

Phone: 608-266-2112 Web: http://dsps.wi.gov Email: dsps@wisconsin.gov

Tony Evers, Governor Dan Hereth, Secretary

#### VIRTUAL/TELECONFERENCE

## EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND REGISTERED INTERIOR DESIGNERS

Virtual, 4822 Madison Yards Way, Madison Contact: Will Johnson (608) 266-2112 April 10, 2024

The following agenda describes the issues that the Board plans to consider at the meeting. At the time of the meeting, items may be removed from the agenda. Please consult the meeting minutes for a record of the actions of the Board.

#### **AGENDA**

#### 1:00 P.M.

#### OPEN SESSION - CALL TO ORDER - ROLL CALL

- A. Adoption of Agenda (1-3)
- B. Approval of Minutes of October 11, 2023 (4)
- C. Reminders: Conflicts of Interest, Scheduling Concerns
- D. Introductions, Announcements and Recognition
- E. Administrative Matters Discussion and Consideration (5-21)
  - 1) Department, Staff and Board Updates
  - 2) 2024 Meeting Dates (5)
  - 3) Annual Policy Review (6)
  - 4) Election of Officers, Appointment of Liaisons and Alternates, and Delegation of Authorities (7-21)
  - 5) Board Members Term Expiration Dates
    - a. Arneson, Ken 7/1/2018
    - b. Cotharn, Kristine A. -7/1/2021
    - c. Delaney, Jennifer L. -7/1/2024
    - d. Destree, Melissa M. -7/1/2025
    - e. Douglas, Gregory A. -7/1/2027
    - f. Fedderly, Daniel J. -7/1/2013
    - g. Gersich, A. James -7/1/2015
    - h. Heberling, Michael J. -7/1/2019
    - i. Hook, Steven J. -7/1/2014
    - j. Kelly, Shawn T. -7/1/2027
    - k. Linck, Karl L. 7/1/2025
    - 1. Martin, Christina C. -7/1/2019
    - m. Myers, Dennis -7/1/2025
    - n. Schade Stroik, Laura 7/1/2024

- o. Scholl, Colleen M. -7/1/2027
- p. Sina, Christopher M. -7/1/2025
- q. Stroebel, Robin A. -7/1/2026
- r. Styczinski, Rosheen M. -7/1/2013
- s. Tweed, Steven T. -7/1/2024
- t. Uselmann, Corissa D. 7/1/2024
- u. Vaughn, Nathan A. -7/1/2024
- v. Wagner, Roy 7/1/2027
- w. Wagner, Steven L. -7/1/2021

#### F. Section Reports – Discussion and Consideration

- 1) Architect Section
- 2) Designer Section
- 3) Landscape Architect Section
- 4) Professional Engineer Section
- 5) Professional Land Surveyor Section
- 6) Registered Interior Designer Section
- G. Legislative and Policy Matters Discussion and Consideration

#### H. Administrative Rule Matters – Discussion and Consideration (22-64)

- 1) Discussion of Clearinghouse comments and public hearing comments for rule A-E 2, 7, and 8, Relating to Sealing and Stamping (23-34)
- 2) Discussion of preliminary rule draft for A-E 8, Relating to Supervision (35-38)
- 3) Discussion of preliminary rule draft for A-E 3, Relating to Architect Registration (39-43)
- 4) Discussion of Interior Design Final Rule Draft (44-63)
- 5) Pending or Possible Rulemaking Project (64)

#### I. Discussion and Consideration of Items Added After Preparation of Agenda:

- 1) Introductions, Announcements and Recognition
- 2) Administrative Matters
- 3) Election of Officers
- 4) Appointment of Liaisons and Alternates
- 5) Delegation of Authorities
- 6) Education and Examination Matters
- 7) Credentialing Matters
- 8) Practice Matters
- 9) Legislative and Policy Matters
- 10) Administrative Rule Matters
- 11) Liaison Reports
- 12) Board Liaison Training and Appointment of Mentors
- 13) Informational Items
- 14) Division of Legal Services and Compliance (DLSC) Matters
- 15) Motions
- 16) Petitions
- 17) Appearances from Requests Received or Renewed
- 18) Speaking Engagements, Travel, or Public Relation Requests, and Reports

#### J. Public Comments

#### **ADJOURNMENT**

#### **NEXT MEETING: JUNE 20, 2024**

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### MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC, AND MAY BE CANCELLED WITHOUT NOTICE.

Times listed for meeting items are approximate and depend on the length of discussion and voting. All meetings are held virtually unless otherwise indicated. In-person meetings are typically conducted at 4822 Madison Yards Way, Madison, Wisconsin, unless an alternative location is listed on the meeting notice. In order to confirm a meeting or to request a complete copy of the board's agenda, please visit the Department website at https:\\dsps.wi.gov. The board may also consider materials or items filed after the transmission of this notice. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. Requests for interpreters for the hard of hearing, or other accommodations, are considered upon request by contacting the Affirmative Action Officer, or reach the Meeting Staff by calling 608-267-7213.

#### VIRTUAL/TELECONFERENCE

# EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND REGISTERED INTERIOR DESIGNERS MEETING MINUTES OCTOBER 11, 2023

**PRESENT:** Kenneth Arneson, Kristine Cotharn, Melissa Destree, Gregory Douglas,

Daniel Fedderly, James Gersich, Steven Hook, Shawn Kelly, Christina

Martin, Dennis Myers, Laura Schade Stroik, Colleen Scholl, Christopher Sina,

Robin Stroebel, Rosheen Styczinski, Roy Wagner, Steven Wagner

**EXCUSED:** Michael Heberling, Karl Linck, Jennifer Phillips, Steven Tweed, Corissa

Uselmann, Nathan Vaughn

**STAFF:** Will Johnson, Executive Director; Joseph Ricker, Legal Counsel; Sofia

Anderson, Administrative Rules Coordinator; Dialah Azam, Board

Administration Specialist; and other Department staff.

#### CALL TO ORDER

Rosheen Styczinski, Chairperson, called the meeting to order at 11:03 a.m. A quorum was confirmed with seventeen (17) members present.

#### ADOPTION OF AGENDA

**MOTION:** Dennis Myers moved, seconded by Steven Hook, to adopt the Agenda as

published. Motion carried unanimously.

**APPROVAL OF MINUTES OF APRIL 12, 2023** 

**MOTION:** Shawn Kelly moved, seconded by Colleen Scholl, to approve the Minutes

of April 12, 2023 as published. Motion carried unanimously.

#### **ADJOURNMENT**

**MOTION:** Dennis Myers moved, seconded by Daniel Fedderly, to adjourn the

meeting. Motion carried unanimously.

The meeting adjourned at 11:25 a.m.

## EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR DESIGNERS AND SECTIONS 2024 Meeting Dates

Board/Section	Meeting Date		Start time	Agenda item deadline
AE Board	Wednesday, April 10, 2024	Virtual	1:00 PM	3/29/2024
AE Board	Thursday, June 20, 2024	Virtual	1:00 PM	6/10/2024
AE Board	Wednesday, October 9, 2024	Virtual	1:00 PM	9/27/2024
AE Rules	Wednesday, April 10, 2024	Virtual	10:00 AM	3/29/2024
AE Rules	Thursday, June 20, 2024	Virtual	10:00 AM	6/10/2024
AE Rules	Wednesday, October 9, 2024	Virtual	10:00 AM	9/27/2024
Professional Land Surveyor Section	Thursday, January 4, 2024	Virtual	9:00 AM	12/15/2023
Professional Land Surveyor Section	Thursday, March 14, 2024	Virtual	9:00 AM	3/4/2024
Professional Land Surveyor Section	Thursday, July 18, 2024	Virtual	9:00 AM	7/8/2024
Professional Land Surveyor Section	Thursday, October 3, 2024	Virtual	9:00 AM	9/23/2024
Architect Section	Tuesday, April 9, 2024	Virtual	9:00 AM	3/28/2024
Architect Section	Tuesday, October 8, 2024	In person	9:00 AM	9/26/2024
Professional Engineer Section	Wednesday, February 7, 2024	Virtual	9:00 AM	1/26/2024
Professional Engineer Section	Wednesday, March 27, 2024	In person	9:00 AM	3/15/2024
Professional Engineer Section	Wednesday, July 24, 2024	Virtual	9:00 AM	7/12/2024
Professional Engineer Section	Wednesday, October 2, 2024	Virtual	9:00 AM	9/20/2024
Professional Engineer Section	Wednesday, December 4, 2024	Virtual	9:00 AM	11/21/2024
Registered Interior Designer Section	Tuesday, January 9, 2024- CANCELLED	Virtual	9:00 AM	12/19/2023
Registered Interior Designer Section	Tuesday, March 19, 2024	In person	9:00 AM	3/7/2024
Registered Interior Designer Section	Tuesday, June 18, 2024	Virtual	9:00 AM	6/6/2024
Registered Interior Designer Section	Tuesday, August 20, 2024	Virtual	9:00 AM	8/8/2024
Registered Interior Designer Section	Tuesday, October 1, 2024	Virtual	9:00 AM	9/19/2024
Registered Interior Designer Section	Tuesday, December 10, 2024	Virtual	9:00 AM	11/27/2024
Designer Section	Tuesday, April 9, 2024	Virtual	1:00 PM	3/28/2024
Designer Section	Tuesday, October 1, 2024	Virtual	1:00 PM	9/19/2024
Landscape Architect Section	Tuesday, March 5, 2024	Virtual	9:00 AM	2/22/2024
Landscape Architect Section	Tuesday, August 13, 2024	Virtual	9:00 AM	8/1/2024

### State of Wisconsin Department of Safety & Professional Services

#### AGENDA REQUEST FORM

1) Name and title of person submitting the request:		2) Date when reque	st submitted: 12/14/2023		
Brenda Taylor, Board Services Supervisor					
3) Name of Board, Committee, Council, Sections: All Boards					
4) Meeting Date:	5) Atta	chments: 6) How sh		should the item be titled on the agenda page?	
First Meeting of 2024	⊠ Y	es	Annual	Policy Review	
7) Place Item in:		8) Is an appearan	) Is an appearance before the Board being		9) Name of Case Advisor(s), if applicable:
		scheduled? ⊠ No			N/A

10) Describe the issue and action that should be addressed:

#### Please be advised of the following Policy Items:

- 1. **In-Person Meeting Policy:** Depending on the frequency of Board meetings, a Board may be allowed a certain number of in-person meetings.
  - 4-5 Meetings per year = 1 in-person opportunity
  - 6-8 Meetings per year =2 in-person opportunities
  - 12 Meetings per year = 4 in-person opportunities
- 2. Attendance/Quorum: Thank you for your service and commitment to meeting attendance. If you cannot attend a meeting or have scheduling conflicts impacting your attendance, please let us know as soon as possible. Timely notification is appreciated as a quorum is required for Boards, Sections, and Councils to meet pursuant to Open Meetings Law.
- Walking Quorum: Board/Section/Council members must not collectively discuss the body's business outside a
  properly noticed meeting. Should several members of a body do so, the members could be violating the open
  meetings law.
- **4. Mandatory Training:** All Board Members must complete Public Records and Ethics Training, annually. Register to set up an account in the Cornerstone LearnCenter portal or Log in to an existing account.
- **5. Agenda Deadlines:** Please communicate agenda topics to your Executive Director before the agenda submission deadline at 12:00 pm, 8 business days prior to a meeting. (Attachment: Timeline of a Meeting)
- **6. Travel Voucher Submissions:** Please submit all Mileage Reimbursement claims for travel to in-person meetings to DSPS within 30 days of the close of each month in which expenses are incurred.
- 7. Lodging Accommodations/Hotel Cancellation Policy: Lodging accommodations are available to eligible members. Standard eligibility: the member must leave home before 6:00 am to attend a meeting by the scheduled start time.
  - a. If a member cannot attend a meeting it is their responsibility to cancel their reservation within the applicable cancellation timeframe.
  - b. If a meeting is changed to occur remotely, is canceled, or rescheduled, DSPS staff will cancel or modify reservations as appropriate.
- **8. Inclement Weather Policy:** In the event of inclement weather, the DSPS may change a meeting from an in-person venue to hosted as virtual/teleconference only.

11)	Authorization
Brenda Taylor	12/14/2023

#### Directions for including supporting documents:

- 1. This form should be saved with any other documents submitted to the Agenda Items folders.
- 2. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director

#### **Timeline of a Meeting**

**8 business days prior to the meeting:** All agenda materials are due to the Department by 12:00 pm, 8 business days prior to the meeting date.

**7 business days prior to the meeting:** The draft agenda page is due to the Executive Director. The Executive Director transmits to the Chair for review and approval.

**5 business days prior to the meeting:** The approved agenda is returned to the Board Administration Specialist for agenda packet production and compilation.

**4 business days prior to the meeting:** Agenda packets are posted on the DSPS Board SharePoint site and on the Department website.

#### **Agenda Item Examples:**

- o Approval of the Agenda and previous meeting Minutes
- Open Session Items
  - Public Hearings (relating to Administrative Rules)
  - Administrative Matters
  - Legislation and Policy Matters
  - Administrative Rules Matters
  - Credentialing Matters
  - Education and Exam Issues
  - Public Agenda Requests
  - Current Issues Affecting the Profession
  - Public Comments
- Closed Session items
  - Deliberations on Proposed Disciplinary Actions
    - Stipulations
    - Administrative Warnings
    - Case Closings
    - Monitoring Matters
    - Professional Assistance Procedure (PAP) Issues
  - Proposed Final Decisions and Orders
  - Orders Fixing Costs/Matters Relating to Costs
  - Credentialing Matters
  - Education and Exam Issues

Thursday of the Week Prior to the Meeting: Agendas are published for public notice on the Public Notices and Meeting Minutes website: publicmeetings.wi.gov.

**1 business day after the Meeting:** "Action" lists are distributed by staff detailing board actions on closed session business.

**5 business days after the Meeting:** "To Do" lists are distributed to staff to ensure that board decisions are acted on and/or implemented within the appropriate divisions in the Department. Minutes approved by the board are published on the Public Notices and Meeting Minutes website: **publicmeetings.wi.gov**.

## EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR DESIGNERS

#### **Elections as of 12/31/2023**

#### **Election of Officers**

ELECTION RESULTS			
Chairperson	Rosheen Styczinski		
Vice Chairperson	James Gersich		
Secretary	Dennis Myers		

#### **Appointment of Liaisons and Alternates**

A-E EXAMINING BOARD APPOINTMENTS			
A-E Rules Committee Public Members (Appointed by A-E Chairperson)	Steven Hook, Dennis Myers, Corissa Uselmann		
A-E Rules Committee Professional Members (Appointed by Sections)	Kristine Cotharn, Daniel Fedderly, Michael Heberling, Christopher Sina, Steven Wagner  Alternates: Gregory Douglas (ARC), Steven Tweed (DSN), Karl Linck (ENG), Christina Martin (LSR)		
Website Liaison(s)	Colleen Scholl  Alternate: Nathan Vaughn		
Legislative Liaison	Karl Linck Alternate: Dennis Myers		
Travel Authorization Liaison	James Gersich  Alternate: Kenneth Arneson		



#### State of Wisconsin

#### DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

#### **CORRESPONDENCE / MEMORANDUM**

DATE: January 9, 2024

**TO:** Board, Council, and Committee Members

FROM: Legal Counsel

**SUBJECT: Liaison Definitions and Delegations Explanations** 

#### **Overall Purpose of Liaison Appointments**

Each Board/Section (Board) has inherent authority that is established in our Wisconsin Statutes. This authority may change from Board to Board. For further information on your Board's authority review Wis. Stat. ch. 15. Generally, each Board has authority to grant credentials, discipline credential holders, and set standards for education and examinations. Additionally, Liaisons assist with the operations of the Boards purpose by weighing in on legislative matters, traveling to national conferences, or communicating with stakeholders.

The Department asks that each year the Boards make liaison appointments to assist the Board and Department to accomplish these tasks in an efficient manner. Your practical knowledge and experience, as an appointed member of a professional board, are essential in making determinations regularly. The Liaison positions below assist the Department to complete operations between Board meetings. In most cases, Liaisons can make decisions for the full Board in their designated area. These are determined through the delegation process. However, a Liaison may also decide to send the delegated issue to the full Board for consideration as appropriate. Delegations assist the Board in defining the roles and authorities of each Liaison.

#### **Liaison Definitions**

Credentialing Liaison: The Credentialing Liaison is empowered by the Board to review and make determinations regarding certain applications for credentials. The Credentialing Liaison may be called on by Department staff to answer questions that pertain to qualifications for licensure, which may include whether a particular degree is suitable for the application requirements, whether an applicant's specific work experience satisfies the requirements in statute or rule for licensure, or whether an applicant's criminal or disciplinary history is substantially related to the practice of the profession in such a way that granting the applicant a credential would create a risk of harm to the public. Questions will likely be sent by Department

staff to the Credentialing Liaison via email and may include application materials. The Credentialing Liaison serves a very important role in the credentialing process.

Monitoring Liaison: The Monitoring Liaison is empowered by the Board to make decisions on any credential that is limited either through a disciplinary order or initial licensure. The Department Monitors will send requests from credential holders to the Monitoring Liaison. These requests vary wildly. A common request could be to remove a limitation that has been placed on a credential or to petition for full licensure. The Monitoring Liaison can review these requests and make decisions on behalf of the Board. The Board has the authority to grant decision making latitude to their liaison to any degree. The specific monitoring delegations are found in the Monitoring Document attached to the agenda. If the Monitoring Liaison has a question on a request, it is advisable for the Liaison to consult further with Department staff or bring the matter to the full Board for consideration.

**Professional Assistance Procedure (PAP) Liaison:** PAP is a voluntary program open to credential holders with substance abuse issues who wish to seek help by being held accountable through treatment and monitoring by the Department and Board. As part of PAP, the credential holder enters into an agreement with the Department to undergo testing, counseling, or other rehabilitation. The PAP Liaison's role includes responding to credential holders' requests for modifications and terminations of provisions of the agreement. Similar to the Monitoring Liaison, the Department Monitors will send requests from credential holders to the PAP Liaison for further review.

**Education and Examination Liaison:** Some Boards are required by statute or rule to approve qualifying education and examinations. The Education and Examination Liaison provides guidance to Department staff to exercise authority of the Board to approve or decline examinations and educational programs. This determination requires a level of professional expertise and should be performed by a professional member of the Board. For some Boards, the Education and Examination Liaison will also be tasked with approving continuing education programs and courses.

**Legislative Liaison:** The Legislative Liaison is permitted to act and speak on the Board's behalf regarding pending and enacted legislation or actions being considered by the legislature outside of Board meetings. The Legislative Liaison is not the Board's designated lobbyist and should exercise their delegated authority carefully.

Travel Authorization Liaison: The Travel Authorization Liaison is authorized to approve a Board member to travel to events and speak or act on the Board's behalf between Board meetings. The Travel Authorization Liaison is called upon to make decisions when sufficient notice was not received, and the full Board could not determine a representative to travel. The Travel Authorization Liaison is tasked with making determinations if the Board appointed representative is not able to attend or if the Board becomes authorized to send additional members. As scholarship and funding streams can be unpredictable.

**Communication Liaison:** The Communication Liaison responds on behalf of the Board when questions arise that require a response from the Board. The Communication Liaison works with

the Department to cultivate an appropriate response. The Communication Liaison can be responsible for all types of communication on behalf of the Board. However, the Board can appoint a separate **Website Liaison** to work with DSPS staff to make changes and ensure the Board webpage contains updated and accurate information. Additionally, for the Boards that are required by statute to produce a newsletter or digest. The Board can appoint a separate **Newsletter/Digest Liaison** to assemble and approve content for those communications.

**Screening Panel Members:** The duties of the Screening panel are to review incoming complaints against credential holders and determine which complaints should be opened for investigation and which complaints should be closed without further action. The complexity and amount of work in this role depends substantially on your particular Board. As a member of the Screening panel you are asked to apply your professional expertise to determine if a complaint alleges unprofessional conduct.

#### **Delegations Explanations**

#### **Credentialing Delegations**

The overall purpose of credentialing delegations is to allow the credentialing process to proceed as efficiently and effectively as possible.

#### **Delegation of Authority to Credentialing Liaison (Generic)**

MOTION EXAMPLE: to delegate authority to the Credentialing Liaison(s) to serve as a liaison between the Department and the Board and to act on behalf of the Board in regard to credentialing applications or questions presented to them, including the signing of documents related to applications.

PURPOSE: To permit one representative of the Board to assist Department staff with credentialing applications and eliminate the need for the entire Board to convene to consider credential application content or questions. Additionally, it is most efficient to have the designated liaison who has assisted with the credentialing process to be able to effectuate decisions which require a signature.

#### Delegation of Authority to DSPS When Credentialing Criteria is Met

MOTION EXAMPLE: to delegate credentialing authority to the Department to act upon applications that meet all credentialing statutory and regulatory requirements without Board or Board liaison review.

PURPOSE: To permit Department staff to efficiently issue credentials and eliminate the need for Board/Section/Liaison review when all credentialing legal requirements are met in an application.

#### **Delegation of Authority for Predetermination Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to make decisions regarding predetermination applications pursuant to Wis. Stat. § 111.335(4)(f).

PURPOSE: In general, the Wisconsin Fair Employment Act (codified in Wis. Stat. Ch. 111) prohibits licensing agencies from discriminating against applicants because of their arrest and/or conviction record. However, there are exceptions which permit denial of a license in certain circumstances. Individuals who do not possess a license have a legal right to apply for a determination of whether they are disqualified from obtaining a license due to their conviction record. This process is called "Predetermination". Predeterminations must be completed within 30 days. This delegation allows Department Attorneys to conduct predetermination reviews and efficiently make these legal determinations without need for Board/Section/Liaison review.

#### **Delegation of Authority for Conviction Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to review and approve applications with convictions which are not substantially related to the practice.

PURPOSE: As used here, "substantially related" is a legal standard that is used in the Wisconsin Fair Employment Act. The concept of what is "substantially related" is informed by case law. This delegation permits Department Attorneys to independently conduct conviction reviews and efficiently approve applications if convictions are not substantially related to the practice of the profession. Applications that contain conviction records that may be substantially related to the practice of a profession will still be submitted to the Credentialing Liaison for input.

#### Delegation to DSPS When Applicant's History Has Been Previously Reviewed

MOTION EXAMPLE: to delegate authority to Department staff to approve applications where Applicant's prior discipline has been approved for a previous credential and there is no new discipline.

PURPOSE: Some Boards offer progressive levels of credentials. This delegation eliminates the need for a re-review of discipline that has already been considered and approved by the Board/Section/Liaison for a lower-level credential.

#### Delegation to DSPS When Applicant's Conviction History Has Been Previously Reviewed

MOTION EXAMPLE: to delegate authority to Department staff to approve applications where criminal background checks have been approved for a previous credential and there is no new conviction record.

PURPOSE: Some Boards offer progressive levels of credentials. This delegation eliminates the need for a re-review of conviction history that has already been reviewed and approved for a lower-level credential.

#### **Delegation of Authority for Reciprocity Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to review and approve reciprocity applications in which the out of state license requirements meet Wisconsin license requirements. (specific legal standards are referenced in the motion depending on credential/profession type).

PURPOSE: Applications via reciprocity or endorsement require comparison of Wisconsin licensing requirements to the licensing requirements of another jurisdiction. These reviews consider the legal standard for reciprocity, which varies by profession, as well as the specified legal requirements to obtain licensure in the profession. This delegation permits Department Attorneys to independently conduct reciprocity reviews and efficiently approve applications if legal standards and requirements are met for licensure. Applications for which reciprocity may not be available will still be submitted to the Credentialing Liaison for input.

#### **Delegation of Authority for Military Reciprocity Reviews**

MOTION EXAMPLE: to delegate authority to the Department Attorneys to review and approve military reciprocity applications in which the individual meets the requirements of Wis. Stat. § 440.09.

PURPOSE: The law permits service members, former service members, and their spouses to be licensed if they hold licensure in other jurisdictions that qualify them to perform acts authorized by the credential they are seeking in Wisconsin. This is a shortened path to licensure that does not require meeting the specific requirements/standards for licensure/reciprocity in a profession. By law, the Department/Board must expedite the issuance of a reciprocal license via military reciprocity. This delegation permits Department Attorneys to independently conduct military reciprocity reviews and efficiently approve applications if legal standards and requirements are met for licensure. Applications for which reciprocity may not be available will still be submitted to the Credentialing Liaison for input.

#### **Delegation of Authority for Application Denial Reviews**

MOTION EXAMPLE: to delegate authority to the Department's Attorney Supervisors to serve as the Board designee for purposes of reviewing and acting on requests for hearing as a result of a denial of a credential.

PURPOSE: When an application is denied, the applicant has a legal right to appeal the denial determination. Applicants must meet a specified legal standard in order to have an appeal granted. Additionally, Wisconsin law sets specific time frames for appeal decisions. This delegation permits Department Attorney Supervisors to independently review and efficiently act on requests for hearing as a result of a denial of a credential.

#### **Delegation to Department Attorneys to Approve Duplicate Legal Issue**

MOTION EXAMPLE: to delegate authority to Department Attorneys to approve a legal matter in connection with a renewal application when that same/similar matter was already addressed

by the Board and there are no new legal issues for that credential holder. Motion carried unanimously.

PURPOSE: The intent of this delegation is to be able to approve prior discipline by the Board for the renewal applicant. This delegation eliminates the need for a re-review of discipline that has already been considered and approved by the Board/Section/Liaison.

#### **Monitoring Delegations**

The overall purpose of monitoring delegations is to be able to enforce the Boards orders and limited licenses as efficiently and effectively as possible. Monitoring delegations have two categories: delegations to the monitoring liaison and delegations to the Department Monitor.

#### **Delegation of Authority to Department Monitor**

MOTION EXAMPLE: to delegate authority to the Department Monitor

- a. to grant full reinstatement of licensure if education is the only limitation and credential holder has submitted the required proof of course completion.
- b. to suspend the credential if the credential holder has not completed Board ordered education, paid costs, paid forfeitures, within the time specified by the Board Order.
- c. to lift a suspension when compliance with education and costs provisions have been met.

PURPOSE: These delegations allow for the Department Monitor to automatically act on requests when certain criteria are met or not met without needing to burden the Board Monitoring Liaison. The Board can set their own criteria for what actions they would like to be handled by the Department, the Monitoring Liaison and the full Board.

#### **Delegation of Authority to Monitoring Liaison**

MOTION EXAMPLE: to delegate authority to the Monitoring Liaison to approve or deny all requests received by the credential holder.

PURPOSE: These delegations allow the Board to set criteria for what decisions can be made by the Board member(s) serving as the Monitoring Liaison and what matters should be decided by the full Board. The Board has the authority to set specific criteria or to permit the liaison to make all determinations at their discretion.

#### Education and Exam Delegations

MOTION EXAMPLE: to delegate authority to the Education and Examination Liaison(s) to address all issues related to continuing education and examinations. Motion carried unanimously. (Differs by Board)

PURPOSE: Some Boards are responsible for approving qualifying educational programs or continuing education courses. A delegation is executed in order for a Board member to make

these determinations on behalf of the Boards and with assistance of the Department. Additionally, some Boards review examinations and individual scores to qualify for a credential.

#### **Miscellaneous Delegations**

#### **Document Signature**

MOTION EXAMPLE: to delegate authority to the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) to sign documents on behalf of the Board in order to carry out its duties. Motion carried unanimously.

MOTION EXAMPLE: in order to carry out duties of the Board, the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) has the ability to delegate signature authority for purposes of facilitating the completion of assignments during or between meetings. The members of the Board hereby delegate to the Executive Director, Board Counsel or DPD Division Administrator, the authority to sign on behalf of a Board member as necessary. Motion carried unanimously.

PURPOSE: In order to take the action approved at Board meetings, the Department may need to draft correspondence and/or Orders after the meetings have adjourned. These actions then need to be signed by a Board Member. This interaction usually takes place over email and a Board member can authorize the use of his/her signature that is kept on file.

#### **Urgent Matters**

MOTION EXAMPLE: in order to facilitate the completion of urgent matters between meetings, the Board delegates its authority to the Chairperson (or, in the absence of the Chairperson, the highest-ranking officer or longest serving Board member in that succession), to appoint liaisons to the Department to act in urgent matters. Motion carried unanimously.

PURPOSE: Allows for quick responses to urgent matters that may need Board approval or for which the Department requires guidance from the Board.

#### Delegation to Chief Legal Counsel

#### **Due to Loss of Quorum**

MOTION EXAMPLE: to delegate the review and authority to act on disciplinary cases to the Department's Chief Legal Counsel due to lack of/loss of quorum after two consecutive meetings. Motion carried unanimously.

PURPOSE: Sometimes Boards can struggle to meet quorum necessary to conduct business. This happens for a multitude of reasons but this delegation allows for the Boards to have disciplinary cases decided by Chief Legal Counsel if the Board fails to meet quorum for two consecutive meetings.

#### **Stipulated Resolutions**

MOTION EXAMPLE: to delegate to the Department's Chief Legal Counsel (CLC) the authority to act on behalf of the Board concerning stipulated resolutions providing for a surrender, suspension, or revocation of a credential, where the underlying merits involve serious and dangerous behavior, and where the signed stipulation is received between Board meetings. The Board further requests that CLC only act on such matters when the best interests of the Board, Department and the Public are best served by acting upon the stipulated resolution at the time the signed stipulation is received versus waiting for the next Board meeting. Motion carried unanimously.

PURPOSE: For matters of public safety, it may be necessary to take immediate action on a stipulated agreement rather than allowing a credential holder to continue practicing unencumbered until the next scheduled meeting. This delegation allows CLC to act on behalf of the Board when there is a stipulated agreement. A stipulated agreement is an agreement to which all relevant parties have consented to the terms.

#### **Voluntary Surrenders**

MOTION: to delegate authority to the assigned case advisor to accept or refuse a request for voluntary surrender pursuant to Wis. Stat. § 440.19 for a credential holder who has a pending complaint or disciplinary matter.

MOTION: to delegate authority to the Department to accept the voluntary surrender of a credential when there is no pending complaint or disciplinary matter with the Department pursuant to Wis. Stat. § 440.19.

PURPOSE: Credential holders can ask the Boards to surrender their credentials at any time. These delegations are in place for the different situations that arise from those requests. If a credential holder is seeking to surrender their credential because they wish to leave the profession that can be processed with this delegation by the Department if they have no pending disciplinary complaints. If the credential holder wishes to surrender while they have a pending disciplinary complaint that request is reviewed by the individual Board member assigned to the case.

#### **DLSC Pre-screening**

MOTION EXAMPLE: to delegate pre-screening decision making authority to the DSPS screening attorney for opening cases where the credential holder has failed to respond to allegations contained in the complaint when requested by intake (Case will be opened on failure to respond and the merits of the complaint).

PURPOSE: Pre-Screening delegations exist so the Board can define specific parameters where the Department can review disciplinary complaints and open those cases if they meet certain criteria. Boards also have the authority to set certain criteria that would allow the Department to review and close a case if the criteria is met.

#### **Roles and Authorities Delegated for Monitoring**

The Monitoring Liaison ("Liaison") is a Board/Section designee who works with department monitors ("Monitor") to enforce Board/Section orders as explained below.

#### **Authorities Delegated to the Monitoring Liaison**

The Liaison may take the following actions on behalf of the Board/Section:

- 1. Grant a temporary reduction in random drug screen frequency upon Respondent's request if he/she is unemployed and is otherwise compliant with Board/Section order. The temporary reduction will be in effect until Respondent secures employment in the profession. The Department Monitor ("Monitor") will draft an order and sign on behalf of the Liaison.
- 2. Grant a stay of suspension if Respondent is eligible per the Board/Section order. The Monitor will draft an order and sign on behalf of the Liaison.
- 3. Remove the stay of suspension if there are repeated violations or a substantial violation of the Board/Section order. In conjunction with removal of any stay of suspension, the Liaison may prohibit Respondent from seeking reinstatement of the stay for a specified period of time. The Monitor will draft an order and sign on behalf of the Liaison.
- 4. Grant or deny approval when Respondent proposes continuing/disciplinary/remedial education courses, treatment providers, mentors, supervisors, change of employment, etc. unless the order specifically requires full-Board/Section approval.
- 5. Grant full reinstatement of licensure if Respondent has fully complied with all terms of the order without deviation. The Monitor will draft an order and obtain written authorization from the Liaison to sign on their behalf.
- 6. Grant or deny a request to appear before the Board/Section in closed session.
- 7. The Liaison may determine whether Respondent's petition is eligible for consideration by the full Board/Section.
- 8. Accept Respondent's written request to surrender credential. If accepted by the Liaison, Monitor will consult with Board Counsel to determine if a stipulation is necessary. If a stipulation is not necessary, Monitor will draft an order and sign on behalf of the Liaison. If denied by the Liaison, the request to surrender credential will go to the full Board for review. (Except PHM, MED)

- 9. Grant Respondent's petition for a reduction in drug screens per the standard schedule, below. If approved, Monitor will draft an order and sign on behalf of the Liaison. Orders that do not start at 49 screens will still follow the same standard schedule.
  - a. Initial: 49 screens (including 1 hair test, if required by original order)
  - b. 1st Reduction: 36 screens (plus 1 hair test, if required by original order)
  - c. 2<sup>nd</sup> Reduction: 28 screens plus 1 hair test
  - d. 3<sup>rd</sup> Reduction: 14 screens plus 1 hair test
- 10. (Dentistry only) Ability to approve or deny all requests from a respondent.
- 11. The Liaison may approve or deny Respondent's request to be excused from drug and alcohol testing for work, travel, etc. (Applies only to these Boards: Dietitians, Massage/Bodywork Therapy Board, DEN, PAB, CHI, MED, RAD)
- 12. The Liaison may have full authority to approve or deny a request from a Respondent that otherwise would require the approval of the full Board if the request cannot be heard and voted on due to lack of/loss of quorum.
- 13. The Liaison may have full authority to terminate any treatment ONLY upon written request from Respondent and written recommendation from Respondents treater.

#### **Authorities Delegated to the Department Monitor**

The Monitor may take the following actions on behalf of the Board/Section, draft an order and sign:

- 1. Grant full reinstatement of licensure if education is the <u>sole condition</u> of the limitation and Respondent has submitted the required proof of completion for approved courses.
- 2. Suspend the license if Respondent has not completed Board/Section-ordered education and/or paid costs and forfeitures within the time specified by the Board/Section order. The Monitor may remove the suspension and issue an order when proof of completion and/or payment have been received.
- 3. Suspend the license (or remove stay of suspension) if Respondent fails to enroll and participate in an Approved Program for drug and alcohol testing within 30 days of the order, or if Respondent ceases participation in the Approved Program without Board approval. This delegated authority only pertains to respondents who must comply with drug and/or alcohol testing requirements.
- 4. Grant or deny approval when Respondent proposes treatment providers [, mentors, supervisors, etc.] unless the Order specifically requires full-Board/Section or Board designee approval. (Except for MED)
- 5. Grant a maximum of one <u>90-day extension</u>, if warranted and requested in writing by Respondent, to complete Board/Section-ordered continuing/disciplinary/remedial education.
- 6. Grant a maximum of one <u>90-day extension</u> or payment plan for proceeding costs and/or forfeitures if warranted and requested in writing by Respondent.
- 7. Grant a maximum of one <u>90-day extension</u>, if warranted and requested in writing by Respondent, to complete a Board/Section-ordered evaluation or exam.

#### **Authorities Delegated to Board Legal Counsel**

Board Legal Counsel may take the following actions on behalf of the Board/Section:

1. Sign Monitoring orders that result from Board/Section meetings on behalf of the Board/Section Chair.

Updated 03/13/2023

2022 Roles & Authorities

## EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR DESIGNERS

#### **2023 Delegations** Delegation of Authorities

#### **Document Signature Delegations**

**MOTION:** Colleen Scholl moved, seconded by Steven Hook, to delegate authority to

the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) to sign documents on behalf of the Board in order to carry out its duties. Motion

carried unanimously.

**MOTION:** Melissa Destree moved, seconded by Christopher Sina, in order to carry

out duties of the Board, the Chairperson (or in absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) has the ability to delegate signature authority for purposes of facilitating the completion of assignments during or between meetings. The members of the Board hereby delegate to the Executive Director or DPD Division Administrator, the authority to sign on behalf of a board

member as necessary. Motion carried unanimously.

#### **Delegated Authority for Urgent Matters**

**MOTION:** Steven Hook moved, seconded by Colleen Scholl, that in order to facilitate

the completion of urgent matters between meetings, the Board delegates its authority to the Chairperson (or, in the absence of the Chairperson, the

highest-ranking officer or longest serving board member in that

succession), to appoint liaisons to the Department to act in urgent matters.

Motion carried unanimously.

### Authorization for DSPS to Provide Board Member Contact Information to National Regulatory Related Bodies

**MOTION:** Melissa Destree moved, seconded by Karl Linck, to authorize the

Department staff to provide national regulatory related bodies with all board member contact information that the Department retains on file.

Motion carried unanimously.

#### **Optional Renewal Notice Insert Delegation**

**MOTION:** Steven Hook moved, seconded by Christopher Sina, to designate the

Chairperson (or, in the absence of the Chairperson, the highest-ranking officer or longest serving board member in that succession) to provide a brief statement or link relating to board-related business within the license

renewal notice at the Board's or Board designee's request. Motion carried unanimously.

#### Legislative Liaison Delegation

**MOTION:** Colleen Scholl moved, seconded by Daniel Fedderly, to delegate authority

to the Legislative Liaisons to speak on behalf of the Board regarding

legislative matters. Motion carried unanimously.

#### Travel Authorization Liaison Delegation

**MOTION:** Melissa Destree moved, seconded by Dennis Myers, to delegate authority

to the Travel Authorization Liaison to approve any board member travel to and/or participation in events germane to the board, and to designate

representatives from the Board to speak and/or act on the Board's behalf at

such events. Motion carried unanimously.

#### Website Liaison(s) Delegation

**MOTION:** Robin Stroebel moved, seconded by Steven Hook, to authorize to the

Website Liaison(s) to act on behalf of the Board in working with

Department staff to identify and execute website updates. Motion carried

unanimously.

## State of Wisconsin Department of Safety & Professional Services

#### **AGENDA REQUEST FORM**

1) Name and title of pers	son submitting the	request:	2) Date whe	n request submitted:
Jake Pelegrin		3/29/24		
Administrative Rules Coordinator		Items will be considered late if submitted after 12:00 p.m. on the deadline date which is 8 business days before the meeting		
3) Name of Board, Comi	mittee, Council, Se	ctions:		
A-E Joint Board				
4) Meeting Date:	5) Attachments:	6) How should the item be titled on the agenda page?		
4/10/24		Administrative Rule Matters – Discussion and Consideration		
	│			se comments and public hearing comments for rule
	Li No			Sealing and Stamping
				rule draft for AE 8 relating to Supervision rule draft for AE 3 relating to Architect Registration
			•	or Design Final Rule Draft, which is currently with
		the Legislatur		
		5. Pending or po		
7) Place Item in:		nce before the Boa		9) Name of Case Advisor(s), if required:
		ves, please complete <mark>quest</mark> for Non-DSPS		N/A
☐ Closed Session	☐ Yes		,	
	☐ Tes   ⊠ No			
10) Describe the issue a		uld be addressed:		
Attachments: -Clearinghouse Report and Final Rule Draft for A-E 2, 7, and 8 -Preliminary rule draft for AE 8 relating to Supervision -Preliminary rule draft for AE 3 relating to Architect Registration -Final Rule Draft for Interior Design -AE Rules Chart				
11)		Authoriza	tion	
Jake Pelegrin			3/29/24	
Signature of person ma	king this request			Date
Supervisor (if required)				 Date
Supervisor (il requireu)				
Executive Director signature (indicates approval to add post agenda deadline item to agenda) Date				
Directions for including supporting documents:  1. This form should be attached to any documents submitted to the agenda.  2. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.  3. If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a meeting.				



### Wisconsin Legislative Council

#### RULES CLEARINGHOUSE

Scott Grosz Clearinghouse Director Margit Kelley

Clearinghouse Assistant Director

Anne Sappenfield Legislative Council Director

#### CLEARINGHOUSE REPORT TO AGENCY

[THIS REPORT HAS BEEN PREPARED PURSUANT TO S. 227.15, STATS. THIS IS A REPORT ON A RULE AS ORIGINALLY PROPOSED BY THE AGENCY; THE REPORT MAY NOT REFLECT THE FINAL CONTENT OF THE RULE IN FINAL DRAFT FORM AS IT WILL BE SUBMITTED TO THE LEGISLATURE. THIS REPORT CONSTITUTES A REVIEW OF, BUT NOT APPROVAL OR DISAPPROVAL OF, THE SUBSTANTIVE CONTENT AND TECHNICAL ACCURACY OF THE RULE.]

#### CLEARINGHOUSE RULE 24-028

AN ORDER to repeal A-E 2.02 (7) (a) (intro.) and 1., and (b) 2. (Note); to amend A-E 2.02 (5), (7) (b) (intro.), and (8) (a), 7.05 (8), 7.08 (3) (i) and (4) (c), and 8.10 (title), (1), (2), and (3); to repeal and recreate A-E 2.02 (3) and (7) (b) 1. and 2.; and to create A-E 2.02 (7) (b) 3., relating to sealing and stamping of documents.

Submitted by EXAMINING BOARD OF ARCHITECTS, LANDSCAPE
ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, AND
PROFESSIONAL LAND SURVEYORS

03-05-2024 RECEIVED BY LEGISLATIVE COUNCIL.

03-21-2024 REPORT SENT TO AGENCY.

MSK:AG

#### LEGISLATIVE COUNCIL RULES CLEARINGHOUSE REPORT

This rule has been reviewed by the Rules Clearinghouse. Based on that review, comments are reported as noted below:

1.	STATUTORY AUTHORITY [	[s. 227.15 (2) (a)]		
	Comment Attached	YES	NO 🗸	
2.	FORM, STYLE AND PLACE	MENT IN ADMINIST	RATIVE CODE [s. 227.15 (2) (c)]	
	Comment Attached	YES 🗸	NO	
3.	CONFLICT WITH OR DUPLI	CATION OF EXISTI	NG RULES [s. 227.15 (2) (d)]	
	Comment Attached	YES	NO 🗸	
4.	ADEQUACY OF REFERENCE [s. 227.15 (2) (e)]	ES TO RELATED ST	ATUTES, RULES AND FORMS	
	Comment Attached	YES	NO 🗸	
5.	CLARITY, GRAMMAR, PUN	CTUATION AND US	E OF PLAIN LANGUAGE [s. 227.1	5 (2) (f)]
	Comment Attached	YES 🗸	NO	
6.	POTENTIAL CONFLICTS WIREGULATIONS [s. 227.15 (2)		ABILITY TO, RELATED FEDERAL	,
	Comment Attached	YES	NO 🗸	
7.	COMPLIANCE WITH PERMI	T ACTION DEADLI	NE REQUIREMENTS [s. 227.15 (2)	(h)]
	Comment Attached	YES	NO 🗸	



### Wisconsin Legislative Council

#### RULES CLEARINGHOUSE

Scott Grosz Clearinghouse Director Margit Kelley Clearinghouse Assistant Director

Anne Sappenfield Legislative Council Director

#### **CLEARINGHOUSE RULE 24-028**

#### **Comments**

[NOTE: All citations to "Manual" in the comments below are to the Administrative Rules Procedures Manual, prepared by the Legislative Council Staff and the Legislative Reference Bureau, dated November 2020.]

#### 2. Form, Style and Placement in Administrative Code

- a. The following comments apply to the agency's analysis for the proposed rule:
  - (1) In the plain language analysis, consider elaborating on the nature of the changes made by the proposed rule. A plain language analysis should contain sufficient detail to enable a reader to understand the content of the proposed rule and the changes made from the existing rule. [s. 1.01 (2) (a) 5. and (b), Manual.]
  - (2) In the listing of the deadline to submit comments on the proposed rule, a specific date should be given, or a description of how a reader would determine that date, rather than stating "on a date to be determined".
- b. In SECTION 3 of the proposed rule, the treatment clause can simply state, "A-E 2.02 (7) (a) is repealed", as the treatment applies to the entire paragraph and its only subunit. Similarly, in the caption for the proposed rule, in the listing of affected provisions, s. A-E 2.02 (7) (a) can be listed without identifying the subunit.
- c. The following comments apply to SECTION 12 of the proposed rule, amending s. A-E 8.10:
  - (1) The treatment clause can simply state, "A-E 8.10 is amended to read", as the treatment applies to the entire section. Similarly, in the caption for the proposed rule, in the listing of affected provisions, s. A-E 8.10 can be listed without identifying the subunits.
  - (2) In a number of the instances in which a word or phrase is shown with a strike-through and a new word or phrase is inserted with underscoring, the stricken word or phrase should be revised to precede the underscored word or phrase. For example, in s. A-E 8.10 (1), the text "and sign or stamp" should be reversed and shown as "or stamp and sign". This also occurs in subs. (2) and (3).

#### 5. Clarity, Grammar, Punctuation and Use of Plain Language

In provisions of chs. A-E 2 and 8 that are not amended by the proposed rule, consider changing all references to "registrant or permit holder" to the phrase "credential holder", in order to be consistent with the terminology used in the proposed rule. For example, references to a registrant or permit holder remain in ss. A-E 2.02 (4), 2.02 (6), 2.04, 8.04, 8.05, 8.06, 8.08, and 8.11. These provisions could be included and amended in the proposed rule. If added, the rule caption's listing of affected provisions should also be updated.

#### STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND

SURVEYORS AND REGISTERED INTERIOR DESIGNERS

IN THE MATTER OF RULEMAKING

PROFESSIONAL ENGINEERS,

DESIGNERS, PROFESSIONAL

LAND SURVEYORS, AND REGISTERED:

INTERIOR DESIGNERS

PROPOSED ORDER OF THE EXAMINING BOARD OF ARCHITECTS, : EXAMINING BOARD OF ARCHITECTS, LANDSCAPE LANDSCAPE ARCHITECTS, : ARCHITECTS, PROFESSIONAL ENGINEERS ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND

SURVEYORS, AND

REGISTERED INTERIOR

**DESIGNERS** 

ADOPTING RULES

(CLEARINGHOUSE RULE )

#### PROPOSED ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers to repeal A-E 2.02 (7) (a) and A-E 2.02 (7) (b) 2. (Note); to amend A-E 2.02 (5), A-E 2.02 (7) (b), A-E 2.02 (8) (a), A-E 2.04, A-E 7.05 (8), A-E 7.08 (3) (i), A-E 7.08 (4) (c), A-E 8.04 (1), (3), and (5), A-E 8.05 (1) (a), (c), and (2), A-E 8.06 (2) and (3), A-E 8.08 (3), A-E 8.10, and A-E 8.11 (intro), (1), (2), and (4); to repeal and recreate A-E 2.02 (3) and A-E 2.02 (7) (b) 1. and 2.; and to create A-E 2.02 (7) (b) 3. relating to sealing and stamping of documents.

Analysis prepared by the Department of Safety and Professional Services.

#### **ANALYSIS**

#### **Statutes interpreted:**

Sections 443.08 (4) (b), 443.11 (1) (b), 443.17, and 443.175, Stats.

#### **Statutory authority:**

Sections 15.08 (5) (b), 227.11 (2) (a), and 443.015 (2), Stats.

#### **Explanation of agency authority:**

Section 15.08 (5) (b), Stats, provides that each examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 227.11 (2) (a), Stats. provides that "Each agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute, but a rule is not valid if the rule exceeds the bounds of correct interpretation."

Section 443.015 (2), Stats. provides that "Each section of the examining board may promulgate rules governing the professional conduct of individuals, firms, partnerships, and corporations registered, permitted, certified, or granted a certificate of authorization by that section."

#### Related statute or rule:

None.

#### Plain language analysis:

This rule provides clarification within code chapters A-E 2, 7, and 8 relating to sealing and signing and eliminates redundant words or sentences that might bring confusion to stakeholders. The words "stamp" or "stamping" are being removed and being replaced with "seal" or "sealing", where it does not already exist. The rule makes clear that use of electronically applied seals and signatures are allowed. And the rule changes instances where "registrants", "permit holders", or "licensees" are used incorrectly, where the correct term is "credential holders", because that term includes all professions covered by the A-E code.

#### Summary of, and comparison with, existing or proposed federal regulation:

None.

#### Comparison with rules in adjacent states:

#### Illinois:

Rules of the Illinois Department of Financial and Professional Regulation provide seal requirement standards for architects [68 Ill. Adm. Code 1150], professional engineers [68 Ill. Adm. Code 1380.295], and professional land surveyors [68 Ill. Adm. Code 1270.58]. Licensed Architects and Professional Engineers must have a reproducible seal, which may be electronically affixed, or, at the licensed professional's discretion, an original signature in the licensee's handwriting, a scanned copy of documents bearing an original signature, or a signature generated by a computer.

Land surveyors must have a reproducible seal or facsimile, which may be computer generated, the impression of which shall contain the name of the land surveyor, his or her place of business, the license number of the professional land surveyor, and the words "Professional Land Surveyor, State of Illinois." They may also provide an original signature in the licensee's handwriting, a scanned copy of the document bearing an original signature, or a signature generated by a computer.

#### Iowa:

Rules covering seal requirements for architects, engineers, professional land surveyors, and landscape architects are specified by the Iowa Architectural Examining Board [193B IAC 4.6], the Iowa Engineering and Land Surveying Examining Board [193C IAC 6.1(1) to 6.1(9)], and the Iowa Landscape Architectural Examining Board [193D IAC 4.1(7)]. Iowa currently does not have seal requirements for Interior Designers [193G IAC]. Architects, Landscape Architects and Professional Land Surveyors may provide seals presented as a legible rubber stamp, an electronic image or any other facsimile of the seal. The information requested in each information block must be typed or legibly printed in permanent ink or a secure electronic signature which has been defined in Iowa Code section 554D.103(14), which includes digital signature technology.

#### Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs provide seal requirements for architects [Mich Admin Code, R 339.15404], professional engineers [Mich Admin Code, R 339.16031 to R 339.16034], professional surveyors [Mich Admin Code, R 339.17301], and landscape architects [Mich Admin Code, R 339.19041]. In the State of Michigan rules, there are no differences specified between the types of seals or stamps.

#### Minnesota:

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design provide seal requirements for licensed architects, licensed professional engineers, licensed land surveyors, and licensed landscape architects [Minnesota Rules, part 1800.4300].

Within the State of Minnesota, professional certifications and signatures on plans, drawings, specifications, plats, reports, and other documents may be electronic, or provided via facsimile or digitally. Plans, specifications, plats, reports, and other documents may be stamped with the seal during the life of a licensee's license or certificate holder's certificate if it remains unrevoked, has not expired, or has not been suspended.

#### Summary of factual data and analytical methodologies:

The proposed rule was developed by conducting a comprehensive review of the provisions of chapters A-E 2, 7, and 8, reviewing professional sealing and signing provisions from surrounding states, and obtaining input and feedback from the Rules Committee of the A-E Board.

Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules were posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals. No comments were received.

#### **Fiscal Estimate and Economic Impact Analysis:**

The Fiscal Estimate and Economic Impact Analysis are attached.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted at Jennifer.Garrett@wisconsin.gov or (608) 266-2112.

#### Agency contact person:

Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; telephone 608-267-0989; email at DSPSAdminRules@wisconsin.gov.

#### Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to <a href="mailto:DSPSAdminRules@wisconsin.gov">DSPSAdminRules@wisconsin.gov</a>. Comments must be received on or before the public hearing, scheduled for April 10, 2024, to be included in the record of rule-making proceedings.

#### TEXT OF RULE

SECTION 1. A-E 2.02 (3) is repealed and recreated to read:

**A-E 2.02 (3)** The registration seal may be an embossing seal, an ink stamp seal, a digitally printed seal, or digitally embedded seal in an electronic file.

SECTION 2. A-E 2.02 (5) is amended to read:

A-E 2.02 (5) If more than one sheet is bound together in a volume, the registrant or permit credential holder who prepared or directed and controlled the preparation of the volume, may sign, seal and date only the title or index sheet if the signed sheet identifies clearly all other sheets comprising the bound volume and if any other sheets which are prepared by or under the direction and control of another registrant or permit credential holder are signed, sealed and dated by the other registrant or permit credential holder.

SECTION 3. A-E 2.02 (7) (a) is repealed.

SECTION 4. A-E 2.02 (7) (b) is amended to read:

**A-E 2.02 (7) (b)** All seals and stamps on plans, drawings, documents, specifications, and reports to be filed as public documents shall be signed and dated by the registered professional credential holder in one of the following manners:

SECTION 5. A-E 2.02 (7) (b) 1. and 2. are repealed and recreated to read:

A-E 2.02 (7) (b) 1. Physical placement of a seal and a handwritten signature in permanent ink containing the name of the credential holder and date.

**2.** Digital placement of a seal and a handwritten signature in permanent ink containing the name of the credential holder and date.

SECTION 6. A-E 2.02 (7) (b) 2. (Note) is repealed.

SECTION 7. A-E 2.02 (7) (b) 3. is created to read:

A-E 2.02 (7) (b) 3. Digital placement of a seal and an electronic signature containing the name of the credential holder and date.

SECTION 8. A-E 2.02 (8) (a) is amended to read:

**A-E 2.02 (8) (a)** The plans, specifications and calculations shall have been prepared by or under the supervision of an architect or professional engineer licensed in some state of the United States, and shall bear the signature and seal or stamp and signature of the architect or professional engineer who prepared them or under whose supervision and control they were prepared.

SECTION 9. A-E 2.04 is amended to read:

**A-E 2.04 Change of address.** Every registrant or permit credential holder shall notify the board in writing of a change of address within 30 days of the change. The notice shall include the person's or firm's former and new addresses and each registration or permit credential number held.

SECTION 10. A-E 7.05 (8) is amended to read:

**A-E 7.05 (8)** The map shall bear the stamp or seal, name, and address, and signature of the professional land surveyor under whose direction and control the property survey was made with a statement certifying that the property survey complies with this chapter and is correct to the best of the professional land surveyor's knowledge and belief.

SECTION 11. A-E 7.08 (3) (i) is amended to read:

A-E 7.08 (3) (i) The stamp and signature or seal and signature of the professional

land surveyor under whose direction and control the corner location was determined and a statement certifying that the U.S. public land survey monument record is correct and complete to the best of the professional land surveyor's knowledge and belief.

SECTION 12. A-E 7.08 (4) (c) is amended to read:

A-E 7.08 (4) (c) The stamp and signature or seal and signature of the professional land surveyor under whose direction and control the corner location was determined or witness monument established.

SECTION 13. A-E 8.04 (1), (3), and (5) are amended to read:

- **A-E 8.04 (1)** Shall accurately and truthfully represent to a prospective client or employer the capabilities and qualifications which the registrant or licensee credential holder has to perform the services to be rendered.
- (3) May not offer to perform, nor perform, services which the registrant or licensee <u>credential holder</u> is not qualified to perform by education or experience without retaining the services of another who is qualified.
- (5) May not misrepresent the extent to which the performance of services will involve a partnership or association with another registrant or licensee credential holder or misrepresent the identity of a registrant or licensee credential holder with whom a partnership or association will be engaged in for the performance of services.

SECTION 14. A-E 8.05 (1) (a), (c), and (2) are amended to read:

- A-E 8.05 (1) (a) Shall avoid conflicts of interest. If an unavoidable conflict of interest arises, the registrant or licensee credential holder shall immediately inform the client or employer of all the circumstances which may interfere with or impair the registrant's or licensee's credential holder's obligation to provide professional services. Under these circumstances a registrant or licensee credential holder may not proceed to provide professional services without the full approval and consent of the client or employer.
- (c) May not agree to perform professional services for a client or employer if the registrant or licensee credential holder has a significant financial or other interest which would impair or interfere with the registrant's or licensee's credential holder's responsibility to faithfully discharge professional services on behalf of the client or employer.
- (2) Nothing in these rules limits a registrant's or a licensee's credential holder's professional responsibility to an owner of a project when the registrant or licensee credential holder is employed by a person or firm under contract to construct and furnish design services for that project.

SECTION 15. A-E 8.06 (2) and (3) are amended to read:

- **A-E 8.06 (2)** May not evade the professional or contractual responsibility which the registrant or licensee credential holder has to a client or employer.
- (3) May not enter into an agreement which provides that a person not legally and actually qualified to perform professional services has control over the registrant's or licensee's credential holder's judgment as related to public health, safety or welfare.

SECTION 16. A-E 8.08 (3) is amended to read:

**A-E 8.08 (3)** Shall respond in a timely manner to a request by the board, a section of the board or the department for information in conjunction with an investigation of a complaint filed against a registrant or licensee credential holder. There is a rebuttable presumption that a registrant or licensee credential holder who takes longer than 30 days to respond to a request for information has not acted in a timely manner.

SECTION 17. A-E 8.10 is amended to read:

#### A-E 8.10 Plan stamping sealing.

- **A-E 8.10 (1)** No architect, landscape architect, professional engineer or designer may sign, seal or stamp and sign any plans, drawings, documents, specifications or reports for architectural, landscape architectural, professional engineering or design practice which are not prepared by the registrant credential holder or under his or her personal direction and control.
- **A-E 8.10 (2)** No professional land surveyor may sign, seal or stamp and sign any maps, plats, charts, or reports for professional land surveying practice which are not prepared by the professional land surveyor or under his or her personal direction and control.
- A-E 8.10 (3) No architect, landscape architect, professional engineer, designer or professional land surveyor shall allow work performed by him or her or under his or her personal direction and control to be signed, sealed or stamped and signed by another except that an architect, landscape architect, professional engineer, designer or professional land surveyor working under the personal direction and control of another registrant or licensee credential holder may allow that registrant or licensee credential holder to sign and seal or stamp and sign the work.

SECTION 18. A-E 8.11 (intro), (1), (2), and (4) are amended to read:

- **A-E 8.11 Suspension of registration** <u>credential</u>; **effect.** Any <u>registrant or licensee</u> <u>credential holder</u> whose <u>registration or licensee</u> <u>credential</u> has been suspended is prohibited during the term of the suspension from engaging in any of the following:
- (1) Offering to perform any service which requires registration or licensure a credential.

- (2) Performing any professional service which requires registration or licensure  $\underline{a}$  credential.
- (4) Entering into contracts the performance of which require registration or licensure a credential.

SECTION 19. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

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(END OF TEXT OF RULE)

## STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND REGISTERED INTERIOR DESIGNERS

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IN THE MATTER OF RULEMAKING PROPOSED ORDER OF THE PROCEEDINGS BEFORE THE **EXAMINING BOARD OF** EXAMINING BOARD OF ARCHITECTS, : LANDSCAPE ARCHITECTS, : A ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL PROFESSIONAL ENGINEERS, ENGINEERS, DESIGNERS, DESIGNERS, PROFESSIONAL PROFESSIONAL LAND SURVEYORS, AND REGISTERED SURVEYORS, AND REGISTERED INTERIOR DESIGNERS **INTERIOR DESIGNERS** DESIGNERS ADOPTING RULES (CLEARINGHOUSE RULE

PROPOSED ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers to **amend** A-E 8.03 (5) (b) and to **create** A-E 8.03 (5) (b) 6. and 8.06 (4) relating to direct supervision.

Analysis prepared by the Department of Safety and Professional Services.

#### **ANALYSIS**

#### **Statutes interpreted:**

Section 443.015 (2), Stats.

#### **Statutory authority:**

Sections 15.08 (5) (b), and 227.11 (2) (a), and 443.015 (2), Stats.

#### **Explanation of agency authority:**

Section 15.08 (5) (b), Stats., provides that each examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 227.11 (2) (a), Stats., provides that "Each agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute, but a rule is not valid if the rule exceeds the bounds of correct interpretation."

Section 443.015 (2), Stats.: "Each section of the examining board may promulgate rules governing the professional conduct of individuals, firms, partnerships, and corporations registered, permitted, certified, or granted a certificate of authorization by that section."

#### Related statute or rule:

None.

#### Plain language analysis:

The Board completed a comprehensive review of ch. A-E 8 to ensure that rules are consistent with standards of professional practice and to update the definition of supervision and provide improved rule clarification and interpretation.

#### Summary of, and comparison with, existing or proposed federal regulation:

None.

#### Comparison with rules in adjacent states:

#### Illinois:

Rules of the Illinois Department of Financial and Professional Regulation provide standards of professional conduct for architects [68 Ill. Adm. Code 1150.90], professional engineers [68 Ill. Adm. Code 1380.300], and professional land surveyors [68 Ill. Adm. Code 1270.57]. None of these rules address direct supervision of subordinate employees.

#### Iowa:

Rules of professional conduct for architects, engineers, professional land surveyors, and landscape architects are specified by the Iowa Architectural Examining Board [193B IAC 4.1], the Iowa Engineering and Land Surveying Examining Board [193C IAC 8.1 to 8.5], the Iowa Landscape Architectural Examining Board [193D IAC 4.1 to 4.5] and the Iowa Interior Design Board [193G IAC 4.1]. None of these rules address direct supervision of subordinate employees.

#### Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs provide standards of professional conduct for architects [Mich Admin Code, R 339.15401], professional engineers [Mich Admin Code, R 339.16031 to R 339.16034], professional land surveyors [Mich Admin Code, R 339.17401 to R 339.17404], and landscape architects [Mich Admin Code, R 339.19001 to R 339.19049]. None of these rules address direct supervision of subordinate employees.

#### Minnesota:

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design provide rules of professional conduct for architects, engineers, land surveyors, and landscape architects [Minnesota Rules, parts 1805.0100 to 1805.1600]. The Minnesota rules define a direct supervisor as an individual who "directs the work of other licensees, unlicensed professionals, technicians, and clerical persons assigned to that work and is in responsible charge of the project comprising the work being supervised."

# Summary of factual data and analytical methodologies:

The proposed rule was developed by conducting a comprehensive review of the provisions of ch. A-E 8, reviewing professional supervision standards from other states, and obtaining input and feedback from the A-E Board.

# Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rule will be posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted at <a href="mailto:Jennifer.Garrett@wisconsin.gov">Jennifer.Garrett@wisconsin.gov</a> or (608) 266-2112.

# **Agency contact person:**

Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; telephone 608-267-0989; email at DSPSAdminRules@wisconsin.gov.

#### Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to <a href="mailto:DSPSAdminRules@wisconsin.gov">DSPSAdminRules@wisconsin.gov</a>. Comments must be received on or before the public hearing, held on a date to be determined, to be included in the record of rule-making proceedings.

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#### TEXT OF RULE

SECTION 1. A-E 8.03 (5) (b) is amended to read:

A-E 8.03 (5) (b) The terms in par. (a) include Any of the activities in par. (a) may be accomplished using any of the following:

SECTION 2. A-E 8.03 (5) (b) 6. is created to read:

6. Use of appropriate technology that is functionally equivalent to in-person supervision to provide oversight remotely.

SECTION 3. A-E 8.06 (4) is created to read:

**A-E 8.06 (4)** Shall be held to the same standards of practice and conduct regardless of whether their professional duties under this chapter are performed in-person or by utilizing remote technology.

SECTION 4. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

	OF TEXT OF RUI	
(END	OF TEXT OF RUL	LE)

This Proposed Order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers is approved for submission to the Governor and Legislature.

Dated	Agency	
		Chair

#### STATE OF WISCONSIN

EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS AND REGISTERED INTERIOR DESIGNERS

.....

IN THE MATTER OF RULEMAKING
PROCEEDINGS BEFORE THE
EXAMINING BOARD OF
EXAMINING BOARD OF ARCHITECTS,
LANDSCAPE ARCHITECTS,
LANDSCAPE ARCHITECTS,
PROFESSIONAL
PROFESSIONAL ENGINEERS,
DESIGNERS, PROFESSIONAL
SURVEYORS, AND REGISTERED
INTERIOR DESIGNERS
DESIGNERS
ENGINEERS, DESIGNERS
PROFESSIONAL LAND
SURVEYORS, AND REGISTERED
INTERIOR DESIGNERS
ENTERIOR DESIGNERS
INTERIOR DESIGNERS
CLEARINGHOUSE RULE

CLEARINGHOUSE RULE

OF THE
EXAMINING BOARD OF
EXAMINED OF
EXAMIN

(622/144/61/3632/1622)

# PROPOSED ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers to **create** A-E 3.02 (1) (b) 3. and A-E 3.07 relating to architect registration.

Analysis prepared by the Department of Safety and Professional Services.

### **ANALYSIS**

# **Statutes interpreted:**

Sections 443.03, 443.10 (1) (b), 443.10 (1) (d), and 443.10 (2) (a) to (d), Stats.

# **Statutory authority:**

Sections 15.08 (5) (b), 227.11 (2) (a), 443.03 (1), 443.09, and 443.10 (1), Stats.

# **Explanation of agency authority:**

Section 15.08 (5) (b), Stats. provides that each examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 227.11 (2) (a), Stats. provides that "Each agency may promulgate rules interpreting the provisions of any statute enforced or administered by the agency, if the agency considers it necessary to effectuate the purpose of the statute, but a rule is not valid if the rule exceeds the bounds of correct interpretation."

Section 443.03 (1), Stats. provides that architect applicants must submit satisfactory evidence "that he or she has acquired a thorough knowledge of sound construction, building hygiene, architectural design and mathematics," including, "one of the following: 1m. A diploma of graduation, or a certificate, from an architectural school or college approved by the architect section as of satisfactory standing, together with at least 2 years' practical experience of a character satisfactory to the architect section in the design and construction of buildings. 2. A specific record of 7 or more years of experience in architectural work of a character satisfactory to the architect section in the design and construction of buildings."

Section 443.09, Stats., relating to examination and experience requirements, states "(1) In considering the qualifications of an applicant as an architect...responsible charge of architectural...teaching may be construed as experience. (2) Subject to ss. 111.321, 111.322 and 111.335, no person who has an arrest or conviction record is eligible for registration as an architect..." and "(4) Written or written and oral examinations shall be required of every applicant for registration as an architect...Only one form of examination may be required for all applicants. The examination shall be reasonably related to the skills likely to be needed by an applicant practicing the profession at the time of examination and seek to determine the applicant's preparedness to exercise such skills."

Section 443.10 (1), Stats., relating to registration and reciprocity, states, "(a) The appropriate section of the examining board may, upon application and the payment of the required fee, grant a certificate of registration as an architect...to any person who holds an unexpired certificate of similar registration issued to the person by the proper authority in any state or territory or possession of the United States or in any country in which the requirements for the registration of architects...are of a standard not lower than specified in this chapter. (b) The appropriate section of the examining board may, upon application and payment of the required fee, grant a certificate of registration as an architect..., to any person who holds an unrevoked card or certificate of national reciprocal registration, issued by any state, territory, or possession of the United States or by any country, which is in conformity with the regulations of the national council of state board of architectural...examiners, and who complies with the regulations of the appropriate section, except as to qualifications and registration fee." "(d) The appropriate section of the examining board may, upon application and payment of the required fee, grant a permit to practice or to offer to practice architecture...to a person who is not a resident of and has no established place of business in this state, or who has recently become a resident of this state, if the person holds an unexpired certificate of similar registration issued to the person by the proper authority in any state or territory or possession of the United States or in any country in which the requirements for the registration of architects...are of a standard not lower than specified in this chapter."

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None.

Plain language analysis:

At the 2022 National Council of Architectural Registration Boards (NCARB) Annual Business Meeting, member licensing boards ratified a mutual recognition agreement (MRA) between NCARB and its counterpart in the United Kingdom, the Architects Registration Board (ARB). This MRA allows for reciprocal licensure between residents of the United States and the United Kingdom, effective April 25, 2023.

The objective of the proposed rule is to review, clarify and update current architect registration requirements. This is to ensure that experience, education, and examination requirements for architect registration reflect current best practices and to make any amendments required to prevent confusion for both Wisconsin applicants seeking foreign reciprocity and foreign applicants seeking Wisconsin reciprocity.

# Summary of, and comparison with, existing or proposed federal regulation:

None.

# Comparison with rules in adjacent states:

#### Illinois:

Rules of the Illinois Department of Financial and Professional Regulation provide standards of registration for architects [68 Ill. Adm. Code 1150.10]. Illinois has ratified and is a participant in the MRA for reciprocal licensure with the United Kingdom.

#### Iowa:

Rules of architect registration are specified by the Iowa Architectural Examining Board [193B IAC 2.2 to 2.4]. Iowa has ratified and is a participant in the MRA for reciprocal licensure with the United Kingdom.

# Michigan:

Rules of the Michigan Department of Licensing and Regulatory Affairs provide standards of registration for architects [Mich Admin Code, R 339.15201, R 339.15202, and R 339.15204]. Michigan has ratified and is a participant in the MRA for reciprocal licensure with the United Kingdom.

#### Minnesota:

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design provide standards of registration for architects [Minnesota Rules, parts 1800.0800 to 1800.1200]. Minnesota has ratified and is a participant in the MRA for reciprocal licensure with the United Kingdom.

# Summary of factual data and analytical methodologies:

The proposed rule was developed by conducting a review of the provisions of ch. A-E 3, reviewing the Mutual Recognition Agreement with the United Kingdom, and obtaining input and feedback from the Architect Section of the A-E Board.

# Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rule will be posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted at Jennifer.Garrett@wisconsin.gov or (608) 266-2112.

### Agency contact person:

Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; email at <a href="mailto:DSPSAdminRules@wisconsin.gov">DSPSAdminRules@wisconsin.gov</a>.

#### Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to <a href="mailto:DSPSAdminRules@wisconsin.gov">DSPSAdminRules@wisconsin.gov</a>. Comments must be received on or before the public hearing, held on a date to be determined, to be included in the record of rule-making proceedings.

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#### TEXT OF RULE

SECTION 1. A-E 3.02 (1) (b) 3. is created to read:

A-E 3.02 (1) (b) 3. If a diploma of graduation, or a certificate, from an architectural school or college is from an educational institution located outside the United States or its territories, the applicant shall provide an official evaluation by a transcript evaluation service acceptable to the architecture section which compares the degree to an architecture standard acceptable to the architecture section. The architecture section may approve the degree if it finds equivalence.

SECTION 2. A-E 3.07 is created to read:

- **A-E 3.07 (1) Application for reciprocity.** An application for registration by reciprocity from another state shall include all of the following:
- (a) Verification of registration submitted directly from all states, territories, or provinces of Canada where the applicant is or has been registered, including a statement regarding any disciplinary action taken.
- **(b)** References from at least 5 individuals having personal knowledge of the applicant's architectural work 3 or more of the references shall be registered professional architects, one of whom has served as a supervisor in responsible charge of the applicant's architecture work.
- (c) Verification of meeting the continuing education requirements set forth in s. A-E 12.
- (d) Any additional data, exhibits or references showing the extent and quality of the applicant's experience that may be required by the section.
- (2) Submission of a record that is properly executed and issued with verification by NCARB may be accepted as evidence of the information that is required by the architect section.
- (3) Reciprocity may be granted, in accordance with ss. 443.10 (1) (b) and 443.10 (1) (d), Stats., to a person who holds an unexpired registration issued by an authority in any state or territory or possession of the United States or in any country in which the requirements for registration are of a standard not lower than required by the architect section.

SECTION 3. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

pursuant to s. 227.22 (2) (intro.),	Stats.
(El	ND OF TEXT OF RULE)
Professional Engineers, Designers	ining Board of Architects, Landscape Architects, s, Professional Land Surveyors, and Registered Interior sion to the Governor and Legislature.
Dated	Chairperson

### STATE OF WISCONSIN

# EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR DESIGNERS

IN THE MATTER OF RULEMAKING : REPORT TO THE LEGISLATURE

PROCEEDINGS BEFORE THE : CR 24-001

**EXAMINING BOARD OF** :

ARCHITECTS, LANDSCAPE : ARCHITECTS, PROFESSIONAL : ENGINEERS, DESIGNERS, : PROFESSIONAL LAND SURVEYORS, : AND REGISTERED INTERIOR :

DESIGNERS :

#### I. THE PROPOSED RULE:

The proposed rule, including the analysis and text, is attached.

#### II. REFERENCE TO APPLICABLE FORMS:

N/A

### III. FISCAL ESTIMATE AND EIA:

The Fiscal Estimate and EIA is attached.

# IV. DETAILED STATEMENT EXPLAINING THE BASIS AND PURPOSE OF THE PROPOSED RULE, INCLUDING HOW THE PROPOSED RULE ADVANCES RELEVANT STATUTORY GOALS OR PURPOSES:

Wisconsin Administrative Code Chapter SPS 130 currently outlines requirements for registered interior designers. The authority for the rules in SPS 130 was removed and transferred to the newly created Registered Interior Designers Section of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers with the enactment of 2021 Wisconsin Act 195. The objective of this rule project is to implement the statutory changes from the act by creating chapters A-E 14 and 15 as a new part of the Wisconsin Administrative Code and by amending chapters A-E 1, 2, and 8 to add registered interior designers to the general provisions of the board. The new chapters cover the registration and continuing education requirements for registered interior designers.

# V. SUMMARY OF PUBLIC COMMENTS AND THE BOARD'S RESPONSES, EXPLANATION OF MODIFICATIONS TO PROPOSED RULES PROMPTED BY PUBLIC COMMENTS:

The A-E Rules Committee held a public hearing on the proposed rule on February 6, 2024. No written or verbal comments were received.

#### VI. RESPONSE TO LEGISLATIVE COUNCIL STAFF RECOMMENDATIONS:

All Legislative Council recommendations except 3, 4, 5a, 5c, 5f, and 5l have been accepted and incorporated into the proposed rules.

**Comment: 3.** Because the statutory authority for ch. SPS 130 was repealed, consider repealing that chapter.

**Response:** The board rejected comment 3, because it is not within the statutory authority of the A-E board to repeal rules promulgated by the Department.

**Comment: 4.** In s. A-E 15.04, the proposed rule references s. A-E 14.03 and implies that provision relates to retired status. However, retired status is not dealt with in s. A-E 14.03 or anywhere in the proposed rule.

**Response:** The board rejected comment 4, as retired credential status for all sections of the board, including the Registered Interior Designer Section, is addressed in the existing code at s. A-E 2.045. However, the board did change the reference in A-E 15.04 from A-E 14.03 to A-E 2.045.

**Comment: 5a.** In SECTION 6, consider replacing "which" with "that". [s. 1.08 (1) (c), Manual.] This comment also applies to the other paragraphs currently in s. A-E 2.03 (2).

**Response:** The board rejected comment 5a, since changing the other paragraphs in A-E 2.03 is outside the scope of this project because they do not relate to implementing the registered interior designer legislation, and if those paragraphs are not modified the use of "which" maintains consistency with the existing sections.

**Comment: 5c.** In s. A-E 14.03 (1) (c), consider specifying how an applicant would know that the additional information must be submitted. This consideration applies to s. A-E 14.03 (2) (e) as well.

**Response:** The board rejected comment 5c, to keep consistency with language in similar provisions in A-E 3.06 (4), 4.08 (2) (d), 5.05 (4), and 6.06 (4).

Comment: 5f. In s. A-E 15.02 (2), consider whether "licensee" should be "registrant". This consideration also applies throughout the chapter, including ss. A-E 15.03 (1) and 15.07 (3).

**Response:** The board rejected comment 5f, but it is addressing this comment by changing all these instances to "credential holder" to keep consistency with all professions included in A-E rules.

**Comment: 5l.** In s. A-E 15.06, use "A registrant" instead of "Registrants". Also, consider deleting "or professional development" because "continuing education" is a defined term that includes professional development activities. Additionally, consider the use of "bienniums" in specifying how long records must be kept. Because it is a defined term, a registrant could be required to maintain records for almost six years.

**Response:** For comment 5l, the board accepts the suggestion to delete "or professional development". But it rejects the use of "Registrants" because these are changing to "Credential holders", and it rejects changes to the records retention time, because the language as it is currently written reflects the board's intentions.

# VII. REPORT FROM THE SBRRB AND FINAL REGULATORY FLEXIBILITY ANALYSIS:

N/A

#### STATE OF WISCONSIN

EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR DESIGNERS

IN THE MATTER OF RULEMAKING

DESIGNERS, PROFESSIONAL

LAND SURVEYORS, AND REGISTERED:

INTERIOR DESIGNERS

PROPOSED ORDER OF THE PROCEEDINGS BEFORE THE : EXAMINING BOARD OF EXAMINING BOARD OF ARCHITECTS, : ARCHITECTS, LANDSCAPE LANDSCAPE ARCHITECTS, : ARCHITECTS, PROFESSIONAL PROFESSIONAL ENGINEERS, : ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR

> **DESIGNERS ADOPTING RULES**

(CLEARINGHOUSE RULE 24-001)

### PROPOSED ORDER

An order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers to amend A-E 1.01, 1.02 (intro), (1), and (3), 1.03 (2) (a), 2.01, 2.02 (1) and (2) and (4) and (6), and (8) (intro), (a), and (b), 2.03 (1) (a) and (b), 8.02, 8.03 (intro), (1) and (2), (2) (a), (3), (3) (a), (5) (c) 4. and 5., 8.04 (intro), 8.05 (1) (intro), 8.06 (intro), 8.07 (intro), (1), and (2), 8.08 (intro) and (4), 8.09 (intro), (1), and (2), 8.10 (1) and (3), 8.11 (3), and 12.01; and to **create** A-E 2.03 (2) (f), 2.06 (1) (g), 14, and 15, relating to registered interior designers.

Analysis prepared by the Department of Safety and Professional Services.

#### <u>ANALYSIS</u>

#### **Statutes interpreted:**

Sections 443.015 (1) and (2), 443.075, 443.17, 443.175, Stats.

#### **Statutory authority:**

Sections 15.08 (5) (b), 443.015 (1), (1e), (1m) (b), and (2), 443.17 (2) (a), Stats.

# **Explanation of agency authority:**

Section 15.08 (5) (b) states that each examining board "[s]hall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 443.015 (1) states that "[e]ach section of the examining board may establish continuing education requirements for renewal of a credential issued by that section under this chapter."

Section 443.015 (1e) states that "[t]he rules promulgated under sub. (1) by the registered interior designer section of the examining board shall require a Wisconsin registered interior designer to complete at least 15 hours of continuing education during the 2-year period immediately preceding the renewal date specified under s. 440.08 (2) (a). At least 10 of the 15 hours shall be in subjects related to the practice of interior design that safeguard the public's health, safety, and welfare."

Section 443.015 (1m) (b) states that "[a]ny rules a section of the examining board promulgates under sub. (1) shall exempt a credential holder whose credential is classified as retired status under par. (a) from continuing education requirements."

Section 443.015 (2) states that "[e]ach section of the examining board may promulgate rules governing the professional conduct of individuals, firms, partnerships, and corporations registered, permitted, certified, or granted a certificate of authorization by that section."

Section 443.17 (2) (a) states that "[t]he registered interior designer section of the examining board shall promulgate rules establishing specifications for seals and stamps used by Wisconsin registered interior designers."

#### Related statute or rule:

Chapter SPS 130.

# Plain language analysis:

Wisconsin Administrative Code Chapter SPS 130 currently outlines requirements for registered interior designers. The authority for the rules in SPS 130 was removed and transferred to the newly created Registered Interior Designers Section of the Examining board of architects, landscape architects, professional engineers, designers, professional land surveyors, and registered interior designers with the enactment of 2021 Wisconsin Act 195. The objective of this rule project is to implement the statutory changes from the act by creating chapters A-E 14 and 15 as a new part of the Wisconsin Administrative Code and by amending chapters A-E 1, 2, and 8 to add registered interior designers to the general provisions of the board. The new chapters cover the registration and continuing education requirements for registered interior designers.

# Summary of, and comparison with, existing or proposed federal regulation:

None.

Summary of public comments received on statement of scope and a description of how and to what extent those comments and feedback were taken into account in drafting the proposed rule:

The Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers held a preliminary hearing on the statement of scope for this rule on June 13, 2023. The following comment was received from James Gersich, Wisconsin Registered Interior Designer:

"Today I am writing as a member of the public, registered as a Wisconsin Registered Interior Designer (WRID). You may also know me as the Vice Chair of the Joint Examining Board (JEB) of Architects, Landscape Architects, Professional Engineers, Professional Land Surveyors, Designers of Engineering Systems, and Registered Interior Designers; but this message is not intended to represent the views of the JEB but rather, my personal views; I have been credentialed in Wisconsin as an Architect and a Landscape Architect in addition to WRID, three all for many years, and have served for more than 12 years on the JEB and Architect Section.

As you develop Rules for the WRID/RID Section, please consider these:

- 1. The State of Wisconsin has in effect granted WRID registrants a monopoly over the practice and the title, and the rationale for this is solely the protection of the public's health, safety, and welfare (HSW).
- 2. Many years ago, Continuing Education (CE) requirements were initiated for some disciplines within the JEB, for continued licensure via biennial renewal.
- 3. Several years ago, DSPS (at the time, Department of Commerce) performed random audits for CE compliance by credential holders; but due to budget constraints, auditing was eliminated shortly thereafter.
- 4. The DSPS has no "policing" activity or staff that assures compliance with CE requirements, or conformance with any Rules. Hence, each licensee is obligated under A-E 8.08 to report possible violations. Within any disciplinary action investigated by DSPS staff supporting the Architect Section, compliance with CE requirements has been undertaken. But please note, only if a licensee is the subject of a disciplinary investigation does compliance with the CE requirements stipulated within the Rules, occurs.
- 5. So as you undertake WRID rulemaking, please strongly consider these recommendations:
  - a. The number of biennial contact hours for registrants should match those of Architect. Details are found in A-E 12.03. This requires a combination of mostly HSW and then other "non-HSW" Learning Units (LUs) in addition; 24 hours (of which 16 shall be HSW topics) is required biennially.
  - b. The quality of approvable CE is important. For example, lunch-n-learns that are blatant sales pitches for specific manufacturer's products, should not be allowed. The American Institute of Architects (AIA) is the only design discipline professional organization (via JEB) that currently reviews all presubmitted CE course content, for approval in advance for HSW designation. Consequently, courses approved by AIA as LU vs HSW should be allowed as acceptable CE for WRID renewal.
  - c. The AIA has a CE Transcript service for its members, and it is available to other design professionals at a reasonable cost. This Transcript has been widely (including in Wisconsin) accepted without question, in support of proof of CE. Rulemaking within WRID CE should recognize AIA, IIDA, ASID, and similar professional organizations who document CE for their members.

d. Lastly, please also consider requiring Professional Conduct and Ethics subject content when contemplating CE requirements for WRIDs. While JEB Architects (under 12.03) do not presently have such a requirement, it is required by the Professional Landscape Architects under A-E 11.03 in the quantity of 2 hours per biennium; these are not in addition to the minimum. I am licensed in Minnesota as an Architect and Certified Interior Designer. The State of Minnesota already requires Ethics CE for Architects, Landscape Architects, Professional Engineers and Certified Interior Designers, 2 hours per two-year renewal period being currently required.

Thank you for considering my recommendations."

# Comparison with rules in adjacent states:

#### Illinois:

Interior Designers in Illinois are registered through the Illinois Department of Financial and Professional Regulation. The Registered Interior Designer Act empowers the Board of Registered Interior Design Professionals, governs the practice of interior designers in Illinois, and includes statutory direction regarding interior designer practice, examination, registration and renewal, continuing education, and penalty for unregistered practice [225 Illinois Compiled Statutes ch. 310].

Interior Designers in Illinois are required to complete 10 hours of continuing education per biennium, including one hour in sexual harassment prevention training and one hour pertaining to the subjects of professional conduct or interior design professional ethics. Finally, "All CE programs, activities or courses shall emphasize health, safety and welfare subjects" and meet certain other requirements. [Illinois Administrative Code Title 68 Part 1255 s. 1255.61]. Part 1255 of the Illinois Administrative Code further details rules for interior designers in the areas of registration, examination, seal and signature requirements, endorsement, continuing education, and granting variances. [Illinois Administrative Code Title 68 Part 1255 s. 1255.10 to 1255.90].

#### Iowa:

Interior Designers in Iowa are registered through the Iowa Department of Inspections, Appeals, and Licensing. The Iowa Interior Design Examining Board regulates the practice of registered interior designers. Chapter 544C of the Iowa Code includes statutes on registration, reciprocal registration, continuing education, penalty for violations of unlawful use of title, and grants administrative rulemaking authority to the board [Iowa Code ch. 544C.1 to 544C.13].

Section 193G of the Iowa Administrative Code for the Interior Design Examining Board further details rules for interior designers in the areas of registration, continuing education, professional conduct, and discipline. Interior designers are required to complete at least 10 hours of continuing education "in acceptable health, safety, and welfare subjects" per biennium. Carryover hours are not permitted. [193G Iowa Administrative Code ch. 3].

#### Michigan:

Michigan does not register, certify, nor license interior designers.

#### Minnesota:

Interior designers in Minnesota are certified through the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design. Chapter 326 of the Minnesota Statutes includes requirements for all professions within the board in regard to their scope of practice, certification requirements, grounds for disciplinary action, and continuing education.

Interior designers in Minnesota must complete at least 24 hours of approved continuing education, of which two must be in professional ethics, within the two years preceding renewal. Interior designers are allowed to carry over up to 50 percent of the biennial requirement of hours towards the next renewal period [Minnesota Statutes 326.107].

The Minnesota Administrative Rules have two chapters that include interior designers. Chapter 1800 is dedicated to licensing and operation for all professions on the board. Subsections 1800.2100 and 1800.2200 detail the provisions for education and experience, and procedures related to the practice of interior design. Chapter 1805 further explains the provisions of unprofessional conduct for all professions within the board.

### Summary of factual data and analytical methodologies:

The proposed rules were developed by conducting a comprehensive review of the provisions of chs. A-E 1 to 13 and obtaining input and feedback from the Rules Committee of the A-E Board and the Registered Interior Designer Section. The Board also reviewed the statutory changes from 2021 Wisconsin Act 195 and promulgated rules as needed for the profession.

# Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules were posted for 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals. No comments were received.

### **Fiscal Estimate and Economic Impact Analysis:**

The Fiscal Estimate and Economic Impact Analysis are attached.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted at Jennifer.garrett@wisconsin.gov or (608) 266-2112.

#### **Agency contact person:**

Jake Pelegrin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, P.O. Box 8366, Madison, Wisconsin 53708-8366; telephone 608-267-7139; email at DSPSAdminRules@wisconsin.gov.

#### **TEXT OF RULE**

SECTION 1. A-E 1.01 is amended to read:

**A-E 1.01 Authority.** The rules in chs. A-E 1 to 1315 are adopted under authority in ss. 15.08 (5) (b), 227.11 and ch. 443, Stats.

SECTION 2. A-E 1.02 (intro), (1), and (3) are amended to read:

# **A-E 1.02 Definitions.** In chs. A-E 1 to 1315:

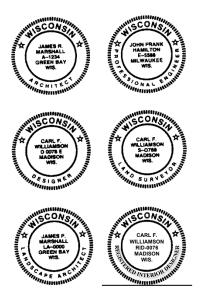
- (1) "Board" or "joint board" means the examining board of architects, landscape architects, professional engineers, designers, and professional land surveyors, and registered interior designers.
- (3) "Section of the board" means either the architect section, the landscape architect section, the professional engineer section, the designer section, or the land surveyor section, or the registered interior designer section.

SECTION 3. A-E 1.03 (2) (a) is amended to read:

A-E 1.03 (2) (a) Composition. The rules committee of the board is comprised of one member from each section and 3 public members. The board chair shall appoint the 3 public members from any of the  $\frac{5}{6}$  sections of the board.

SECTION 4. A-E 2.01, 2.02 (1) and (2) and (4) and (6), and (8) (intro), (a), and (b) are amended to read:

- **A-E 2.01 Purpose.** The purpose of the rules in this chapter is to specify general requirements and procedures which apply to persons credentialed by any section of the board. Requirements specific to architects, landscape architects, professional engineers, designers, or professional land surveyors, or registered interior designers are specified in chs. A-E 3, 4, 5, 6, 7, 9, 10, 11, 12, and 13, 14, and 15. Rules of professional conduct for all registrants or permit credential holders are specified in ch. A-E 8.
- **A-E 2.02 (1)** Each architect, landscape architect, professional engineer, designer, and professional land surveyor, and registered interior designer shall obtain a seal that complies with board specifications for registration seals. The overall diameter may not be less than 15/8 inches nor more than 2 inches. Each seal shall include the registrant's credential holder's name, registration or permit credential number and city.
- (2) The following designs for registration seals have been approved:



- (4) Each sheet of plans, drawings, documents, specifications, and reports for architectural, landscape architectural, professional engineering, design, or professional land surveying, and registered interior design practice shall be signed, sealed, and dated by the registrant or permit credential holder who prepared, or directed and controlled preparation of, the written material, except as specified in sub. (5).
- (6) Any addition, deletion or other revision to each sheet of plans, drawings, documents, specifications and reports for architectural, landscape architectural, professional engineering, design, or professional land surveying, or registered interior design practice which affects public health and safety or any state or local code requirements may not be made unless signed, sealed and dated by the registrant or permit credential holder who made or directed and controlled the making of the revision.
- (8) Plans, specifications and calculations for buildings and structures not exempt under s. 443.15, Stats., which have been prepared by an architect, or professional engineer, or registered interior designer other than the Wisconsin registered architect, or engineer, or registered interior designer who is submitting the plans, specifications and calculations for filing as public documents, may be submitted if all of the following conditions are satisfied:
  - (a) The plans, specifications and calculations shall have been prepared by or under the supervision of an architect, or professional engineer, or registered interior designer licensed credentialed in some state of the United States, and shall bear the signature and seal or stamp of the architect, or professional engineer, or registered interior designer who prepared them or under whose supervision and control they were prepared.
  - **(b)** A certificate, dated, signed, and sealed by the Wisconsin registered architect, or professional engineer, or registered interior designer who is submitting the plans, specifications, and calculations for filing as public documents, shall be attached to the plans, specifications, and calculations. The certificate shall indicate that the plans, specifications and calculations were prepared by an architect, or professional engineer, or registered interior designer other than the submitting registered architect, or professional engineer, or registered interior designer; shall describe the

work performed by the submitting registered architect, or professional engineer, or registered interior designer; and shall include statements to the effect that the plans and specifications have been reviewed and comply with all applicable local and state building codes, and that the reviewing registered architect, or professional engineer, or registered interior designer will be responsible for the supervision of construction in accordance with the requirements of the state, and of the county and municipality where the building or structure is to be erected. If the registered architect, or professional engineer, or registered interior designer who originally prepared the plans, specifications and calculations was registered in Wisconsin at the time they were prepared, the certificate shall also specify why the original architect, or professional engineer, or registered interior designer is not submitting the plans, specifications, and calculations for approval.

SECTION 5. A-E 2.03 (1) (a) and (b) are amended to read:

- A-E 2.03 (1) (a) "Firm" means any sole proprietorship, partnership, corporation, limited liability company, or limited liability partnership located in this state which provides or offers to provide architectural, landscape architectural, professional engineering, design, or professional land surveying, or registered interior design services to the public.
- **(b)** "Resident" means a currently-registered <u>credentialed</u> architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior <u>designer</u> who spends the majority of his or her working schedule in one firm location and who is in charge of and responsible for the type of services offered or provided from that location.

SECTION 6. A-E 2.03 (2) (f) is created to read:

A-E 2.03 (2) (f) A resident registered interior designer in each separate business location which provides or offers to provide registered interior design services.

SECTION 7. A-E 2.06 (1) (g) is created to read:

A-E 2.06 (1) (g) Registration as a registered interior designer.

SECTION 8. A-E 8.02, 8.03 (intro), (1) and (2), (2) (a), (3), (3) (a), and (5) (c) 4. and 5. are amended to read:

**A-E 8.02 Intent.** The intent of the examining board in adopting this chapter is to establish rules of professional conduct for the professions of architecture, landscape architecture, professional engineering, designing, and professional land surveying, and registered interior design. A violation of any standard specified in this chapter may result in disciplinary action under ss. 443.11 to 443.13, Stats.

**A-E 8.03 Definitions.** In ch. 443, Stats., and chs. A-E 1 to 9, and 14:

(1) "Gross negligence in the practice of architecture, landscape architecture, professional engineering, designing, or professional land surveying, or registered interior design" means the performance of professional services by an architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer which does not comply with an acceptable standard of practice that has a significant relationship to the protection of health, safety or public welfare and is performed in a manner indicating that the professional knew or should have known, but acted with indifference to or disregard of, the accepted standard of practice.

- (2) "Incompetency in the practice of architecture, landscape architecture, professional engineering, designing, or land surveying, or registered interior design" means conduct which demonstrates any of the following:
  - (a) Lack of ability or fitness to discharge the duty owed by an architect, landscape architect, professional engineer, designer, or land surveyor, or registered interior designer to a client or employer or to the public.
- (3) "Misconduct in the practice of architecture, landscape architecture, professional engineering, designing, or professional land surveying, or registered interior design" means an act performed by an architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer in the course of the profession which jeopardizes the interest of the public, including any of the following:
  - (a) Violation of federal or state laws, local ordinances or administrative rules relating to the practice of architecture, landscape architecture, professional engineering, designing, or professional land surveying, or registered interior design.
- (5) (c) 4. Mere assumption by an architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer of responsibility for work without having control of the work.
- **5.** Assuming charge, control, or direct supervision of work in which the architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer does not have technical proficiency.

SECTION 9. A-E 8.04 (intro) is amended to read:

**A-E 8.04** Offers to perform services shall be truthful. When offering to perform professional services, an architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer:

SECTION 10. A-E 8.05 (1) (intro) is amended to read:

**A-E 8.05 (1)** An architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer:

SECTION 11. A-E 8.06 (intro) is amended to read:

**A-E 8.06 Professional obligations.** An architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer:

SECTION 12. A-E 8.07 (intro), (1), and (2) are amended to read:

- **A-E 8.07 Unauthorized practice.** An architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer:
- (1) Shall assist in enforcing laws which prohibit the unlicensed practice of architecture, landscape architecture, professional engineering, designing, and professional land surveying, and registered interior design by reporting violations to the board.
- (2) May not delegate professional responsibility to unlicensed persons and may not otherwise aid or abet the unlicensed practice of architecture, landscape architecture, professional engineering, designing, or professional land surveying, or registered interior design.

SECTION 13. A-E 8.08 (intro) and (4) are amended to read:

- **A-E 8.08 Maintenance of professional standards.** An architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer:
- (4) Shall notify the department in writing if the registrant or licensee credential holder has been disciplined for unprofessional conduct in other states where the registrant or licensee credential holder holds a credential or has violated federal or state laws, local ordinances or administrative rules, not otherwise reportable under s. SPS 4.09 (2), which are related to the practice of an architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer. The notification shall be submitted within 48 hours of the disciplinary finding or violation of law and shall include copies of the findings, judgments, and orders so that the department may determine whether the circumstances are substantially related to the practice of the registrant or licensee credential holder.

SECTION 14. A-E 8.09 (intro), (1), and (2) are amended to read:

- **A-E 8.09** Adherence to statutes and rules. An architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer:
- (1) Shall comply with the requirements in ch. 443, Stats., rules in this chapter and all other federal, state, and local codes which relate to the practice of architecture, landscape architecture, professional engineering, designing, and professional land surveying, and registered interior design.
- (2) May not engage in conduct that may adversely affect his or her fitness to practice architecture, landscape architecture, professional engineering, designing, or professional land surveying, or registered interior design.

SECTION 15. A-E 8.10 (1) and (3) are amended to read:

- **A-E 8.10 (1)** No architect, landscape architect, professional engineer, or registered interior designer may sign, seal or stamp any plans, drawings, documents, specifications or reports for architectural, landscape architectural, professional engineering, or design, or registered interior design practice which are not prepared by the registrant or under his or her personal direction and control.
- (3) No architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer shall allow work performed by him or her or under his or her personal direction and control to be signed, sealed or stamped by another except that an architect, landscape architect, professional engineer, designer, or professional land surveyor, or registered interior designer working under the personal direction and control of another registrant or licensee may allow that registrant or licensee to sign and seal or stamp the work.

SECTION 16. A-E 8.11 (3) is amended to read:

**A-E 8.11 (3)** Signing or sealing plans, specifications, reports, maps, plats, or charts prepared for the practice of architecture, landscape architecture, professional engineering, designing, or professional land surveying, or registered interior design.

SECTION 17. A-E 12.01 is amended to read:

**A-E 12.01 Authority and purpose.** The rules in this chapter are adopted by the examining board of architects, landscape architects, professional engineers, designers, and land surveyors, and registered interior designers pursuant to ss. 15.08 (5) (b), 227.11 (2) and 443.015, Stats., and govern the biennial continuing education requirements for architect registrants.

SECTION 18. A-E 14 and 15 are created to read:

# Chapter A–E 14 INTERIOR DESIGNER REGISTRATION

**A–E 14.01 Authority and purpose.** The rules in this chapter are adopted under authority in ss. 15.08 (5) (b), 227.11, 443.075, and 443.10, Stats. The purpose of the rules in this chapter is to interpret basic requirements for registration as a registered interior designer as specified in ss. 443.075, and 443.10, Stats.

# A-E 14.02 Definitions. In this chapter:

- (1) "Architect" has the meaning in s. 443.01 (1), Stats.
- (2) "Practice of interior design" has the meaning in s. 443.01 (5m) (a), Stats.
- (3) "Registered interior designer" has the meaning in s. 443.01 (9), Stats.

# A-E 14.03 Requirements for registration as a registered interior designer.

- (1) REGISTRATION BY EXAMINATION. An applicant for registration as a registered interior designer under s. 443.075 (1), Stats., shall submit all of the following:
  - (a) Evidence of a passing score on the National Council for Interior Design Qualification Examination of the Council for Interior Design Qualification.
  - **(b)** References from at least 3 individuals having personal knowledge of the applicant's work in the practice of interior design, 2 of whom are registered interior designers, and 1 of whom is either a registered interior designer or a registered architect.
  - (c) Any additional data, exhibits, or references showing the extent and quality of the applicant's experience that may be required by the registered interior designer section.
- (2) REGISTRATION FOR REGISTERED ARCHITECTS. An applicant for registration as a registered interior designer under s. 443.075 (2), Stats., shall submit all of the following:
  - (a) Evidence of current registered status as an architect under s. 443.03, Stats.
  - **(b)** Evidence that the applicant is a graduate of a 4-year architecture program.
  - (c) Evidence of at least 6 years of demonstrated practical experience in the practice of interior design, including at least all of the following areas:
    - 1. Programming and pre-design.
    - 2. Schematic design.
    - **3.** Design development.

- **4.** Production of construction documents.
- 5. Construction administration.
- (d) References from at least 3 individuals having personal knowledge of the applicant's work in the practice of interior design, 2 of whom are registered interior designers, and 1 of whom is either a registered interior designer or a registered architect.
- (e) Any additional data, exhibits, or references showing the extent and quality of the applicant's experience that may be required by the registered interior designer section.

# Chapter A-E 15

### CONTINUING EDUCATION FOR INTERIOR DESIGNERS

**A-E 15.01 Authority and Purpose.** The rules in this chapter are adopted under the authority in ss. 15.08 (5) (b), 227.11 (2) and 443.015, Stats., and govern biennial continuing education of registered interior designers.

# A-E 15.02 Definitions. In this chapter:

- (1) "Biennium" means a 2-year period beginning February 1 of each even-numbered year.
- (2) "Continuing education" means the planned, professional development activities designed to contribute to the advancement, extension and enhancement of the professional skills and scientific knowledge of the credential holder in the practice of registered interior design and for improvement of the safety and welfare of the public.
- (3) "Health, safety and welfare" or "HSW" means any topics or subjects related to the practice of registered interior design which are deemed appropriate to safeguard the public health, safety, and welfare, including the proper planning, design, and construction of buildings, structures, infrastructures, and the spaces within and surrounding buildings and structures that meet the following criteria:
  - (a) Minimize the risk of injury to persons or property and comply with applicable building and safety codes.
  - **(b)** Are durable, environmentally friendly, cost effective, and conserve resources.
  - (c) Are aesthetically appealing.
  - (d) Function properly in all relevant respects.
  - (e) Enhance the public's sense of well-being, harmony, and integration with the surrounding environment.
- (4) "Continuing education hour" means 50 minutes of instruction or participation spent by the credential holder in actual attendance or completion of an approved educational activity.

#### A-E 15.03 Continuing Education Requirements.

(1) During each biennial registration period, every credential holder shall complete at least 15 continuing education hours pertinent to the practice of registered interior design. At

least 10 of the required 15 hours shall be in subjects related to the practice of registered interior design that safeguard the public's health, safety, and welfare.

- (2) Continuing education activities that meet the requirements of sub. (1) include any continuing education course or program approved by any of the following:
  - (a) International Design Continuing Education Council.
  - (b) American Institute of Architects.
  - (c) Green Business Certification Inc.
- **A-E 15.04 Exemption for Retired Credential Status.** Notwithstanding s. A-E 15.03, the continuing education requirements under this chapter do not apply to the renewal of a credential classified as retired status under s. A-E 2.045.

# A-E 15.05 Certificate of completion, proof of attendance.

(1) Each credential holder shall certify on the renewal application full compliance with the continuing education requirements set forth in this chapter.

**Note:** Instructions for renewal applications can be found on the website of the department of safety and professional services at <a href="http://dsps.wi.gov">http://dsps.wi.gov</a>.

- (2) The registered interior designer section may require additional evidence demonstrating compliance with the continuing education requirements, including a certificate of attendance or documentation of completion or credit for the courses completed.
- (3) If a credential holder appears to lack compliance with the continuing education requirements, the registered interior designer section shall notify a credential holder in writing and request submission of evidence of compliance within 30 days of the notice.
- (4) The registered interior designer section may require a credential holder to appear for an interview to address any deficiency or lack of compliance with the continuing education requirements.
- **A-E 15.06 Recordkeeping.** A credential holder shall maintain records of continuing education activities for at least 2 bienniums from the date the certificate or statement of attendance is signed. The recordkeeping shall include all of the following:
- (1) The name and address of the sponsor or provider.
- (2) A brief statement of the subject matter.
- (3) Printed program schedules, registration receipts, certificates of attendance, or other proof of participation.
- (4) The number of hours attended in each program and the date and place of the program.
- **A-E 15.07 Reciprocity.** An applicant for registration from another state who applies for registration to practice interior design under s. 443.10 (1) (e), Stats., shall submit proof of completion of continuing education obtained in another jurisdiction within the 2 years prior to application.

SECTION 19. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

(END OF TEXT OF RULE)	

This Proposed Order of the Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers is approved for submission to the Governor and Legislature.

Dated 02/14/2024

Danisl J Fedderly P.C.; P.L. S.

Chairperson

A-E Rules Committee

DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

# ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

Type of Estimate and Analysis	2. Date
☐ Original ☐ Updated ☐ Corrected	December 29, 2023
3. Administrative Rule Chapter, Title and Number (and Clearinghous $A\text{-}E\ 1$ to $15$	se Number if applicable)
4. Subject Registered Interior Designers	
5. Fund Sources Affected ☐ GPR ☐ FED ☐ PRO ☐ PRS ☐ SEG ☐ SEG-S	6. Chapter 20, Stats. Appropriations Affected $s.20.165(1)(g)$
7. Fiscal Effect of Implementing the Rule  ☐ No Fiscal Effect ☐ Increase Existing Revenues  ☐ Indeterminate ☐ Decrease Existing Revenues	<ul><li>☑ Increase Costs</li><li>☐ Decrease Costs</li><li>☐ Could Absorb Within Agency's Budget</li></ul>
☐ Local Government Units ☐ Public	ific Businesses/Sectors c Utility Rate Payers l Businesses (if checked, complete Attachment A)
9. Estimate of Implementation and Compliance to Businesses, Local \$0	
10. Would Implementation and Compliance Costs Businesses, Loca Any 2-year Period, per s. 227.137(3)(b)(2)?  ☐ Yes ☐ No	I Governmental Units and Individuals Be \$10 Million or more Over
<ul> <li>11. Policy Problem Addressed by the Rule</li> <li>Wisconsin Administrative Code Chapter SPS 130 currently of authority for the rules in SPS 130 was removed and transferred Section of the Examining board of architects, landscape architects, and registered interior designers with the enactment project is to implement the statutory changes from the act by Wisconsin Administrative Code and by amending chapters A general provisions of the board. The new chapters cover the registered interior designers.</li> <li>12. Summary of the Businesses, Business Sectors, Associations Reference that may be Affected by the Proposed Rule that were Contacted N/A</li> </ul>	ed to the newly created Registered Interior Designers tects, professional engineers, designers, professional land at of 2021 Wisconsin Act 195. The objective of this rule creating chapters A-E 14 and 15 as a new part of the -E 1, 2, and 8 to add registered interior designers to the egistration and continuing education requirements for expresenting Business, Local Governmental Units, and Individuals for Comments.
13. Identify the Local Governmental Units that Participated in the De $\ensuremath{N/A}$	evelopment of this EIA.
14. Summary of Rule's Economic and Fiscal Impact on Specific Bus Governmental Units and the State's Economy as a Whole (Included)	
DSPS estimates a total of \$14,400 in one-time costs and \$4,60 time IT impact to implement the rule. The estimated need for promulgation, staff training, and website and forms updates. The employee (FTE) accounts for staff tasks such as responding to	0.3 limited term employees (LTE) is attributed rule Γhe estimated annual staffing need for 0.1 full time

The one-time estimated costs cannot be absorbed in the currently appropriated agency budget.

15. Benefits of Implementing the Rule and Alternative(s) to Implementing the Rule

DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

# ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

If new rules are not implemented as a result of this statute change, the practice of Registered Interior Designers will remain out of compliance with current state statute and may adversely affect the ability of Registered Interior Designers to practice in Wisconsin.

16. Long Range Implications of Implementing the Rule

The long range implication of implementing the rule is ensuring that registered interior designers are compliant with standards of practice and applicable Wisconsin statutes.

17. Compare With Approaches Being Used by Federal Government None.

18. Compare With Approaches Being Used by Neighboring States (Illinois, Iowa, Michigan and Minnesota) Illinois:

Interior Designers in Illinois are registered through the Illinois Department of Financial and Professional Regulation. The Registered Interior Designer Act empowers the Board of Registered Interior Design Professionals, governs the practice of interior designers in Illinois, and includes statutory direction regarding interior designer practice, examination, registration and renewal, continuing education, and penalty for unregistered practice [225 Illinois Compiled Statutes ch. 310].

Interior Designers in Illinois are required to complete 10 hours of continuing education per biennium, including one hour in sexual harassment prevention training and one hour pertaining to the subjects of professional conduct or interior design professional ethics. Finally, "All CE programs, activities or courses shall emphasize health, safety and welfare subjects" and meet certain other requirements. [Illinois Administrative Code Title 68 Part 1255 s. 1255.61]. Part 1255 of the Illinois Administrative Code further details rules for interior designers in the areas of registration, examination, seal and signature requirements, endorsement, continuing education, and granting variances. [Illinois Administrative Code Title 68 Part 1255 s. 1255.10 to 1255.90].

#### Iowa:

Interior Designers in Iowa are registered through the Iowa Department of Inspections, Appeals, and Licensing. The Iowa Interior Design Examining Board regulates the practice of registered interior designers. Chapter 544C of the Iowa Code includes statutes on registration, reciprocal registration, continuing education, penalty for violations of unlawful use of title, and grants administrative rulemaking authority to the board [Iowa Code ch. 544C.1 to 544C.13]. Section 193G of the Iowa Administrative Code for the Interior Design Examining Board further details rules for interior designers in the areas of registration, continuing education, professional conduct, and discipline. Interior designers are required to complete at least 10 hours of continuing education "in acceptable health, safety, and welfare subjects" per biennium. Carryover hours are not permitted. [193G Iowa Administrative Code ch. 3].

#### Michigan:

Michigan does not register, certify, nor license interior designers.

#### Minnesota:

Interior designers in Minnesota are certified through the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience, and Interior Design. Chapter 326 of the Minnesota Statutes includes requirements for all professions within the board in regard to their scope of practice, certification requirements, grounds for disciplinary action, and continuing education.

Interior designers in Minnesota must complete at least 24 hours of approved continuing education, of which two must be in professional ethics, within the two years preceding renewal. Interior designers are allowed to carry over up to 50 percent of the biennial requirement of hours towards the next renewal period [Minnesota Statutes 326.107]. The Minnesota Administrative Rules have two chapters that include interior designers. Chapter 1800 is dedicated to licensing and operation for all professions on the board. Subsections 1800.2100 and 1800.2200 detail the provisions for

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# ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

education and experience, and procedures related to the practice of interior design. Chapter 1805 further explains the provisions of unprofessional conduct for all professions within the board.

19. Contact Name	20. Contact Phone Number
Jake Pelegrin, Administrative Rules Coordinator	(608) 267-0989

This document can be made available in alternate formats to individuals with disabilities upon request.

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# ATTACHMENT A

<ol> <li>Summary of Rule's Economic and Fiscal Impact on Small Businesses (Separately for each Small Business Sector, Include Implementation and Compliance Costs Expected to be Incurred)</li> </ol>
2. Summary of the data sources used to measure the Rule's impact on Small Businesses
3. Did the agency consider the following methods to reduce the impact of the Rule on Small Businesses?  Less Stringent Compliance or Reporting Requirements  Less Stringent Schedules or Deadlines for Compliance or Reporting  Consolidation or Simplification of Reporting Requirements  Establishment of performance standards in lieu of Design or Operational Standards  Exemption of Small Businesses from some or all requirements  Other, describe:
4. Describe the methods incorporated into the Rule that will reduce its impact on Small Businesses
5. Describe the Rule's Enforcement Provisions
6. Did the Agency prepare a Cost Benefit Analysis (if Yes, attach to form)  ☐ Yes ☐ No

Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers

Clearinghouse Rule Number	Scope #	Scope Expiration	Code Chapter	Relating clause/ Summary	Current Stage	Next Step
CR 24-028	112-21	6/20/2024	A-E 2, 7, and 8	Documents. Clarification on definitions of seal and stamps, requirements for electronic signatures, and clean up redundant words or	Editing draft to incorporate Clearinghouse comments and holding public hearing on rule at A-E Rules Committee.	Discussion of public comments and Clearinghouse comments received; preparation of Final Rule Draft to submit to Gov's Office and Legislature.
	071-22	2/22/2025	A-E 8	Supervision. Clarification on definitions of supervision to ensure requirements are current with standards of practice.	Rule Drafting.	Board approval of Preliminary Rule Draft.
	038-23	12/5/2025	A-E 3	Architect Registration. Clarification of Architect Registration language and practices	Rule Drafting.	Board approval of Preliminary Rule Draft.
CR 24-001	028-23	11/1/2025	A-E 1 to 15	implement the statutory	The Final Rule Draft is with the Legislature for review.	Approval by the Legislature and rule adoption.
	101-23	05/20/2026	A-E 6	<b>Education.</b> Clarification of Land Surveyor education requirements.	Rule drafting.	Board approval of Preliminary Rule Draft.