IN THE MATTER OF RULEMAKING:

PROCEEDINGS BEFORE THE:

REPORT TO THE LEGISLATURE:

DENTISTRY EXAMINING BOARD:

CR 21-086:

STATE OF WISCONSIN
DENTISTRY EXAMINING BOARD

I. THE PROPOSED RULE:

The proposed rule, including the analysis and text, is attached.

II. REFERENCE TO APPLICABLE FORMS: N/A

III. FISCAL ESTIMATE AND EIA:

The Fiscal Estimate and EIA is attached.

IV. DETAILED STATEMENT EXPLAINING THE BASIS AND PURPOSE OF THE PROPOSED RULE, INCLUDING HOW THE PROPOSED RULE ADVANCES RELEVANT STATUTORY GOALS OR PURPOSES:

The rule clarifies that dentists who apply for a Class 3 anesthesia permit allowing a dentist to perform deep sedation or general anesthesia, as an option for receiving the permit complete a postdoctoral residency in an accredited dental program in dental anesthesiology.

V. SUMMARY OF PUBLIC COMMENTS AND THE BOARD’S RESPONSES, EXPLANATION OF MODIFICATIONS TO PROPOSED RULES PROMPTED BY PUBLIC COMMENTS:

No public comments were received.

VI. RESPONSE TO LEGISLATIVE COUNCIL STAFF RECOMMENDATIONS:

All of the recommendations suggested in the Clearinghouse Report have been accepted in whole.

VII. REPORT FROM THE SBRRB AND FINAL REGULATORY FLEXIBILITY ANALYSIS: N/A
An order of the Dentistry Examining Board to amend DE 11.025 (3) (i), relating to requirements for class III permits for anesthesia.

Analysis prepared by the Department of Safety and Professional Services.

**ANALYSIS**

**Statutes interpreted:** s. 447.02 (2) (b), Stats.

**Statutory authority:** ss. 15.08 (5) (b) and 447.02 (2) (b), Stats.

**Explanation of agency authority:**

Each Examining Board shall promulgate rules for its own guidance and for the guidance of the profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular profession. [s. 15.08 (5) (b), Stats.]

The Examining Board shall promulgate rules specifying the standards, conditions and any educational requirements that are in addition to the requirements specified in s. 447.04 (1) that must be met by a dentist to be permitted to induce general anesthesia or conscious sedation in connection with the practice of dentistry. [s. 447.02 (2) (b), Stats.]

**Related statute or rule:** s. 447.04 (1), Stats.

**Plain language analysis:**

The rule clarifies that dentists who apply for a Class 3 anesthesia permit allowing a dentist to perform deep sedation or general anesthesia, as an option for receiving the permit complete a postdoctoral residency in an accredited dental program in dental anesthesiology.

**Summary of, and comparison with, existing or proposed federal regulation:** None.

**Summary of public comments received on statement of scope and a description of how and to what extent those comments and feedback were taken into account in drafting the proposed rule:**

No comments were received on the statement of scope.
Comparison with rules in adjacent states:

**Illinois:** Illinois does not require a permit for minimal sedation. Moderate sedation requires a Permit A. Deep sedation or general anesthesia requires a Permit B. To receive a Permit B, a dentist must be a diplomate of the American Board of Oral and Maxillofacial Surgery, or complete 2 years of advanced training in anesthesiology or have a specialty license in oral and maxillofacial surgery. (225 ILCS 25/8.1)

**Iowa:** Iowa requires a general anesthesia permit if the dentist is applying deep sedation or general anesthesia. To qualify for a moderate sedation permit, the applicant shall complete a training program approved by the board that consists of a minimum of 60 hours of instruction and management of at least 20 patients or an accredited residency program that includes: formal training and clinical experiences in moderate sedation; a training that includes rescuing patients from a deeper level of sedation than intended; and if intends to utilize on pediatric or ASA III or IV patients, an accredited residency program that includes formal training in anesthesia and clinical experience in managing pediatric or ASA III or IV patients. To qualify for a general anesthesia permit, the applicant shall compete an advanced education program accredited by the Commission on Dental Accreditation that provides training in deep sedation and general anesthesia; a minimum of one year of advanced training in anesthesiology and related academic subjects in a training program approved by the anesthesia credentials committee of the board; formal training in airway management; and current ACLS certification. (IAC 650-29.11)

**Michigan:** Under Chapter 6 of the Michigan Administrative Rules, R338.11601 - R338.11603, the requirements for general anesthesia, interventional conscience sedation and enteral sedation are identified. Under R338.11603, Michigan adopts the standards for advanced training in anesthesia and pain control and training in intravenous conscious sedation as identified by the Commission on Dental Education of the American Dental Association in the publication entitled “Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students” (October 2012). Michigan adopts the standards for enteral sedation course as outlined in the Dental Education of the American Dental Association in the publication entitled “Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students” (October 2012).

**Minnesota:** Minnesota requires the following education for deep sedation or general anesthesia: a didactic and clinical program at a dental school, hospital, or graduate medical or dental program accredited by the Commission on Dental Accreditation equivalent to a program for advanced specialty education in oral and maxillofacial surgery or a one year residency in general anesthesia at an institution certified by the American Society of Anesthesiology, the American Medical Association, or the Joint Commission on Hospital Accreditation with minimum number of hours for various procedures. The dentist must also have ACLS or PALS certification and CPR certification. (Minnesota Administrative Rules 3100.3600)

Summary of factual data and analytical methodologies:

The Dentistry Examining Board members were consulted in the development of this rule project.
Analysis and supporting documents used to determine effect on small business or in preparation of economic impact analysis:

The proposed rules were posted for a period of 14 days to solicit public comment on economic impact, including how the proposed rules may affect businesses, local government units, and individuals. No comments were received.

Fiscal Estimate and Economic Impact Analysis:

The Fiscal Estimate and Economic Impact Analysis is attached.

Effect on small business:

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department’s Regulatory Review Coordinator may be contacted by email at Daniel.Hereth@wisconsin.gov, or by calling (608) 267-2435.

Agency contact person:

Nilajah Hardin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, P.O. Box 8366, Madison, Wisconsin 53708-8306; telephone 608-267-7139; email at DSPSAdminRules@wisconsin.gov.

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TEXT OF RULE

SECTION 1 DE 11.025 (3) (i) 4. is amended to read:

DE 11.025 (3) (i) 4. Postdoctoral Completion of a postdoctoral residency in an accredited dental program in dental anesthesiology.

SECTION 2 EFFECTIVE DATE. The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin Administrative Register, pursuant to s. 227.22 (2) (intro.), Stats.

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(END OF TEXT OF RULE)

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This Proposed Order of the Dentistry Examining Board is approved for submission to the Governor and Legislature.

Dated 2/22/2022

Agency

Chairperson

Dentistry Examining Board
1. Type of Estimate and Analysis

- Original
- Updated
- Corrected

2. Date

- October 21, 2021

3. Administrative Rule Chapter, Title and Number (and Clearinghouse Number if applicable)

- DE 11

4. Subject

Permits to Administer Anesthesia

5. Fund Sources Affected

- GPR
- FED
- PRO
- PRS
- SEG
- SEG-S

6. Chapter 20, Stats. Appropriations Affected

- 20.165 (1) (g)

7. Fiscal Effect of Implementing the Rule

- No Fiscal Effect
- Increase Existing Revenues
- Decrease Existing Revenues
- Increase Costs
- Decrease Costs
- Could Absorb Within Agency's Budget

8. The Rule Will Impact the Following (Check All That Apply)

- State’s Economy
- Local Government Units
- Specific Businesses/Sectors
- Public Utility Rate Payers
- Small Businesses (if checked, complete Attachment A)


- $0

10. Would Implementation and Compliance Costs Businesses, Local Governmental Units and Individuals Be $10 Million or more Over Any 2-year Period, per s. 227.137(3)(b)(2)?

- Yes
- No

11. Policy Problem Addressed by the Rule

The rule clarifies that dentists who apply for a Class III anesthesia permit allowing a dentist to perform deep sedation or general anesthesia, as an option for receiving the permit complete a postdoctoral residency in an accredited dental program in dental anesthesiology.

12. Summary of the Businesses, Business Sectors, Associations Representing Business, Local Governmental Units, and Individuals that may be Affected by the Proposed Rule that were Contacted for Comments.

The rule will be posted for 14 days on the Department of Safety and Professional Services' website to solicit comments on the potential economic impact.

13. Identify the Local Governmental Units that Participated in the Development of this EIA.

- None

14. Summary of Rule’s Economic and Fiscal Impact on Specific Businesses, Business Sectors, Public Utility Rate Payers, Local Governmental Units and the State’s Economy as a Whole (Include Implementation and Compliance Costs Expected to be Incurred)

No economic or fiscal impacts are anticipated for specific businesses, business sectors, public utility rate payers, local governmental units, or the state's economy as a whole. A total of $1,060.92 in one time costs are anticipated to be absorbed within the operating budget of the Department of Safety and Professional Services.

15. Benefits of Implementing the Rule and Alternative(s) to Implementing the Rule

The Dentistry Examining Board has determined a need to clarify a provision related to the permitting requirements for dentists in administering anesthesia. Specifically, the Board will clarify a provision that requires completion of an accredited dental program in dental anesthesiology is required for Class III permits and review other permitting classes to ensure clarity in the requirements.

16. Long Range Implications of Implementing the Rule

The long range implications of implementing this rule are improved Dentistry practice in administering anesthesia due to clear requirements.

17. Compare With Approaches Being Used by Federal Government
ADMINISTRATIVE RULES
Fiscal Estimate & Economic Impact Analysis

None.

18. Compare With Approaches Being Used by Neighboring States (Illinois, Iowa, Michigan and Minnesota)
Illinois: Illinois does not require a permit for minimal sedation. Moderate sedation requires a Permit A. Deep sedation or general anesthesia requires a Permit B. To receive a Permit B, a dentist must be a diplomate of the American Board of Oral and Maxillofacial Surgery, or complete 2 years of advanced training in anesthesiology or have a specialty license in oral and maxillofacial surgery. (225 ILCS 25/8.1)

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19. Contact Name
Nilajah Hardin, Administrative Rules Coordinator

20. Contact Phone Number
(608) 267-7139

This document can be made available in alternate formats to individuals with disabilities upon request.
ATTACHMENT A

1. Summary of Rule’s Economic and Fiscal Impact on Small Businesses (Separately for each Small Business Sector, Include Implementation and Compliance Costs Expected to be Incurred)

2. Summary of the data sources used to measure the Rule’s impact on Small Businesses

3. Did the agency consider the following methods to reduce the impact of the Rule on Small Businesses?
   - [ ] Less Stringent Compliance or Reporting Requirements
   - [ ] Less Stringent Schedules or Deadlines for Compliance or Reporting
   - [ ] Consolidation or Simplification of Reporting Requirements
   - [ ] Establishment of performance standards in lieu of Design or Operational Standards
   - [ ] Exemption of Small Businesses from some or all requirements
   - [ ] Other, describe:

4. Describe the methods incorporated into the Rule that will reduce its impact on Small Businesses


6. Did the Agency prepare a Cost Benefit Analysis (if Yes, attach to form)
   - [ ] Yes   [ ] No