Wisconsin Department of Safety and Professional Services Division of Policy Development 4822 Madison Yards Way PO Box 8366 Madison WI 53705-8366



Phone: 608-266-2112 Web: http://dsps.wi.gov Email: dsps@wisconsin.gov

Scott Walker, Governor Laura Gutiérrez, Secretary

#### NURSING HOME ADMINISTRATOR EXAMINING BOARD

#### Room N208, 4822 Madison Yards Way, Madison Contact: Tom Ryan (608) 266-2112 August 23, 2018

The following agenda describes the issues that the Board plans to consider at the meeting. At the time of the meeting, items may be removed from the agenda. Please consult the meeting minutes for a record of the actions of the Board.

#### **AGENDA**

9:30 A.M.

#### OPEN SESSION - CALL TO ORDER - ROLL CALL

- A) Adoption of Agenda (1-3)
- B) Approval of Minutes of June 27, 2018 (4-5)
- **C)** Administrative Matters
  - 1) Department and Staff Updates
  - 2) Board Members Term Expiration Dates
    - a) Kathleen Bertram -7/1/2018
    - b) Brittany Cobb  $\frac{7}{1}/2018$
    - c) April Folgert 7/1/2019 (Appointed, not yet confirmed.)
    - d) Charles Hawkins 7/1/2021 (Reappointed, not yet confirmed.)
    - e) Susan Kinast-Porter -7/1/2009
    - f) Jessica Radtke
    - g) Patrick Shaughnessy 7/1/2019 (*Reappointed*, not yet confirmed.)
    - h) Vacant Public Member
    - i) Vacant Public Member
    - j) Vacant Nursing Home Administrator Member
- D) 9:30 A.M. PUBLIC HEARING: CR 18-054-NHA 1 to 4, Relating to Requirements for Licensure, Examinations, and Approval of Educational Programs (6-23)
  - 1) Review and Respond to Public Comments and Clearinghouse Report
- E) Legislative and Administrative Rule Matters Discussion and Consideration (24)
  - 1) Administrative Rules Reporting Requirement Under 2017 Wisconsin Act 108
  - 2) Update on Legislation or Pending or Possible Rulemaking Projects
- F) National Association of Long Term Care Administrator Boards (NAB) Matters (25-28)
  - 1) Highlights from NAB Annual Meeting on June 6-8, 2018 in Long Beach, CA
- G) Items Added After Preparation of Agenda:
  - 1) Introductions, Announcements and Recognition
  - 2) Nominations, Elections, and Appointments

- 3) Administrative Matters
- 4) Election of Officers
- 5) Appointment of Liaisons and Alternates
- 6) Delegation of Authorities
- 7) Education and Examination Matters
- 8) Credentialing Matters
- 9) Practice Matters
- 10) Legislative and Administrative Rule Matters
- 11) Liaison Reports
- 12) Board Liaison Training and Appointment of Mentors
- 13) Informational Items
- 14) Division of Legal Services and Compliance (DLSC) Matters
- 15) Presentations of Petitions for Summary Suspension
- 16) Petitions for Designation of Hearing Examiner
- 17) Presentation of Proposed Stipulations, Final Decisions and Orders
- 18) Presentation of Proposed Final Decisions and Orders
- 19) Presentation of Interim Orders
- 20) Petitions for Re-Hearing
- 21) Petitions for Assessments
- 22) Petitions to Vacate Orders
- 23) Requests for Disciplinary Proceeding Presentations
- 24) Motions
- 25) Petitions
- 26) Appearances from Requests Received or Renewed
- 27) Speaking Engagement(s), Travel, or Public Relation Request(s), and Reports

#### H) Public Comments

CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.); to consider licensure or certification of individuals (s. 19.85(1)(b), Stats.); to consider closing disciplinary investigations with administrative warnings (ss. 19.85(1)(b), and 440.205, Stats.); to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.); and to confer with legal counsel (s. 19.85(1)(g), Stats.).

- I) Deliberation on Legal Services and Compliance (DLSC) Matters
  - 1) Deliberation on Review of Administrative Warning
    - a) 10:00 A.M. APPEARANCE –Colleen Meloy, DLSC Attorney and N.J.G., Respondent: 17 NHA 020 N.J.G. (29-51)
- J) Deliberation of Items Added After Preparation of the Agenda
  - 1) Education and Examination Matters
  - 2) Credentialing Matters
  - 3) DLSC Matters
  - 4) Monitoring Matters
  - 5) Professional Assistance Procedure (PAP) Matters
  - 6) Petitions for Summary Suspensions
  - 7) Petitions for Designation of Hearing Examiner
  - 8) Proposed Stipulations, Final Decisions and Orders
  - 9) Proposed Interim Orders
  - 10) Administrative Warnings
  - 11) Review of Administrative Warnings
  - 12) Proposed Final Decisions and Orders

- 13) Matters Relating to Costs/Orders Fixing Costs
- 14) Case Closings
- 15) Board Liaison Training
- 16) Proposed Interim Orders
- 17) Petitions for Assessments and Evaluations
- 18) Petitions to Vacate Orders
- 19) Remedial Education Cases
- 20) Motions
- 21) Petitions for Re-Hearing
- 22) Appearances from Requests Received or Renewed
- K) Consulting with Legal Counsel

#### RECONVENE TO OPEN SESSION IMMEDIATELY FOLLOWING CLOSED SESSION

- L) Vote on Items Considered or Deliberated Upon in Closed Session, if Voting is Appropriate
- M) Open Session Items Noticed Above Not Completed in the Initial Open Session
- N) Delegation and Ratification of Examination Results and Ratification of Licenses and Certificates

#### **ADJOURNMENT**

#### **NEXT MEETING DATE: NOVEMBER 1, 2018**

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MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC, AND MAY BE CANCELLED WITHOUT NOTICE.

Times listed for meeting items are approximate and depend on the length of discussion and voting. All meetings are held at 4822 Madison Yards Way, Madison, Wisconsin, unless otherwise noted. In order to confirm a meeting or to request a complete copy of the council's agenda, please call the listed contact person. The council may consider materials or items filed after the transmission of this notice. Interpreters for the hearing impaired provided upon request by contacting the Affirmative Action Officer, 608-266-2112

#### NURSING HOME ADMINISTRATORS EXAMINING BOARD TELECONFERENCE/VIRTUAL **MEETING MINUTES** June 27, 2018

PRESENT: Kathleen Bertram, Brittany Cobb, April Folgert, Charles Hawkins, Patrick

Shaughnessy, Jessica Radtke (Arrived at 9:34 a.m.)

**EXCUSED:** Susan Kinast-Porter

**STAFF:** Tom Ryan, Executive Director; Dale Kleven, Rules Coordinator; Pete Anderson,

Bureau Assistant; and other Department Staff

#### CALL TO ORDER

Patrick Shaughnessy, Chair, called the meeting to order at 9:33 a.m. A quorum of five (5) members was confirmed.

#### ADOPTION OF AGENDA

Charles Hawkins moved, seconded by Brittany Cobb, to adopt the agenda as **MOTION:** 

published. Motion carried unanimously.

(Jessica Radtke joined the meeting at 9:34 a.m.)

#### APPROVAL OF MINUTES

Brittany Cobb moved, seconded by Charles Hawkins, to approve the minutes of **MOTION:** 

March 19, 2018 as published. Motion carried unanimously.

#### LEGISLATIVE AND ADMINISTRATIVE RULE MATTERS – DISCUSSION AND CONSIDERATION

#### Preliminary Draft Rules for NHA 1 to 4, Relating to Requirements for Licensure, Examinations, and Approval of Educational Programs

**MOTION:** April Folgert moved, seconded by Jessica Radtke, to authorize the Chair or

highest-ranking officer, or longest serving member of the board, in order of succession, to approve the preliminary rule draft of NHA 1 to 4, relating to requirements for licensure, examinations, and approval of educational programs,

for posting of economic impact comments and submission to the Clearinghouse.

Motion carried unanimously.

## NATIONAL ASSOCIATION OF LONG TERM CARE ADMINISTRATOR BOARDS (NAB) MATTERS

#### **Consider Requests for NAB Best Practice Review of Wisconsin Program**

**MOTION:** Charles Hawkins moved, seconded by April Folgert, to request the National

Association of Long Term Care Boards consider reviewing Wisconsin's program and offer suggestions for best practices. Motion carried unanimously.

<u>National association of Long Term Care Administrator Boards (NAB) Midyear Meeting – Date</u> and Location to be Announced – 2019 Dates: Mid Year Meeting – November 13-15, Annual Meeting – June 5-7

**MOTION:** Brittany Cobb moved, seconded by April Folgert, to authorize the Executive

Director to serve as the Board's delegate at the 2018 Mid-Year NAB Meeting, the 2019 Annual NAB Meeting, and the 2019 Mid-Year NAB Meeting. Motion

carried unanimously.

## DELEGATION OF RATIFICATION OF EXAMINATION RESULTS AND RATIFICATION OF LICENSES AND CERTIFICATES

**MOTION:** Charles Hawkins moved, seconded by Brittany Cobb, to delegate ratification of

examination results to DSPS staff and to ratify all licenses and certificates as

issued. Motion carried unanimously.

#### **ADJOURNMENT**

**MOTION:** April Folgert moved, seconded by Kathleen Bertram, to adjourn the meeting.

Motion carried unanimously.

The meeting adjourned at 10:22 a.m.

# State of Wisconsin Department of Safety & Professional Services

### **AGENDA REQUEST FORM**

1) Name and Title of Person Submitting the Request:				2) Date When Request Submitted:			
Dale Kleven				8/13/18			
Administrative Ru	les Coordin	ator		Items will be considered late if submitted after 12:00 p.m. on the deadline date:			
				8 business days before the meeting			
3) Name of Board, Committee, Council, Sections:							
Nursing Home Adı	ministrators	Exam	ining Board				
4) Meeting Date:	agenda page?						
			9:30 A.M. Public Hearing: CR 18-054 – NHA 1 to 4 Relating to Requirements for				
8/23/18	☐ No		Licensure, Examinations, and Approval of Educational Programs  1. Review and Respond to Public Comments and Clearinghouse Report				
			Legislative and Administrative Rule Matters – Discussion and Consideration  1. Administrative Rules Reporting Requirement Under 2017 Wisconsin Act 108				
					and Pending and Possible Rulemaking Projects		
7) Place Item in:		9\ lc c	an appearance before	the Board being	9) Name of Case Advisor(s), if required:		
Open Session		-	in appearance before Juled?	the Board being	9) Name of Case Advisor(s), if required.		
Closed Session	1						
Both	•		Yes (Fill out Board Appearance Request)				
		⊠N	0				
10) Describe the issu	e and action	that sh	nould be addressed:				
11)			Authoriza	tion			
11)			Authoriza	uon			
Dale Kleve	n			21	uguet 13 2018		
				Л	ugust 13, 2018		
Signature of person making this request Date							
Supervisor (if required)					Date		
Executive Director signature (indicates approval to add post agenda deadline item to agenda)  Directions for including supporting documents:							
1. This form should be attached to any documents submitted to the agenda.							
2. Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.							
3. If necessary, Provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a							

#### STATE OF WISCONSIN NURSING HOME ADMINISTRATORS EXAMINING BOARD

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IN THE MATTER OF RULEMAKING : PROPOSED ORDER OF THE

PROCEEDINGS BEFORE THE : NURSING HOME NURSING HOME ADMINISTRATORS : ADMINISTRATORS EXAMINING BOARD : EXAMINING BOARD

ADOPTING RULES
(CLEARINGHOUSE RULE )

#### PROPOSED ORDER

An order of the Nursing Home Administrators Examining Board to **repeal** NHA 4.01 (1) (c) and (2) and 4.02 (2) (b) 1. and 2.; to **renumber and amend** NHA 2.02 (4), 3.03 (4), 4.02 (2) (b) (intro.), and 4.03; to **amend** NHA 1.01, 1.02 (intro.), (2) (intro.), (a) (intro.) and 1. to 4., (b) (intro.) and 1. to 6., (c) (intro.) and 1. to 8., (d) (intro.) and 1. to 8., and (e), (3), (3m), (5m) (intro.) and (a) to (f), (6) (intro.) and (a) to (d), (7), and (8) (intro.), 2.02 (intro.), (3), and (Note), 2.04 (1) and (2), 2.05, 3.01 (1), (Note), (2), (3), and (4), 3.02 (Note), (4), and (6) (intro.), 3.03 (1) (intro.) and (Note) and (4) (Note), 4.01 (title) and (1) (intro.), (a), (b), (Note), (d) (intro.), 2., and 3., and (e), 4.02 (1) (intro.), (a), and (b), (2) (intro.) and (a) 1. to 4., and (3) (a) (intro.), 1., and 3., (b), and (c); to **repeal and recreate** NHA 2.01; and to **create** NHA 3.03 (4) (a) to (e), 4.01 (1) (d) 4., and 4.015 (5); relating to requirements for licensure, examinations, and approval of educational programs.

Analysis prepared by the Department of Safety and Professional Services.

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#### **ANALYSIS**

#### **Statutes interpreted:**

None.

#### **Statutory authority:**

Sections 15.08 (5) (b), 456.02 (7), 456.03 (4), 456.05 (intro.), and 456.08, Stats.

#### **Explanation of agency authority:**

Section 15.08 (5) (b), Stats., provides an examining board "shall promulgate rules for its own guidance and for the guidance of the trade or profession to which it pertains. . ."

Section 456.02 (7), Stats., provides the Nursing Home Administrators Examining Board shall "[d]evelop and enforce standards for the supervised practical experience to be required for licensure."

Section 456.03 (4), Stats., provides an applicant for a license as a nursing home administrator shall complete "a regular course of study or equivalent specialized courses or a program of study which the examining board considers adequate academic preparation for nursing home administration."

Section 456.05 (intro.), Stats., provides "[t]he examining board shall determine the subjects of examination for applicants for licensure as nursing home administrators, and the scope, content and format of such examinations."

Section 456.08, Stats., provides "[t]he examining board may grant a nursing home administrator license under this chapter to a person who holds a nursing home administrator license issued by the proper authorities of any other state, upon payment of the fee specified in s. 440.05 (2) and upon submission of satisfactory evidence of the person's qualifications."

#### Related statute or rule:

None.

#### Plain language analysis:

The Nursing Home Administrators Examining Board conducted a comprehensive evaluation and update of chs. NHA 1 to 4 to ensure its rules relating to requirements for licensure, examinations, and approval of educational programs are consistent with current professional, academic, licensing, and examination practices and standards and applicable Wisconsin statutes. As a result, the following updates have been made:

- Section NHA 2.01 is revised to reflect a change in July 2017 to the structure of the national examination administered by NAB. The examination for nursing home administrators now consists of 2 parts, the Core of Knowledge Examination for Long Term Care Administrators and the National Nursing Home Administrators Line of Service Examination.
- Sections NHA 4.01 (1) (b) and (d) 4.03 (1) are revised to allow active certification as a health services executive granted by NAB to satisfy an applicant's education, experience, and national examination requirements for licensure. An applicant with NAB certification is still required to pass an examination on laws and administrative rules of this state governing nursing home administration.
- Section NHA 3.02 (4) is revised to comply with s. 440.03 (4m), Stats., as created by 2017 Wisconsin Act 59. Under this provision, the Board may require a credential holder to submit proof of completing continuing education programs or courses only if a complaint is made against the credential holder.
- Other provisions throughout chs. NHA 1 to 4 have been revised to update notes, provide clarity, and conform to current drafting standards.

#### Summary of, and comparison with, existing or proposed federal regulation:

42 USC § 1396a provides requirements state medical assistance plans must meet to be eligible for federal grants, including a requirement that states have a program for licensing nursing home administrators. Under 42 USC § 1396g (c) (1) to (6), a state agency or board that licenses nursing home administrators is required to:

 "Develop, impose, and enforce standards which must be met by individuals in order to receive a license as a nursing home administrator, which standards shall be designed to insure that nursing home administrators will be individuals who are of good character and are otherwise suitable, and who, by training or experience in the field of institutional administration, are qualified to serve as nursing home administrators."

- "Develop and apply appropriate techniques, including examinations and investigations, for determining whether an individual meets such standards."
- "Issue licenses to individuals determined, after the application of such techniques, to meet such standards, and revoke or suspend licenses previously issued by the board in any case where the individual holding any such license is determined substantially to have failed to conform to the requirements of such standards."
- "Establish and carry out procedures designed to insure that individuals licensed as nursing home administrators will, during any period that they serve as such, comply with the requirements of such standards."
- "Receive, investigate, and take appropriate action with respect to, any charge or complaint filed with the board to the effect that any individual licensed as a nursing home administrator has failed to comply with the requirements of such standards."
- "Conduct a continuing study and investigation of nursing homes and administrators
  of nursing homes within the State with a view to the improvement of the standards
  imposed for the licensing of such administrators and of procedures and methods for
  the enforcement of such standards with respect to administrators of nursing
  homes who have been licensed as such."

The proposed rules will ensure the provisions for licensure, examinations, and approval of educational programs under chs. NHA 1 to 4 comply with the above requirements.

#### **Comparison with rules in adjacent states:**

Illinois: Rules of the Illinois Department of Financial and Professional Regulation address the practice of nursing home administration in Illinois (68 Ill. Adm. Code 1310). The rules include provisions concerning examinations (68 Ill. Adm. Code 1310.30 and 1310.60), approved nursing home administration courses (68 Ill. Adm. Code 1310.40), and qualifying experience (68 Ill. Adm. Code Section 1310.50). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

**Iowa**: Rules of the Iowa Board of Nursing Home Administrators address the practice of nursing home administration in Iowa (645 IAC 141 to 144). The rules include provisions for licensure requirements (645 IAC 141.2), examination requirements (645 IAC 141.3), and educational qualifications (645 IAC 141.4). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

**Michigan**: Rules of the Michigan Department of Licensing and Regulatory Affairs address the practice of nursing home administration in Michigan (Mich Admin Code, R 339.14001 to R 339.14035). The rules include approval of courses of instruction and training (Mich Admin Code, R 339.14007), application requirements (Mich Admin Code, R 339.14008), and examinations (Mich Admin Code, R 339.14009 and R 339.14011).

The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

Minnesota: Rules of the Minnesota Board of Examiners for Nursing Home Administrators address the practice of nursing home administration in Minnesota (Minnesota Rules, chapter 6400). The rules include licensure requirements (Minnesota Rules, Part 6400.6000), applying for licensure (Minnesota Rules, Part 6400.6100), and course requirements (Minnesota Rules, Parts 6400.6400 to 6400.6660). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

#### Summary of factual data and analytical methodologies:

The proposed rules were developed by reviewing the provisions of chs. NHA 1 to 4 to ensure the rules are consistent with current professional, academic, licensing, and examination practices and standards and applicable Wisconsin statutes.

## Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules were posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals. No comments were received.

#### Fiscal Estimate and Economic Impact Analysis:

The Fiscal Estimate and Economic Impact Analysis document is attached.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted by email at Kirsten.Reader@wisconsin.gov, or by calling (608) 267-2435.

#### **Agency contact person:**

Dale Kleven, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, P.O. Box 8366, Madison, Wisconsin 53708-8366; telephone 608-261-4472; email at DSPSAdminRules@wisconsin.gov.

#### Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Dale Kleven, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, P.O. Box 8366, Madison, WI 53708-8366, or by email to DSPSAdminRules@wisconsin.gov. Comments must be received at or before the public hearing to be held at 9:30 a.m. on August 23, 2018, to be included in the record of rule-making proceedings.

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#### **TEXT OF RULE**

SECTION 1. NHA 1.01 is amended to read:

- **NHA 1.01 Authority.** The rules in chs. NHA 1 to 5 are adopted pursuant to ss. 15.08 (5) (b), 227.11 (2), and 456.02, Stats.
- SECTION 2. NHA 1.02 (intro.), (2) (intro.), (a) (intro.) and 1. to 4., (b) (intro.) and 1. to 6., (c) (intro.) and 1. to 8., (d) (intro.) and 1. to 8., and (e), (3), (3m), (5m) (intro.) and (a) to (f), (6) (intro.) and (a) to (d), (7), and (8) (intro.) are amended to read:
- **NHA 1.02 (intro.) Definitions.** As used in <del>ch. 456, Stats., and in</del> chs. NHA 1 to 5:
- (2) (intro.) "Experience in the field of institutional administration" means work experience acquired in any consecutive 36-month period within the 5-year period immediately preceding the date of application for licensure, as an employee, student, trainee, or intern in the total operation and activities of a nursing home under the supervision of persons licensed under ch. 456, Stats., or holding the equivalent license in another state recognized by the board, and exposure to and knowledge of each of the following:
  - (a) (intro.) Fiscal management, including, but not limited to all the following:
  - 1. Financial planning, forecasting, and budgeting,
  - 2. Accounting practices and principles.
  - **3.** Fiscal intermediaries.
  - **4.** Public finance programs, and.
  - (b) (intro.) Environmental services, including, but not limited to all the following:
  - 1. Preventive maintenance programs for buildings and equipment.
  - 2. Sanitation procedures, practices, and policies;
  - **3.** Design needs of the disabled:
  - **4.** Environmental safety practices, policies, and procedures and accident prevention;.
  - **5.** Maintenance, housekeeping, laundry, and security functions:
  - **6.** Relationship between health facility management; and.
  - (c) (intro.) Resident services, including, but not limited to all the following:
  - **1.** Therapy services,
  - 2. Physician services.
  - **3.** Social services,
  - **4.** Resident food services,
  - **5.** Resident activities,
  - **6.** Patient care,
  - 7. Drug handling and control.
  - **8.** Nursing services<del>, and</del>.
  - (d) (intro.) Personnel management, including, but not limited to all the following:

- 1. Recruiting, interviewing, hiring, and training.
- 2. Reviewing, disciplining, and supervising of employees.
- **3.** Record keeping, Recordkeeping.
- **4.** Preparation of statistical reports.
- 5. Wage and salary administration,
- **6.** Health care staffing patterns.
- 7. Human relations.
- **8.** Administering fringe benefit programs, and.
- (e) State and federal inspections for compliance with applicable nursing home laws, rules, and regulations.
- (3) "NAB" means the national association National Association of boards of examiners of long term care administrators Long Term Care Administrator Boards.
  - (3m) "Nursing home" has the meaning given under in s. 456.01 (2), Stats.
- (5m) (intro.) "Pattern of serious violations of federal or state statutes, rules, or regulations" means the occurrence of any of the following:
- (a) One or more class "A" violations, as defined in s. 50.04 (4) (b) 1., Stats., in each of at least 2 inspections within any consecutive 36-month period.
- **(b)** One or more deficiencies related to participation requirements under 42 CFR sections 483.13, 483.15, or 483.25, which constitute immediate jeopardy to a resident's health or safety, in each of at least 2 inspections within any consecutive 36-month period.
- (c) One or more deficiencies related to participation requirements under 42 CFR sections 483.13, 483.15, or 483.25, which constitute a pattern of actual harm, but which does not constitute immediate jeopardy to a resident's health or safety, in each of at least 2 inspections within any consecutive 36-month period.
- (d) One or more deficiencies related to participation requirements under 42 CFR sections 483.13, 483.15, or 483.25, which constitute widespread actual harm, but which does not constitute immediate jeopardy to a resident's health or safety, in each of at least 2 inspections within any consecutive 36-month period.
- (e) One class "A" violation, as defined in s. 50.04 (4) (b) 1., Stats., and one deficiency of any type specified in par. (b), (c), or (d), within 36 months of each other, but resulting from different inspections.
- (f) At least 2 Two or more deficiencies in any combination of single deficiencies of the types specified in par. (b), (c), or (d), within 36 months of each other, but resulting from different inspections.
- **(6) (intro.)** "Program of study" means a prescribed sequence of courses <u>that is</u> offered by a university or college, accredited by a regional or national accrediting agency recognized by the U.S. department of education, <u>which and that</u> consists of at least one course of 3 credit hours in each of the following:
  - (a) Laws governing the operation of licensed nursing homes:
  - (b) Elements of proper and effective administration of licensed nursing homes.

- (c) Protection of the interests, safety, and well-being of residents; and.
- (d) Psychological, physical, medical, and social needs of residents.
- (7) "Regular course of study" means a prescribed program of courses offered by a university or college, accredited by a regional or national accrediting agency recognized by the U.S. department of education, which leads to an associate, baccalaureate, master, or doctoral degree and which that includes a program of study and a supervised clinical practicum.
- (8) (intro.) "Specialized courses" means individual courses that are offered by one or more educational institutions or course providers which and that lead to adequate preparation in each of the following general subject areas in nursing home administration:
- SECTION 3. NHA 2.01 is repealed and recreated to read:
- **NHA 2.01 Examinations.** An applicant for a license as a nursing home administrator shall pass all the following examinations:
- (1) The Core of Knowledge Examination for Long Term Care Administrators administered by NAB.
- (2) The National Nursing Home Administrators Line of Service Examination administered by NAB.
- (3) An examination administered by the board on laws and administrative rules of this state governing nursing home administration.
- SECTION 4. NHA 2.02 (intro.) and (3) are amended to read:
- **NHA 2.02 (intro.) Application for examination.** An applicant for examination for a license as a nursing home administrator shall apply on a form provided by the board. An applicant shall also submit all the following to the board:
- (3) A statement relating to any pending criminal charge or conviction record, subject Subject to ss. 111.321, 111.322, and 111.335, Stats., An if an applicant who has a pending criminal charge or has a an arrest or conviction record, shall provide the board with all related information documentation necessary for the board to determine whether the circumstances of the pending charge or conviction substantially relate to the practice of nursing home administration.
- SECTION 5. NHA 2.02 (4) is renumbered NHA 2.02 (1m) and amended to read:
- **NHA 2.02 (1m)** An application on a form provided by the board. A qualified applicant with a disability shall be provided with reasonable accommodations requested in connection with the completion of an application for examination submitted under this section.
- SECTION 6. NHA 2.02 (Note) is amended to read:
- NHA 2.02 (Note) Applications are available from the Department of Safety and Professional Services, Division of Professional Credentialing, 1400 East Washington Avenue, P.O. Box 8935, Madison, Wisconsin 53708 at (608) 266-2112 or dsps@wisconsin.gov, or from the department's Department's website at: http://dsps.wi.gov.

- SECTION 7. NHA 2.04 (1) and (2) are amended to read:
- **NHA 2.04 (1)** NATIONAL. To pass the national examination <u>under s. NHA 2.01</u> (1) or (2), each <u>an</u> applicant shall receive a grade determined by the board to represent minimum competence to practice. The board may adopt the passing grade recommended by the examination provider <u>NAB</u>.
- (2) STATE. To pass the state law examination <u>under s. NHA 2.01 (3)</u>, each <u>an</u> applicant shall receive a grade determined by the board to represent minimum competence to practice. The board shall determine the passing grade after consultation with subject matter experts who have reviewed a representative sample of the examination questions and available candidate performance statistics.

#### SECTION 8. NHA 2.05 is amended to read:

- **NHA 2.05** Rules of conduct. The board may deny the application for licensure of any applicant who violates the rules of conduct of the <u>an</u> examination <u>under s. NHA</u> 2.01.
- SECTION 9. NHA 3.01 (1), (Note), (2), (3), and (4) are amended to read:
- **NHA 3.01 (1)** All regular courses of study, programs of study, and specialized courses shall be approved by the board.
- (**Note**) A list of approved regular courses of study, programs of study, and specialized courses is available upon request to the board office at 1400 East Washington Avenue, P. O. Box 8935, Madison, Wisconsin 53708 or from the Department of Safety and Professional Services' website at: http://dsps.wi.gov.
- (2) An application for approval of a regular course of study shall include a current copy of the college or university catalog which that contains a summary of the requirements for completion of the degree program, including a list of the required courses and a description of the supervised clinical practicum.
- (3) An application for approval of a program of study shall include a current copy of the college or university catalog which that contains a summary of the requirements for completion of the program of study, including a list and description of the required courses and the number of credits approved for each course.
- (4) An application for approval of a specialized course shall include a current copy of the course syllabus, a description of the course, and the number of proposed credits.
- SECTION 10. NHA 3.02 (Note), (4), and (6) (intro.) are amended to read:
- **NHA 3.02 (Note)** A list of approved programs is available <del>upon request to the board office at 1400 East Washington Avenue, P. O. Box 8935, Madison, Wisconsin 53708 or</del> from the Department of Safety and Professional Services' website at: http://dsps.wi.gov.
- (4) To audit for compliance, the board may shall require any nursing home administrator who is under investigation by the board for alleged misconduct to submit evidence of completion of 24 hours of continuing education for the biennium preceding the renewal.

- (6) (intro.) A licensee may claim continuing education hours for courses successfully completed at an accredited college or university if all of the following requirements are satisfied:
- SECTION 11. NHA 3.03 (1) (intro.) and (Note) are amended to read:
- **NHA 3.03** (1) (intro.) An application for a continuing education program shall be submitted to NAB for approval. Any continuing education program approved by NAB shall be accepted by the board if all of the following are satisfied:
- (**Note**) To obtain an application for approval of a continuing education program, contact NAB at 1444 I Street, N.W., #700, Washington, D.C., 20005–6542 http://www.nabweb.org.
- SECTION 12. NHA 3.03 (4) is renumbered NHA 3.03 (4) (intro.) and amended to read:
- **NHA 3.03 (4) (intro.)** Any continuing education program submitted to NAB in a timely manner according to NAB procedures which is not approved may be submitted to the board for consideration. The request must be submitted on forms provided by the board at least 20 days prior to the date the program will be offered, and shall include <u>all</u> the written notification from NAB stating the reasons the program was not approved, an outline of the program, a general description of the subject matter, the time and location, and the name and title of the instructor of the program. following:
- SECTION 13. NHA 3.03 (4) (a) to (e) are created to read:
- **NHA 3.03 (4) (a)** A copy of the notification from NAB indicating the reason or reasons the program was not approved.
  - **(b)** An outline of the program.
  - (c) A general description of the program's subject matter.
  - (d) The time and location the program is to be held.
  - (e) The name and title of the instructor of the program.
- SECTION 14. NHA 3.03 (4) (Note) is amended to read:
- **NHA 3.03 (4) (Note)** Correspondence Requests should be mailed to the Nursing Home Administrator Administrators Examining Board, should be mailed to P. O. Box 8366, Madison, Wisconsin 53708-8366.
- SECTION 15. NHA 4.01 (title) and (1) (intro.), (a), and (b) are amended to read:

#### NHA 4.01 (title) Licensure by examination, education, and experience.

- (1) (intro.) APPLICATION. An applicant for licensure shall submit <u>all the</u> following:
- (a) Submit an  $\underline{An}$  application for licensure on a form approved provided by the board.
- (b) Satisfy Evidence of satisfying the examination requirements specified in under s. NHA 2.01 (3). Active certification as a health services executive granted by NAB shall be accepted as evidence of satisfying the requirements under s. NHA 2.01 (1) and (2).

- SECTION 16. NHA 4.01 (1) (c) is repealed.
- SECTION 17. NHA 4.01 (1) (Note) and (d) (intro.), 2., and 3. are amended to read:
- NHA 4.01 (1) (Note) Applications are available from the Department of Safety and Professional Services, Division of Professional Credentialing, 1400 East Washington Avenue, P.O. Box 8935, Madison, Wisconsin 53708, at (608) 266-2112, <a href="mailto:department's Department's Department's website">department's Department's Department's website</a> at: http://dsps.wi.gov.
- **(d) (intro.)** Satisfy any Evidence of successful completion of one of the following educational and experience requirements:
- **2.** A program of study <u>and one year of experience in the field of institutional</u> administration.
- **3.** Specialized courses <u>and one year of experience in the field of institutional administration.</u>
- SECTION 18. NHA 4.01 (1) (d) 4. is created to read:
- NHA 4.01 (1) (d) 4. Active certification as a health services executive granted by NAB.
- SECTION 19. NHA 4.01 (1) (e) is amended to read:
- NHA 4.01 (1) (e) Provide a statement relating to any pending criminal charge or conviction record, subject Subject to ss. 111.321, 111.322, and 111.335, Stats., An if an applicant who has a pending criminal charge or has a an arrest or conviction record, shall provide the board with all related information documentation necessary for the board to determine whether the circumstances of the pending charge or conviction substantially relate to the practice of nursing home administration.
- SECTION 20. NHA 4.01 (2) is repealed.
- SECTION 21. NHA 4.015 (5) is created to read:
  - **NHA 4.015** (5) The fee required under s. 440.05 (2), Stats.
- SECTION 22. NHA 4.02 (1) (intro.), (a), and (b) and (2) (intro.) and (a) 1. to 4. are amended to read:
- **NHA 4.02 (1) (intro.)** REQUIREMENTS FOR RENEWAL. To renew and obtain a new certificate of registration a licensee must shall, by July 1 of the even-numbered year following initial licensure and every 2 years thereafter, file all the following with the board:
  - (a) An application for renewal on a form prescribed by the board;
- (b) Evidence that Certification from the licensee has that, during the biennial period immediately preceding application, completed the licensee has completed the continuing education requirements specified in s. NHA 3.02;

- (2) (intro.) Failure to renew. A licensee who fails to meet the requirements of sub. (1) by the renewal date shall cease and desist from acting practicing as a nursing home administrator. A licensee who has failed to meet the requirements under sub. (1) may renew and obtain a new certificate of registration by satisfying the following requirements:
  - (a) 1. An application for renewal on a form prescribed by the board:
- **2.** An affidavit that the licensee has not acted practiced as a nursing home administrator after July 1 of the biennial period for which the licensee was last currently registered;.
- 3. Evidence that <u>Certification from</u> the licensee has, within the 24 months immediately preceding application, completed 24 contact hours in approved that the continuing education programs as required under s. NHA 3.02; and, has been completed.
  - **4.** The required renewal fees under ss. 440.03 (9) (a), and 440.08 (3), Stats.
- SECTION 23. NHA 4.02 (2) (b) (intro.) is renumbered NHA 4.02 (2) (b) and amended to read:
- NHA 4.02 (2) (b) If applying 5 years or more after the renewal date, satisfying the requirements in under par. (a) and submitting proof evidence of: successful completion of any educational coursework or examination required by the board to ensure protection of the public health, safety, and welfare. This paragraph does not apply to licensees who have unmet disciplinary requirements or whose licenses have been surrendered or revoked.
- SECTION 24. NHA 4.02 (2) (b) 1. and 2. are repealed.
- SECTION 25. NHA 4.02 (3) (a) (intro.), 1., and 3., (b), and (c) are amended to read:
- **NHA 4.02 (3) (a) (intro.)** A licensee whose license who has unmet disciplinary requirements, such as a suspension, which and has not been renewed failed to renew a license within 5 years after of the renewal date or whose license has been surrendered or revoked may apply for reinstatement of the license by submitting all of the following:
- **1.** Evidence of completion of <u>the</u> requirements <u>in under</u> s. NHA 4.02 (2) (b) <u>if the licensee has not held an active Wisconsin license within the last 5 years.</u>
- **3.** Evidence of rehabilitation or change in circumstances, warranting reinstatement of <u>the</u> license.
- **(b)** A licensee whose license has been revoked may not apply for reinstatement of the license until 4 <u>one</u> year after revocation of the license, in accordance with s. 456.11 (2), Stats.
- (c) A licensee may not practice as a nursing home administrator prior to being granted reinstatement of a license <u>under this subsection</u>.
- SECTION 26. NHA 4.03 is renumbered NHA 4.015 and amended to read:
- NHA 4.015 Reciprocity <u>Licensure by reciprocity</u>. The board at its discretion and otherwise subject to laws pertaining to licensure of nursing home administrators may grant a license as a nursing home administrator to an applicant who holds a current and

<u>unrestricted</u> license issued by the proper authorities in any other jurisdiction, which has not been revoked or suspended, upon payment of the fee required under s. 440.05 (2), Stats., and submission of evidence satisfactory to an application form provided by the board that the applicant has satisfied along with all of the following:

(1) Has Evidence of a bachelor's degree in any field that was obtained from an accredited college or university, or holds a current certification as a nursing home administrator granted by the American college College of health care administrators

Health Care Administrators, or current certification as a health services executive granted by NAB.

**Note:** The American College of Health Care Administrators may be contacted at 1800 Diagonal Road, Suite 355, Alexandria, VA 22314 <a href="http://www.achca.org">http://www.achca.org</a>.

- (2) Has practiced as a nursing home administrator for Evidence of at least 2,000 hours of practice as a nursing home administrator in any consecutive 3-year period within the 5-year period immediately preceding the date of application for licensure.
- (3) Has passed Evidence of passing the state law examination required for licensure under s. NHA 2.01 (3) (b).
- (4) Does not have an arrest or conviction record, subject Subject to ss. 111.321, 111.322, and 111.335, Stats., An if an applicant who has a pending criminal charge or has a an arrest or conviction record, shall provide the board with all related information documentation necessary for the board to determine whether the circumstances of the pending charge or conviction substantially relate to the practice of nursing home administration.

SECTION 27. EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.). Stats.

(END OF TEXT OF RULE)

DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

## ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

1. Type of Estimate and Analysis	2. Date				
☐ Original ☐ Updated ☐ Corrected	20180711				
3. Administrative Rule Chapter, Title and Number (and Clearinghouse Number if applicable) NHA $1\ { m to}\ 4$					
4. Subject Requirements for licensure, examinations, and approval of educational programs					
5. Fund Sources Affected ☐ GPR ☐ FED ☐ PRO ☐ PRS ☐ SEG ☐ SEG-S	6. Chapter 20, Stats. Appropriations Affected 20.165(1)(g)				
7. Fiscal Effect of Implementing the Rule  No Fiscal Effect Increase Existing Revenues  Indeterminate Decrease Existing Revenues	<ul><li>☑ Increase Costs</li><li>☑ Decrease Costs</li><li>☑ Could Absorb Within Agency's Budget</li></ul>				
8. The Rule Will Impact the Following (Check All That Apply)  State's Economy  Local Government Units  Specific Businesses/Sectors  Public Utility Rate Payers					
☐ Small	Businesses (if checked, complete Attachment A)				
9. Estimate of Implementation and Compliance to Businesses, Local Governmental Units and Individuals, per s. 227.137(3)(b)(1).					
10. Would Implementation and Compliance Costs Businesses, Local Governmental Units and Individuals Be \$10 Million or more Over Any 2-year Period, per s. 227.137(3)(b)(2)?  ☐ Yes ☒ No					
11. Policy Problem Addressed by the Rule					
The Nursing Home Administrators Examining Board conduct	ted a comprehensive evaluation and update of chs. NHA 1				
to 4 to ensure its rules relating to requirements for licensure,					
consistent with current professional, academic, licensing, and					
Wisconsin statutes. As a result, the following updates have be	-				
• Section NHA 2.01 is revised to reflect a change in July 20					
administered by NAB. The examination for nursing home administered by NAB.					
Examination for Long Term Care Administrators and the Nat					
Examination.					
• Sections NHA 4.01 (1) (b) and (d) 4.03 (1) are revised to allow active certification as a health services executive					
granted by NAB to satisfy an applicant's education, experience	ce, and national examination requirements for licensure. An				
applicant with NAB certification is still required to pass an examination on laws and administrative rules of this state					
governing nursing home administration.					
• Section NHA 3.02 (4) is revised to comply with s. 440.03 (4m), Stats., as created by 2017 Wisconsin Act 59. Under					
this provision, the Board may require a credential holder to submit proof of completing continuing education programs					
or courses only if a complaint is made against the credential holder.					
• Other provisions throughout chs. NHA 1 to 4 have been revised to update notes, provide clarity, and conform to					
current drafting standards.					
12. Summary of the Businesses, Business Sectors, Associations Representing Business, Local Governmental Units, and Individuals					
that may be Affected by the Proposed Rule that were Contacted for Comments.  The proposed rule was posted on the Department of Safaty and Professional Sarviness' website for 14 days in order to					
The proposed rule was posted on the Department of Safety and Professional Services' website for 14 days in order to solicit comments from businesses, representative associations, local governmental units, and individuals that may be					
affected by the rule. No comments were received.					
13. Identify the Local Governmental Units that Participated in the Development of this EIA.					
No local governmental units participated in the development of this EIA.					

DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

## ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

14. Summary of Rule's Economic and Fiscal Impact on Specific Businesses, Business Sectors, Public Utility Rate Payers, Local Governmental Units and the State's Economy as a Whole (Include Implementation and Compliance Costs Expected to be Incurred)

The proposed rule will not have a significant impact on specific businesses, business sectors, public utility rate payers, local governmental units, or the state's economy as a whole.

The Department estimates one-time administrative costs of \$158.62. These costs may be absorbed in the agency budget.

15. Benefits of Implementing the Rule and Alternative(s) to Implementing the Rule

The benefit to implementing the rule is providing clarity and reflecting current professional, academic, licensing, and examination practices and standards and applicable Wisconsin statutes. If the rule is not implemented, it will continue to contain outdated references.

16. Long Range Implications of Implementing the Rule

The long range implication of implementing the rule is clarity, updated references, and conformity with the Wisconsin Statutes.

- 17. Compare With Approaches Being Used by Federal Government None
- 18. Compare With Approaches Being Used by Neighboring States (Illinois, Iowa, Michigan and Minnesota) Illinois: Rules of the Illinois Department of Financial and Professional Regulation address the practice of nursing home administration in Illinois (68 Ill. Adm. Code 1310). The rules include provisions concerning examinations (68 Ill. Adm. Code 1310.30 and 1310.60), approved nursing home administration courses (68 Ill. Adm. Code 1310.40), and qualifying experience (68 Ill. Adm. Code Section 1310.50). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

Iowa: Rules of the Iowa Board of Nursing Home Administrators address the practice of nursing home administration in Iowa (645 IAC 141 to 144). The rules include provisions for licensure requirements (645 IAC 141.2), examination requirements (645 IAC 141.3), and educational qualifications (645 IAC 141.4). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

Michigan: Rules of the Michigan Department of Licensing and Regulatory Affairs address the practice of nursing home administration in Michigan (Mich Admin Code, R 339.14001 to R 339.14035). The rules include approval of courses of instruction and training (Mich Admin Code, R 339.14007), application requirements (Mich Admin Code, R 339.14008), and examinations (Mich Admin Code, R 339.14009 and R 339.14011). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

Minnesota: Rules of the Minnesota Board of Examiners for Nursing Home Administrators address the practice of nursing home administration in Minnesota (Minnesota Rules, chapter 6400). The rules include licensure requirements (Minnesota Rules, Part 6400.6000), applying for licensure (Minnesota Rules, Part 6400.6100), and course requirements (Minnesota Rules, Parts 6400.6400 to 6400.6660). The rules do not explicitly allow certification as a health services executive granted by NAB to satisfy any requirements for licensure.

19. Contact Name	20. Contact Phone Number
Dale Kleven	(608) 261-4472

This document can be made available in alternate formats to individuals with disabilities upon request.

STATE OF WISCONSIN DEPARTMENT OF ADMINISTRATION DOA-2049 (R09/2016) DIVISION OF EXECUTIVE BUDGET AND FINANCE 101 EAST WILSON STREET, 10TH FLOOR P.O. BOX 7864 MADISON, WI 53707-7864 FAX: (608) 267-0372

## ADMINISTRATIVE RULES Fiscal Estimate & Economic Impact Analysis

#### ATTACHMENT A

<ol> <li>Summary of Rule's Economic and Fiscal Impact on Small Businesses (Separately for each Small Business Sector, Include Implementation and Compliance Costs Expected to be Incurred)</li> </ol>
2. Summary of the data sources used to measure the Rule's impact on Small Businesses
3. Did the agency consider the following methods to reduce the impact of the Rule on Small Businesses?  Less Stringent Compliance or Reporting Requirements  Less Stringent Schedules or Deadlines for Compliance or Reporting  Consolidation or Simplification of Reporting Requirements  Establishment of performance standards in lieu of Design or Operational Standards  Exemption of Small Businesses from some or all requirements  Other, describe:
4. Describe the methods incorporated into the Rule that will reduce its impact on Small Businesses
5. Describe the Rule's Enforcement Provisions
6. Did the Agency prepare a Cost Benefit Analysis (if Yes, attach to form)  ☐ Yes ☐ No



### WISCONSIN LEGISLATIVE COUNCIL RULES CLEARINGHOUSE

Scott Grosz Clearinghouse Director Terry C. Anderson
Legislative Council Director

Margit Kelley Clearinghouse Assistant Director Jessica Karls-Ruplinger Legislative Council Deputy Director

#### **CLEARINGHOUSE RULE 18-054**

#### **Comments**

[NOTE: All citations to "Manual" in the comments below are to the Administrative Rules Procedures Manual, prepared by the Legislative Reference Bureau and the Legislative Council Staff, dated December 2014.]

#### 2. Form, Style and Placement in Administrative Code

- a. In the rule summary, it appears that the citations to ss. 456.02 (7), 456.03 (4), 456.05 (intro.), and 456.08, Stats., should be moved from the listing of statutory authority to the listing of statutes interpreted. [s. 1.02 (2m) (a), Manual.]
- b. In s. NHA 4.01 (1) (intro.), the term "submit" is being inserted and should be underscored. [s. 1.06 (1) (a), Manual.]
- c. In s. NHA 4.02 (2) (b), the sentence beginning with the phrase, "This paragraph does not apply to" should be rewritten in the singular tense. [s. 1.01 (9) (e), Manual.]

#### 5. Clarity, Grammar, Punctuation and Use of Plain Language

- a. In s. NHA 2.01 (3), and elsewhere throughout chs. NHA 1 to 4, the term "board" is used. It is not defined, however, in s. NHA 1.02. The board should create a definition for this term.
- b. In various places, the term "department" is capitalized. For example, the rule capitalizes the term when referring to the "department's website" in s. NHA 2.02 (Note). This term should be in lowercase throughout the rule. [s. 1.01 (4), Manual.]
- c. In various places, the introductory phrase "all the following" is inserted or adjusted to that phrasing. The phrase is grammatically incorrect. The board should insert or retain the word "of" in this phrase throughout the rule.

- d. In s. NHA 4.02 (3) (a) (intro.), the phrase "has not been renewed within 5 years" is replaced with the phrase, "failed to renew a license within 5 years". Does this mean that the applicant has not submitted a renewal application or that the board has not granted the renewal? The board should consider clarifying the meaning of this phrase.
- e. In s. NHA 4.015 (intro.), consider either striking the comma at the end of the phrase "which has not been revoked or suspended", or inserting a comma after the phrase "provided by the board", in order to be grammatically consistent.

on which the petition and proposed rule were submitted to the committee.

- 3. Following receipt of the petition and proposed rule submitted by the legislative council staff under subd. 2., the joint committee for review of administrative rules shall review the petition and proposed rule and may do any of the following:
- a. Approve the agency's petition if the committee determines that the proposed rule would repeal an unauthorized rule.
  - b. Deny the agency's petition.
- c. Request that the agency make changes to the proposed rule and resubmit the petition and proposed rule under subd. 1.
- 4. The committee shall inform the agency in writing of its decision as to the petition.
- (c) If the joint committee for review of administrative rules approves a petition to repeal an unauthorized rule as provided in par. (b) 3. a., the agency shall promulgate the proposed rule by filing a certified copy of the rule with the legislative reference bureau under s. 227.20, together with a copy of the committee's decision.

SECTION 7. 227.29 of the statutes is created to read: 227.29 Agency review of rules and enactments. (1) By March 31 of each odd–numbered year, each agency with any rules published in the code shall submit a report to the joint committee for review of administrative rules listing all of the following rules promulgated or otherwise administered by that agency:

- (a) Unauthorized rules, as defined in s. 227.26 (4) (a), together with a description of the legislation that eliminated the agency's authority to promulgate any such rule.
- (b) Rules for which the authority to promulgate has been restricted, together with a description of the legislation that restricted that authority.
- (c) Rules that are obsolete or that have been rendered unnecessary, together with a description of why those rules are obsolete or have been rendered unnecessary.
- (d) Rules that are duplicative of, superseded by, or in conflict with another rule, a state statute, a federal statute or regulation, or a ruling of a court of competent jurisdiction, together with a citation to or the text of any such statute, regulation, or ruling.
- (e) Rules that the agency determines are economically burdensome.
- (2) The report under sub. (1) shall also include all of the following:
- (a) A description of the agency's actions, if any, to address each rule listed in the report. If the agency has not taken any action to address a rule listed in the report, the agency shall include an explanation for not taking action.
- (b) A description of the status of each rule listed in the previous year's report not otherwise listed.

- (c) If the agency determines that there is no rule as described under sub. (1) (a), (b), (c), (d), or (e), a statement of that determination.
- (3) If an agency identifies an unauthorized rule under sub. (1) (a) and is not otherwise in the process of promulgating a rule that repeals the unauthorized rule, the agency shall, within 30 days after the agency submits the report, submit a petition to the legislative council staff under s. 227.26 (4) (b) 1. to repeal the unauthorized rule if the agency has not previously done so.
- (4) (a) In this subsection, "enactment" means an act or a portion of an act that is required to be published under s. 35.095 (3) (a).
- (b) Each agency shall review enactments to determine whether any part of an enactment does any of the following:
- 1. Eliminates or restricts the agency's authority to promulgate any rules promulgated or otherwise administered by that agency.
- 2. Renders any rules promulgated or otherwise administered by that agency obsolete or unnecessary.
- 3. Renders, for any reason, any rules promulgated or otherwise administered by that agency not in conformity with or superseded by a state statute, including due to statutory numbering or terminology changes in the enactment
- 4. Requires or otherwise necessitates rule making by the agency.
- (c) If an agency determines that any consequence specified in par. (b) 1. to 4. results from an enactment or part of an enactment, within 6 months after the applicable effective date for the enactment or part of the enactment, the agency shall do one or more of the following, as applicable, to address the consequence identified by the agency and notify the joint committee for review of administrative rules of its action:
- 1. Submit a statement of the scope of a proposed rule under s. 227.135 (2), unless the enactment requires otherwise or unless the agency submits a notice to the committee explaining why it is unable to submit the statement of scope within that time period and an estimate of when the agency plans to submit the statement of scope.
- 2. In the case of an affected rule that the agency determines is an unauthorized rule, as defined in s. 227.26 (4) (a), submit a petition to the legislative council staff under s. 227.26 (4) (b) 1.
- 3. In the case of a consequence specified under par. (b) 3. that can be addressed by the legislative reference bureau using its authority under s. 13.92 (4) (b), submit a request to the legislative reference bureau to use that authority.

#### **SECTION 8. Initial applicability.**

(1) The treatment of section 227.29 (4) of the statutes first applies to enactments published by the legislative

# State of Wisconsin Department of Safety & Professional Services

### **AGENDA REQUEST FORM**

1) Name and Title of Person Submitting the Request:				2) Date When Request Submitted:				
				7/10/18				
					red late if submitted after 12:00 p.m. on the deadline			
					ess days before the meeting			
3) Name of Board, Com	mittee, Co	ouncil, Sections:						
Nursing Home Administ								
4) Meeting Date:	Date: 5) Attachments: 6) How should the item be titled on the agenda page?							
8/23/18	⊠ Yes □ No		Nationa Matters	onal Association of Long Term Care Administrator Boards (NAB) ers				
	NO		•	NAB Annual Meeting Highlights – Long Beach, CA – June 6-8, 2018				
7) Place Item in:		8) Is an appearar scheduled?	ice before	the Board being	9) Name of Case Advisor(s), if required:			
x Open Session								
☐ Closed Session		Yes						
10) Describe the issue a	nd action	x No	drocood:					
10) Describe the issue a	iliu acuoi	i tilat siloulu be au	uicsscu.					
Board Review:								
https://www.nabweb.org	g/filebin/p	df/Annual-Meeting	-Highlight	ts-Long-Beach.pdf				
11)		1	Authoriza	tion				
Signature of person making this request					Date			
	J	·						
Companying or (if we assisted)					Dete			
Supervisor (if required)					Date			
Executive Director signature (indicates approval to add post agenda deadline item to agenda) Date								
Directions for including supporting documents:								
<ol> <li>This form should be attached to any documents submitted to the agenda.</li> <li>Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.</li> </ol>								
Post Agenda Deadline items must be authorized by a Supervisor and the Policy Development Executive Director.     If necessary, provide original documents needing Board Chairperson signature to the Bureau Assistant prior to the start of a								
meeting.								



### Annual Meeting Highlights Long Beach, CA—June 6-8, 2018



Outgoing NAB Chair Del Zook (right) swears in NAB's 2018-2020 Executive Committee members; from left, Treasurer Gaylord "Z" Thomas. Chair-Elect Mary Ellen Wilkinson. Secretary Michael Hickey and Chair Lisa Hahn.

## **NAB Elects New Leadership at Its Annual Meeting**

Long term care administrators, academics and state board members and executives from Alaska to Florida convened in Long Beach, California for the NAB 2018 Annual Meeting in June. During the course of three eventful days, meeting attendees gained valuable information and updates on NAB programs, awarded Health Services Executive (HSE) accreditation to two academic programs and elected new officers for the 2018-2020 term.

New NAB Chair Lisa Hahn (Virginia) and Past Chair Delvin Zook (Oregon) are joined on the Executive Committee by Chair-Elect Mary Ellen Wilkinson (Nevada), Treasurer Gaylord "Z" Thomas (Oklahoma) and Secretary Michael Hickey (Washington). Hahn presented Zook with an award in recognition of his leadership of NAB as it underwent the monumental process of implementing the new Health Services Executive (HSE) designation and launched the new NAB exam structure. NAB is also grateful to Keith Knapp (Kentucky), who completed four consecutive terms of service on the Executive Committee, for his dedication to NAB's ideals and his invaluable leadership.

For Questions or Assistance the NAB Staff is at Your Service!

#### **Randy Lindner**

President & CEO rlindner@bostrom.com

#### **Jason Silberberg**

Deputy Director jsilberberg@bostrom.com

#### **Michelle Grachek**

Director of Credentialing mgrachek@bostrom.com

#### Julie Elfand

Director of Meetings jelfand@bostrom.com

#### Kristi Wolford

Program Manager kwolford@bostrom.com

#### **Lisa Longfellow**

Associate

llongfellow@bostrom.com

Phone: 202-712-9040

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Web Site: www.nabweb.org



Annual Meeting Highlights Long Beach, CA—June 6-8, 2018

# **Keynote Presentation Discussed Quality Dementia Care in Long Term Care Settings**





Doug Pace, NHA, and Sam Fazio, PhD, of the Alzheimer's Association delivered the keynote address at the NAB Annual Meeting. The topic of their presentation was defining care for residents dealing with dementia and promoting supportive and therapeutic practices for them in long term care settings. Pace, Director of Mission Partnerships, and Fazio, Director of Quality Care Psychosocial Research, utilized real-time interactive polls to gain feedback from our attendees.

Pace and Fazio shared the Alzheimer's Association's practice recommendations for dementia care, which include personalized care, promotion of early detection and diagnosis, and coordination among care providers. They also stressed the need to create a safe and supportive environment for residents, and to educate family members about the transitions between care settings. They further promoted the use of evidence-based models to avoid unnecessary hospitalizations and ensure placements in appropriate settings.

The PowerPoint of their presentation may be found on NAB's website, https://www.nabweb.org/events.

### Former NAB Chairs Awarded for Distinguished Service



Former NAB Chairs Jane Baker (North Carolina, 2008-10) and Randy Snyder (Minnesota, 2012-14), were presented the NAB Distinguished Service Award during the Annual Meeting. Outgoing Chair Delvin Zook praised Baker and Snyder for their exemplary leadership and dedication to NAB's mission.



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## **Committee Reports**

#### CONTINUING EDUCATION

Chair Michael Hickey (Washington) reported that the committee had met its strategic planning goals over the past two years, including the launch of the CE Registry and new monitoring procedures. The Board of Governors approved three NCERS sponsors for certified status: Benedictine Health System, Diversified Health Partners and LeadingAge Maine-New Hampshire.

#### **EDUCATION**

The Board of Governors approved HSE accreditation for Bowling Green State University in Ohio for its undergraduate and graduate academic programs. Vice Chair Kathie Will (Ohio) presented Jennifer Wagner of BGSU with a certificate, renewing the school's accreditation until June 2023. The Board also approved the HSE accreditation to Eastern Washington University, which had submitted an application a "paper review" to the accreditation task force. Will also reported that NAB would pursue the accreditation platform with LearningBuilder, for which the Board had previously approved funding.



#### MEMBER RELATIONS AND OUTREACH

Committee Chair Laura Lucas (North Carolina) reported that the committee had formed a workgroup to plan a fundraiser for the NAB Foundation in conjunction with the November meeting in Tucson. Another workgroup looked into ways NAB can update and enhance the online videos on NAB's website. Lucas also reported on the rapid growth of NAB's social media platforms over the past year, which are drawing interest from administrators across the country.

#### STATE GOVERNANCE

Chair Randy Snyder (Minnesota) reported that the AIT manual has been utilized over 2,000 times, and that almost 15,000 administrators had signed up with the CE Registry, and he thanked the Board for getting the word out on these NAB programs. The Credentialing Commission had approved 118 HSE applications, and there are over 1,000 more in the application process. The feedback from the Goal 51 exercise from the November 2017 meeting will be submitted for the strategic plan in August. NAB will provide sample testimony in support of HSE to the boards, as nineteen states are currently working toward adopting the HSE.

### **Next NAB Meeting**

We invite you to join us at our 2018 Mid-Year Meeting, November 7-9 in Tucson. Meeting details and registration forms will be emailed and posted on www.nabweb.org in August. Please mark your calendar and plan to attend!

