Act. The concept of what is "substantially related" is informed by case law. This delegation permits Department Attorneys to independently conduct conviction reviews and efficiently approve applications if convictions are not substantially related to the practice of the profession. Applications that contain conviction records that may be substantially related to the practice of a profession will still be submitted to the Credentialing Liaison for input.

#### Delegation to DSPS When Applicant's History Has Been Previously Reviewed

MOTION EXAMPLE: to delegate authority to Department staff to approve applications where Applicant's prior discipline has been approved for a previous credential and there is no new discipline.

PURPOSE: Some Boards offer progressive levels of credentials. This delegation eliminates the need for a re-review of discipline that has already been considered and approved by the Board/Section/Liaison for a lower-level credential.

#### Delegation to DSPS When Applicant's Conviction History Has Been Previously Reviewed

MOTION EXAMPLE: to delegate authority to Department staff to approve applications where criminal background checks have been approved for a previous credential and there is no new conviction record.

PURPOSE: Some Boards offer progressive levels of credentials. This delegation eliminates the need for a re-review of conviction history that has already been reviewed and approved for a lower-level credential.

#### **Delegation of Authority for Reciprocity Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to review and approve reciprocity applications in which the out of state license requirements meet Wisconsin license requirements. (specific legal standards are referenced in the motion depending on credential/profession type).

PURPOSE: Applications via reciprocity or endorsement require comparison of Wisconsin licensing requirements to the licensing requirements of another jurisdiction. These reviews consider the legal standard for reciprocity, which varies by profession, as well as the specified legal requirements to obtain licensure in the profession. This delegation permits Department Attorneys to independently conduct reciprocity reviews and efficiently approve applications if legal standards and requirements are met for licensure. Applications for which reciprocity may not be available will still be submitted to the Credentialing Liaison for input.

#### **Delegation of Authority for Military Reciprocity Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to review and approve military reciprocity applications in which the individual meets the requirements of Wis. Stat. § 440.09.

PURPOSE: The law permits service members, former service members, and their spouses to be licensed if they hold licensure in other jurisdictions that qualify them to perform acts authorized by the credential they are seeking in Wisconsin. This is a shortened path to licensure that does not require meeting the specific requirements/standards for licensure/reciprocity in a profession. By law, the Department/Board must expedite the issuance of a reciprocal license via military reciprocity. This delegation permits Department Attorneys to independently conduct military reciprocity reviews and efficiently approve applications if legal standards and requirements are met for licensure. Applications for which reciprocity may not be available will still be submitted to the Credentialing Liaison for input.

#### **Delegation of Authority for Application Denial Reviews**

MOTION EXAMPLE: to delegate authority to the Department's Attorney Supervisors to serve as the Board designee for purposes of reviewing and acting on requests for hearing as a result of a denial of a credential.

PURPOSE: When an application is denied, the applicant has a legal right to appeal the denial determination. Applicants must meet a specified legal standard in order to have an appeal granted. Additionally, Wisconsin law sets specific time frames for appeal decisions. This delegation permits Department Attorney Supervisors to independently review and efficiently act on requests for hearing as a result of a denial of a credential.

#### **Delegation to Department Attorneys to Approve Duplicate Legal Issue**

MOTION EXAMPLE: to delegate authority to Department Attorneys to approve a legal matter in connection with a renewal application when that same/similar matter was already addressed by the Board and there are no new legal issues for that credential holder. Motion carried unanimously.

PURPOSE: The intent of this delegation is to be able to approve prior discipline by the Board for the renewal applicant. This delegation eliminates the need for a re-review of discipline that has already been considered and approved by the Board/Section/Liaison.

#### **Monitoring Delegations**

The overall purpose of monitoring delegations is to be able to enforce the Boards orders and limited licenses as efficiently and effectively as possible. Monitoring delegations have two categories: delegations to the monitoring liaison and delegations to the Department Monitor.

#### **Delegation of Authority to Department Monitor**

MOTION EXAMPLE: to delegate authority to the Department Monitor

- a. to grant full reinstatement of licensure if education is the only limitation and credential holder has submitted the required proof of course completion.
- b. to suspend the credential if the credential holder has not completed Board ordered education, paid costs, paid forfeitures, within the time specified by the Board Order.
- c. to lift a suspension when compliance with education and costs provisions have been met.

PURPOSE: These delegations allow for the Department Monitor to automatically act on requests when certain criteria are met or not met without needing to burden the Board Monitoring Liaison. The Board can set their own criteria for what actions they would like to be handled by the Department, the Monitoring Liaison and the full Board.

#### **Delegation of Authority to Monitoring Liaison**

MOTION EXAMPLE: to delegate authority to the Monitoring Liaison to approve or deny all requests received by the credential holder.

PURPOSE: These delegations allow the Board to set criteria for what decisions can be made by the Board member(s) serving as the Monitoring Liaison and what matters should be decided by the full Board. The Board has the authority to set specific criteria or to permit the liaison to make all determinations at their discretion.

#### Education and Exam Delegations

MOTION EXAMPLE: to delegate authority to the Education and Examination Liaison(s) to address all issues related to continuing education and examinations. Motion carried unanimously. (Differs by Board)

PURPOSE: Some Boards are responsible for approving qualifying educational programs or continuing education courses. A delegation is executed in order for a Board member to make these determinations on behalf of the Boards and with assistance of the Department. Additionally, some Boards review examinations and individual scores to qualify for a credential.

#### **Miscellaneous Delegations**

#### **Document Signature**

MOTION EXAMPLE: to delegate authority to the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) to sign documents on behalf of the Board in order to carry out its duties. Motion carried unanimously.

MOTION EXAMPLE: in order to carry out duties of the Board, the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) has the ability to delegate signature authority for purposes of facilitating the completion of assignments during or between meetings. The members of the Board hereby delegate to the Executive Director, Board Counsel or DPD Division Administrator, the authority to sign on behalf of a Board member as necessary. Motion carried unanimously.

PURPOSE: In order to take the action approved at Board meetings, the Department may need to draft correspondence and/or Orders after the meetings have adjourned. These actions then need to be signed by a Board Member. This interaction usually takes place over email and a Board member can authorize the use of his/her signature that is kept on file.

#### **Urgent Matters**

MOTION EXAMPLE: in order to facilitate the completion of urgent matters between meetings, the Board delegates its authority to the Chairperson (or, in the absence of the Chairperson, the highest-ranking officer or longest serving Board member in that succession), to appoint liaisons to the Department to act in urgent matters. Motion carried unanimously.

PURPOSE: Allows for quick responses to urgent matters that may need Board approval or for which the Department requires guidance from the Board.

#### Delegation to Chief Legal Counsel

#### **Due to Loss of Quorum**

MOTION EXAMPLE: to delegate the review and authority to act on disciplinary cases to the Department's Chief Legal Counsel due to lack of/loss of quorum after two consecutive meetings. Motion carried unanimously.

PURPOSE: Sometimes Boards can struggle to meet quorum necessary to conduct business. This happens for a multitude of reasons but this delegation allows for the Boards to have disciplinary cases decided by Chief Legal Counsel if the Board fails to meet quorum for two consecutive meetings.

#### **Stipulated Resolutions**

MOTION EXAMPLE: to delegate to the Department's Chief Legal Counsel (CLC) the authority to act on behalf of the Board concerning stipulated resolutions providing for a surrender, suspension, or revocation of a credential, where the underlying merits involve serious and dangerous behavior, and where the signed stipulation is received between Board meetings. The Board further requests that CLC only act on such matters when the best interests of the Board, Department and the Public are best served by acting upon the stipulated resolution at the time the signed stipulation is received versus waiting for the next Board meeting. Motion carried unanimously.

PURPOSE: For matters of public safety, it may be necessary to take immediate action on a stipulated agreement rather than allowing a credential holder to continue practicing unencumbered until the next scheduled meeting. This delegation allows CLC to act on behalf of the Board when there is a stipulated agreement. A stipulated agreement is an agreement to which all relevant parties have consented to the terms.

#### Voluntary Surrenders

MOTION: to delegate authority to the assigned case advisor to accept or refuse a request for voluntary surrender pursuant to Wis. Stat. § 440.19 for a credential holder who has a pending complaint or disciplinary matter.

MOTION: to delegate authority to the Department to accept the voluntary surrender of a credential when there is no pending complaint or disciplinary matter with the Department pursuant to Wis. Stat. § 440.19.

PURPOSE: Credential holders can ask the Boards to surrender their credentials at any time. These delegations are in place for the different situations that arise from those requests. If a credential holder is seeking to surrender their credential because they wish to leave the profession that can be processed with this delegation by the Department if they have no pending disciplinary complaints. If the credential holder wishes to surrender while they have a pending disciplinary complaint that request is reviewed by the individual Board member assigned to the case.

#### **DLSC Pre-screening**

MOTION EXAMPLE: to delegate pre-screening decision making authority to the DSPS screening attorney for opening cases where the credential holder has failed to respond to allegations contained in the complaint when requested by intake (Case will be opened on failure to respond and the merits of the complaint).

PURPOSE: Pre-Screening delegations exist so the Board can define specific parameters where the Department can review disciplinary complaints and open those cases if they meet certain criteria. Boards also have the authority to set certain criteria that would allow the Department to review and close a case if the criteria is met.

#### **Roles and Authorities Delegated for Monitoring**

The Monitoring Liaison ("Liaison") is a Board/Section designee who works with department monitors ("Monitor") to enforce Board/Section orders as explained below.

#### **Authorities Delegated to the Monitoring Liaison**

The Liaison may take the following actions on behalf of the Board/Section:

- 1. Grant a temporary reduction in random drug screen frequency upon Respondent's request if he/she is unemployed and is otherwise compliant with Board/Section order. The temporary reduction will be in effect until Respondent secures employment in the profession. The Department Monitor ("Monitor") will draft an order and sign on behalf of the Liaison.
- 2. Grant a stay of suspension if Respondent is eligible per the Board/Section order. The Monitor will draft an order and sign on behalf of the Liaison.
- 3. Remove the stay of suspension if there are repeated violations or a substantial violation of the Board/Section order. In conjunction with removal of any stay of suspension, the Liaison may prohibit Respondent from seeking reinstatement of the stay for a specified period of time. The Monitor will draft an order and sign on behalf of the Liaison.
- 4. Grant or deny approval when Respondent proposes continuing/disciplinary/remedial education courses, treatment providers, mentors, supervisors, change of employment, etc. unless the order specifically requires full-Board/Section approval.
- 5. Grant full reinstatement of licensure if Respondent has fully complied with all terms of the order without deviation. The Monitor will draft an order and obtain written authorization from the Liaison to sign on their behalf.
- 6. Grant or deny a request to appear before the Board/Section in closed session.
- 7. The Liaison may determine whether Respondent's petition is eligible for consideration by the full Board/Section.
- 8. Accept Respondent's written request to surrender credential. If accepted by the Liaison, Monitor will consult with Board Counsel to determine if a stipulation is necessary. If a stipulation is not necessary, Monitor will draft an order and sign on behalf of the Liaison. If denied by the Liaison, the request to surrender credential will go to the full Board for review. (Except PHM, MED)

9. Grant Respondent's petition for a reduction in drug screens per the standard schedule, below. If approved, Monitor will draft an order and sign on behalf of the Liaison. Orders that do not start at 49 screens will still follow the same standard schedule.

a. Initial: 49 screens (including 1 hair test, if required by original order)

b. 1st Reduction: 36 screens (plus 1 hair test, if required by original order)

c. 2nd Reduction:d. 3rd Reduction:14 screens plus 1 hair test

10. (*Dentistry only*) Ability to approve or deny all requests from a respondent.

- 11. The Liaison may approve or deny Respondent's request to be excused from drug and alcohol testing for work, travel, etc. (Applies only to these Boards: Dietitians, Massage/Bodywork Therapy Board, DEN, PAB, CHI, MED, RAD)
- 12. The Liaison may have full authority to approve or deny a request from a Respondent that otherwise would require the approval of the full Board if the request cannot be heard and voted on due to lack of/loss of quorum.
- 13. The Liaison may have full authority to terminate any treatment ONLY upon written request from Respondent and written recommendation from Respondents treater.

#### **Authorities Delegated to the Department Monitor**

The Monitor may take the following actions on behalf of the Board/Section, draft an order and sign:

- 1. Grant full reinstatement of licensure if education is the <u>sole condition</u> of the limitation and Respondent has submitted the required proof of completion for approved courses.
- 2. Suspend the license if Respondent has not completed Board/Section-ordered education and/or paid costs and forfeitures within the time specified by the Board/Section order. The Monitor may remove the suspension and issue an order when proof of completion and/or payment have been received.
- 3. Suspend the license (or remove stay of suspension) if Respondent fails to enroll and participate in an Approved Program for drug and alcohol testing within 30 days of the order, or if Respondent ceases participation in the Approved Program without Board approval. This delegated authority only pertains to respondents who must comply with drug and/or alcohol testing requirements.
- 4. Grant or deny approval when Respondent proposes treatment providers [mentors, supervisors, etc.] unless the Order specifically requires full-Board/Section or Board designee approval. (Except for MED)
- 5. Grant a maximum of one <u>90-day extension</u>, if warranted and requested in writing by Respondent, to complete Board/Section-ordered continuing/disciplinary/remedial education.

- 6. Grant a maximum of one <u>90-day extension</u> or payment plan for proceeding costs and/or forfeitures if warranted and requested in writing by Respondent.
- 7. Grant a maximum of one <u>90-day extension</u>, if warranted and requested in writing by Respondent, to complete a Board/Section-ordered evaluation or exam.

## **Authorities Delegated to Board Legal Counsel**

Board Legal Counsel may take the following actions on behalf of the Board/Section:

1. Sign Monitoring orders that result from Board/Section meetings on behalf of the Board/Section Chair.

Updated 03/13/2023

2022 Roles & Authorities

#### **Delegation to Monitoring Liaison**

**MOTION:** 

[Board Member Name] moved, seconded by [Board Member Name], to delegate authority to the Monitoring Liaison(s) to make any determination on Orders under monitoring and to refer to the Full Board any matter the Monitoring Liaison deems appropriate. Motion carried [ ].

#### **Delegation to Department Monitor**

**MOTION:** 

[Board Member Name] moved, seconded by [Board Member Name], to delegate authority to the Department Monitor as outlined below:

- 1. to grant reinstatement of licensure if education and/or costs are the sole condition of the order and the credential holder has submitted the required proof of completion for approved courses and paid the costs.
- 2. to suspend the license if the credential holder has not completed Board ordered education and/or paid costs and forfeitures within the time specified by the Board order. The Department Monitor may remove the suspension and issue an order when proof of completion and/or payment has been received.
- 3. to suspend the license (or remove stay of suspension) if a credential holder fails to enroll and participate in an Approved Program for drug and alcohol testing within 30 days of the order, or if credential holder ceases participation in the Approved Program without Board approval. This delegated authority only pertains to respondents who must comply with drug and/or alcohol testing requirements.
- 4. to grant or deny approval when a credential holder proposes treatment providers, mentors, and supervisors unless the Order specifically requires full-Board or Board designee approval.
- 5. to grant a maximum of one <u>90-day extension</u>, if warranted and requested in writing by a credential holder, to complete Board ordered continuing, disciplinary, or remedial education.
- 6. to grant a maximum of one <u>90-day extension</u> or payment plan for proceeding costs and/or forfeitures if warranted and requested in writing by a credential holder.
- 7. to grant a maximum of one <u>90-day extension</u>, if warranted and requested in writing by a credential holder, to complete a Board ordered evaluation or exam.

Motion	carried	[ ]	
		L J	

# State of Wisconsin Department of Safety & Professional Services

# AGENDA REQUEST FORM

Name and title of person submitting the request:			2) Date when request submitted:			
Jake Pelegrin		10/16/24				
Administrative Rules Coordinator			Items will be considered late if submitted after 12:00 p.m. on the deadline date which is 8 business days before the meeting			
3) Name of Board, Com	mittee, Council, Se	ctions:				
Cosmetology Examinin	ng Board					
4) Meeting Date:	5) Attachments:	6) How should the	e item be title	ed on the agenda page?		
10/28/24		Administrative	Rule Matte	rs – Discussion and Consideration		
	│		of public comments from preliminary public hearing on scope os 1, 2, 4, 5, and 6, relating to Education and Approved			
		statement for Cos Formal Training				
			osals: Cos 1	to 4 relating to Mobile Establishments		
		3. Pending or po				
				100		
7) Place Item in:		nce before the Boa es, please complete		9) Name of Case Advisor(s), if required:		
Open Session		guest for Non-DSPS		N/A		
☐ Closed Session	Yes					
	⊠ No					
10) Describe the issue a	nd action that sho	uld be addressed:				
Attachments: -Prelim rule draft for -Rules Progress Chart		g to Mobile Establi	ishments			
11)		Authoriza	tion			
Jake Pelegrin				10/16/24		
Signature of person mal	king this request			Date		
Supervisor (if required)	Supervisor (if required)  Date					
Executive Director signs	ature (indicates ap	proval to add post	agenda dead	lline item to agenda) Date		
Directions for including	supporting docun	nents:				
<ol> <li>This form should be</li> <li>Post Agenda Deadlin</li> </ol>	attached to any do le items must be a	cuments submitted uthorized by a Supe	ervisor and t	da. he Policy Development Executive Director. signature to the Bureau Assistant prior to the start of a		

#### STATE OF WISCONSIN COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF RULEMAKING PROPOSED ORDER OF THE

PROCEEDINGS BEFORE THE COSMETOLOGY COSMETOLOGY **EXAMINING BOARD** EXAMINING BOARD **ADOPTING RULES** (CLEARINGHOUSE RULE

)

#### PROPOSED ORDER

An order of the Cosmetology Examining Board to amend Cos 3.01 (11), Cos 3.04 (2), Cos 3.06 (2); and to **create** Cos 1.01 (11t), Cos 3.01 (2), Cos 3.04 (2m), and Cos 4.11 relating to Mobile Establishments.

Analysis prepared by the Department of Safety and Professional Services.

#### **ANALYSIS**

#### **Statutes interpreted:**

Sections 454.08 (1) (ag) 1. and 2., and 454.08 (4), Stats.

#### **Statutory authority:**

Sections 15.08 (5) (b), 227.11 (2) (a), 454.08 (1) (ag) 1. and 2., and 454.08 (4), Stats.

#### **Explanation of agency authority:**

Section 15.08 (5) (b), Stats., provides that each examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 227.11 (2) (a), Stats., provides that "Each agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute, but a rule is not valid if the rule exceeds the bounds of correct interpretation."

Section 454.08 (1) (ag) 1., Stats.: "The examining board shall promulgate rules permitting the practice of electrology outside of a licensed establishment."

Section 454.08 (1) (ag) 2., Stats.: "The examining board shall promulgate rules permitting the use of a chemical process in the practice of cosmetology, aesthetics, or manicuring outside of a licensed establishment, except that the examining board may not promulgate any rule that restricts the use of a chemical process in cutting or styling hair

in the practice of cosmetology or applying cosmetics, oils, lotions, clay, creams, antiseptics, powders, or tonics in the practice of aesthetics."

Section 454.08 (4), Stats., states that "[t]he examining board shall, by rule, establish minimum standards concerning the maintenance, equipment, plans, and specifications for licensed establishments as they relate to the public health and safety."

R	ام	lated	statute	۸r	rII	۵.

None.

#### Plain language analysis:

The proposed rule creates new regulations to allow mobile cosmetology establishments in the state of Wisconsin. It creates a definition for mobile establishments and specifies that mobile establishments are held to the same standards of licensing, inspections, sanitation, and safety as fixed establishments. All services provided through a mobile establishment are held to the same standards of practice and professional conduct in chapter Cos 2. It also sets additional regulations specifically for mobile establishments.

#### Summary of, and comparison with, existing or proposed federal regulation:

None.

#### Comparison with rules in adjacent states:

#### Illinois:

Rules of the Illinois Department of Financial and Professional Regulation allow for mobile establishments in Illinois [68 Ill. Adm. Code 1175.1300]. Cosmetology, nail technology, hair braiding or esthetics salons and barber shops are allowed to be in the form of a mobile salon or shop, and are subject to the same regulations as those in buildings.

#### Iowa:

Iowa statute allows for mobile cosmetology establishments [Chapter 157, Iowa Code]. "Establishment" is defined as a fixed location or a location that is readily movable where one or more persons engage in the practice of barbering and cosmetology arts and sciences, including but not limited to a retail establishment. All establishments are subject to the same regulations for licensure, safety, and sanitation. Rules of Iowa's Public Health Department, Professional Licensure Division further specify licensing and sanitation requirements [645 IAC 61].

#### Michigan:

Michigan statutes allow for mobile salons and the performance of cosmetology services in mobile salons [MCL 339.1203]. Rules of the Michigan Department of Licensing and Regulatory Affairs require an establishment license for mobile salons in the same way as those in a building [Mich Admin Code, R 338.2126a]. One additional application requirement for mobile salons is that they provide photographs demonstrating compliance with safety and sanitation requirements upon application for an establishment license.

#### Minnesota:

Minnesota statutes allow for mobile salons to provide cosmetology services [Minnesota Statutes, Chapter 155A.29]. They are subject to the same minimum requirements as other salons in Minnesota. Minnesota rules provide for further regulations on mobile salons [Minnesota Rules, Chapter 2105.0395]. They specify that a license can only apply to one vehicle or mobile structure and cannot be transferred. All services must be provided inside the interior of the vehicle or structure. The mobile salon must be equipped with a functioning cell phone or Voice over Internet Protocol. The mobile salon must have working alarms for carbon monoxide, smoke, and combustible gas, either as single alarms or combined alarms. The mobile salon must have a self-contained, potable water supply in holding tanks, a wastewater tank or gray water tank, and a restroom. Services may not be provided unless the mobile salon is parked with the engine off, stable, and leveled. The mobile salon must have a ventilation system sufficient to provide fresh air in the salon.

#### Summary of factual data and analytical methodologies:

The proposed rules were developed by conducting a review of the provisions of chs. Cos 1, 2, 3, and 4, reviewing regulations on mobile establishments from other states, and obtaining input and feedback from the Cosmetology Examining Board.

# Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules will be posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted by email at Jennifer.Garrett@wisconsin.gov or phone at 608-266-2112.

#### **Agency contact person:**

Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, P.O. Box 8366, Madison, Wisconsin 53708-8306; telephone 608-267-0989; email at DSPSAdminRules@wisconsin.gov.

#### Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to <a href="mailto:DSPSAdminRules@wisconsin.gov">DSPSAdminRules@wisconsin.gov</a>. Comments must be received on or before the public hearing, held on a date to be determined, to be included in the record of rule-making proceedings.

#### TEXT OF RULE

SECTION 1. Cos 1.01 (11t) is created to read:

Cos 1.01 (11t) "Mobile establishment" means an establishment providing cosmetology, aesthetics, electrology, or manicuring services located in a mobile vehicle or mobile structure.

SECTION 2. Cos 3.01 (2) is created to read:

- Cos 3.01 (2) Mobile establishments shall be licensed as establishments under the requirements of this chapter. Mobile establishments are subject to all of the following requirements:
- (a) The establishment shall meet the requirements of this chapter and ch. Cos 4, including requirements related to establishments, inspections, sanitation, and safety. All services provided through a mobile establishment shall meet the standards of practice and professional conduct under ch. Cos 2.
- **(b)** A mobile establishment license is valid for a specific vehicle or mobile structure and may not be transferred to a different vehicle or mobile structure.
- (c) The mobile establishment's name as shown on the license shall be visibly displayed and clearly legible on at least one exterior side of the mobile establishment.

SECTION 3. Cos 3.01 (11) is amended to read:

Cos 3.01 (11) Where an establishment is located in the same building a residence, the business and living quarters shall be separate. A mobile establishment may not be located in a vehicle or mobile structure that also contains a residence.

SECTION 4. Cos 3.04 (2) is amended to read:

Cos 3.04 (2) The Except as provided in sub. (2m), the board shall require identification of the owner, business address, cosmetology manager, type of business, and a copy of the floor plan showing dimensions and required equipment, in addition to other information which may be needed to approve the issuance of a license.

SECTION 5. Cos 3.04 (2m) is created to read:

Cos 3.04 (2m) Mobile establishments shall provide both a business address at which board correspondence may be received and the address of the physical location where the mobile establishment is parked when not in service. Mobile establishments shall also provide a description of the vehicle or mobile structure to be used as the mobile establishment, photographs of the interior and exterior, and the vehicle registration of the mobile establishment.

SECTION 6. Cos 3.06 (2) is amended to read:

Cos 3.06 (2) Change of location of any establishment, except mobile establishments, constitutes the creation of a new establishment and requires submission of an application for a new establishment license. Relocation of a leased chair or booth which is licensed as an establishment and housed within a lessor establishment requires only written notification to the department identifying the change of chair or booth.

SECTION 7. Cos 4.11 is created to read:

- Cos 4.11 Mobile Establishments. In addition to the requirements of this chapter, mobile establishments shall comply with the following requirements:
- (1) Services shall not be provided unless the mobile establishment is parked, with the engine off, stable, and level.
- (2) All services shall be provided inside the interior of the vehicle or structure.
- (3) Carpeting is permitted only within driving or cab areas.
- (4) All hazardous substances in the mobile establishment shall be stored upright in secured cabinets when the mobile establishment is moving.
- (5) All moving parts, including slide outs and steps, shall be in good working order.

SECTION 8. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

(END OF TEXT OF RULE)	

# **Cosmetology Examining Board Rule Projects**

Clearinghouse Rule Number	Scope #	Scope Expiration	Code Chapter Affected	Relating clause/ Summary	Current Stage	Next Step
	101-24	4/14/2027	Cos 1, 2, 4, 5, 6	Education and Approved Formal Training. The Board would like to consider reviewing and updating the education requirements in the Cosmetology code, and consider updating the requirements for approved formal training in chapter Cos 4.	Scope published in the Register.	Hold preliminary public hearing if required, or scope implementation.
	056-24	11/28/2026	Cos 1 to 4	Mobile Establishments. Allow licensing and regulation of mobile cosmetology establishments in the state.	Rule drafting.	Board review and approval of preliminary rule draft.