Wisconsin Department of Safety and Professional Services Division of Policy Development 4822 Madison Yards Way PO Box 8366 Madison WI 53705-8366



Phone: 608-266-2112 Web: http://dsps.wi.gov Email: dsps@wisconsin.gov

Tony Evers, Governor Dan Hereth, Secretary

## VIRTUAL/TELECONFERENCE GENETIC COUNSELORS AFFILIATED CREDENTIALING BOARD

Virtual, 4822 Madison Yards Way, Madison Contact: Tom Ryan (608) 266-2112 August 21, 2023

The following agenda describes the issues that the Board plans to consider at the meeting. At the time of the meeting, items may be removed from the agenda. Please consult the meeting minutes for a record of the actions of the Board.

#### **AGENDA**

#### 12:00 P.M.

### OPEN SESSION - CALL TO ORDER - ROLL CALL

- A. Adoption of Agenda (1-2)
- B. Approval of Minutes of July 17, 2023 (3)
- C. Administrative Matters Discussion and Consideration
  - 1. Department, Staff and Board Updates
  - 2. Board Members Board Member Status
    - a) Geurts, Jennifer L. -7/1/2024
    - b) Grzybowski, Jessica A. -7/1/2025
    - c) Karwedsky, Stephanie L. -7/1/2025
    - d) Levonian, Peter J. -7/1/2027
    - e) Mullane, Michael P.– 7/1/2024
    - f) Muriello, Michael J.–7/1/2027
    - g) Pabst, Rebecca L. 7/1/2025
- D. Legislation and Policy Matters Discussion and Consideration
- E. Administrative Rule Matters Discussion and Consideration (4)
  - 1. Update on Emergency Rule Draft: Gen Couns 1 to 5, Relating to Genetic Counselors (5-19)
  - 2. Pending and Possible Rulemaking Projects
- F) Discussion and Consideration of Items Added After Preparation of Agenda:
  - 1. Introductions, Announcements and Recognition
  - 2. Nominations, Elections, and Appointments
  - 3. Administrative Matters
  - 4. Election of Officers
  - 5. Appointment of Liaisons and Alternates
  - 6. Delegation of Authorities
  - 7. Education and Examination Matters

- 8. Credentialing Matters
- 9. Practice Matters
- 10. Legislative and Policy Matters
- 11. Administrative Rule Matters
- 12. Liaison Reports
- 13. Board Liaison Training and Appointment of Mentors
- 14. Public Health Emergencies
- 15. Informational Items
- 16. Division of Legal Services and Compliance (DLSC) Matters
- 17. Presentations of Petitions for Summary Suspension
- 18. Petitions for Designation of Hearing Examiner
- 19. Presentation of Stipulations, Final Decisions and Orders
- 20. Presentation of Proposed Final Decisions and Orders
- 21. Presentation of Interim Orders
- 22. Petitions for Re-Hearing
- 23. Petitions for Assessments
- 24. Petitions to Vacate Orders
- 25. Requests for Disciplinary Proceeding Presentations
- 26. Motions
- 27. Petitions
- 28. Appearances from Requests Received or Renewed
- 29. Speaking Engagements, Travel, or Public Relation Requests, and Reports

#### **G)** Public Comments

#### **ADJOURNMENT**

## **NEXT MEETING: SEPTEMBER 11, 2023**

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# MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC, AND MAY BE CANCELLED WITHOUT NOTICE.

Times listed for meeting items are approximate and depend on the length of discussion and voting. All meetings are held virtually unless otherwise indicated. In-person meetings are typically conducted at 4822 Madison Yards Way, Madison, Wisconsin, unless an alternative location is listed on the meeting notice. In order to confirm a meeting or to request a complete copy of the board's agenda, please visit the Department website at https:\\dsps.wi.gov. The board may also consider materials or items filed after the transmission of this notice. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. Requests for interpreters for the hard of hearing, or other accommodations, are considered upon request by contacting the Affirmative Action Officer, or the Meeting Staff at 608-267-7213.

## VIRTUAL/TELECONFERENCE GENETIC COUNSELORS AFFILIATED CREDENTIALING BOARD JULY 17, 2023

**PRESENT:** Jennifer Geurts (arrived at 2:38 p.m.) (excused at 2:39 p.m.), Jessica Grzybowski,

Stephanie Karwedsky, Peter Levonian, Michael Mullane, Michael Muriello

**EXCUSED:** Rebecca Pabst

**STAFF:** Brad Wojciechowski, Acting Executive Director; Jameson Whitney, Legal Counsel;

Nilajah Hardin, Administrative Rules Coordinator; Dialah Azam, Bureau Assistant; and

other Department Staff

### **CALL TO ORDER**

Jessica Grzybowski, Chairperson, called the meeting to order at 12:02 p.m. A quorum was confirmed with six (6) members present.

## ADOPTION OF AGENDA

**MOTION:** Michael Muriello moved, seconded by Jennifer Geurts, to adopt the Agenda as

published. Motion carried unanimously.

## **APPROVAL OF MINUTES OF JUNE 5, 2023**

**MOTION:** Michael Mullane moved, seconded by Jennifer Geurts, to adopt the Minutes of

June 5, 2023 as published. Motion carried unanimously.

#### ADMINISTRATIVE RULE MATTERS

### **Emergency Rule Draft: Gen Couns 1 to 5, Relating to Genetic Counselors**

**MOTION:** Michael Muriello moved, seconded by Michael Mullane, to authorize Jessica

Grzybowski to approve the emergency rule creating Gen Couns 1 to 5, relating to

Genetic Counselors for emergency rule submission to the Governor and

publication in an official newspaper. Motion carried unanimously.

(Jennifer Geurts excused at 2:38 p.m.)

(Jennifer Geurts arrived at 2:39 p.m.)

#### **ADJOURNMENT**

**MOTION:** Jessica Grzybowski moved, seconded by Michael Mullane, to adjourn the

meeting. Motion carried unanimously.

The meeting adjourned at 2:46 p.m.

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## **AGENDA REQUEST FORM**

,	2) Date when request submitted:			
Nilajah Hardin	08/08/23			
Administrative Rules Coordinator	Items will be considered late if submitted after 12:00 p.m. on the deadline date which is 8 business days before the meeting			
3) Name of Board, Committee, Council, Sections:				
Genetic Counselors Affiliated Credentialing Board				
4) Meeting Date: 5) 6) How should th	e item be titled on the agenda page?			
08/21/23 Administrative	<b>Rule Matters Discussion and Consideration</b>			
	e on Emergency Rule: Gen Couns 1 to 5, Relating to Genetic			
_ Counse	eiors og or Possible Rulemaking Projects			
7) Place Item in: 8) Is an appearance before the Boa	ard being 9) Name of Case Advisor(s), if required:			
scheduled? (If yes, please complete	e N/A			
✓ Open Session  Appearance Request for Non-DSPS  Closed Session	Request for Non-DSPS Staff)			
Yes				
No   No   10) Describe the issue and action that should be addressed:				
,				
Review Legislative Report and Final Rule Draft for PA 1 to 4				
Attachments:				
<ol> <li>Emergency Rule – Gen Couns 1 to 5</li> <li>Incorporation of Standards Letter</li> </ol>				
3. NSGC Code of Ethics				
11) Authorization				
Major a Harolis	08/08/23			
Signature of person making this request	Date			
Supervisor (if required)	Date			
For the Director control (a director control to add a set	de de dive item te evende). Dete			
Executive Director signature (indicates approval to add post	agenda deadline item to agenda) Date			
Executive Director signature (indicates approval to add post	agenda deadline item to agenda) Date			
Directions for including supporting documents:				
	d to the agenda.			

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Phone: 608-266-2112 Web: http://dsps.wi.gov E-mail: dsps@wisconsin.gov

Tony Evers, Governor Daniel Hereth, Secretary

July 28, 2023

The Honorable Josh Kaul Wisconsin Attorney General P.O. Box 7857, 17 West Main Street Madison, Wisconsin 53707-7857

#### Dear Attorney General Kaul:

Pursuant to sections 227.21 and 448.9703 (1) of the Statutes, the Genetic Counselors Affiliated Credentialing Board is hereby requesting consent from your office to incorporate the National Society of Genetic Counselors code of ethics by reference Wisconsin Administrative Code, chapter Gen Couns 1, entitled Authority, Adoption of Standards, and Definitions.

A copy of these standards is enclosed for your review. These standards are of limited public interest and are readily available. It is the opinion of the Genetic Counselors Affiliated Credentialing Board that incorporating these standards by reference rather than reprinting them in the Administrative Code will avoid unwarranted expense, be more efficient, and create less confusion.

After reviewing this material, if consent to incorporate is granted, a copy of these standards will be placed on file in the offices of the Legislative Reference Bureau and the Genetic Counselors Affiliated Credentialing Board, in accordance with section 227.21 of the Statutes.

Sincerely,

Jessica Grzybowski

Jessica Grzybowski

Chairperson

Genetic Counselors Affiliated Credentialing Board

**Enclosures** 

# STATE OF WISCONSIN GENETIC COUNSELORS AFFILIATED CREDENTIALING BOARD

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IN THE MATTER OF RULEMAKING : ORDER OF THE GENETIC PROCEEDINGS BEFORE THE GENETIC : COUNSELORS AFFILIATED COUNSELORS AFFILIATED : CREDENTIALING BOARD CREDENTIALING BOARD : ADOPTING EMERGENCY RULES

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The statement of scope for this rule, SS 029-23, was approved by the Governor on May 4, 2023, published in Register 809A2 on May 8, 2023, and approved by the Genetic Counselors Affiliated Credentialing Board on May 24, 2023. This emergency rule was approved by the Governor on (date)

#### **ORDER**

An order of the Genetic Counselors Affiliated Credentialing Board to create Gen Couns 1 to 5 relating to Genetic Counselors.

Analysis prepared by the Department of Safety and Professional Services.

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## **EXEMPTION FROM FINDING OF EMERGENCY**

The Legislature by Section 24 subsection (3) of 2021 Wisconsin Act 251 provides an exemption from a finding of emergency for the adoption of this rule.

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#### **ANALYSIS**

Statutes interpreted: subch. VIII ch. 448, Wis. Stats.

**Statutory authority:** ss. 15.085 (5) (b), 448.9703, and 448.9705 (1), Stats. and 2021 Wisconsin Act 251

#### **Explanation of agency authority:**

Section 15.085 (5) (b) states that "[each affiliated credentialing board] shall promulgate rules for its own guidance and for the guidance of the trader or profession to which it pertains, and define and enforce professional conduct and unethical practices not inconsistent with the law relating to the particular trade or profession."

Section 448.9703 states that: "the board shall promulgate rules to do all of the following:

- (1) Adopt the National Society of Genetic Counselors code of ethics governing the professional conduct of genetic counselors.
- (2) Establish criteria for the approval of continuing education program and courses required for renewal of a genetic counselor license.
- (3) Establish requirements for an applicant seeking renewal of a genetic counselor license, including that an applicant has satisfied all of the following:

- (a) Successfully completed at least 30 hours of continuing education in the prior 2-year period.
- (b) Maintained certification from and, if applicable, achieved recertification through the American Board of Genetic Counseling.
- (4) Adopt a definition of "temporary basis" for purposes of s. 448.9701 (2) (d) and (e)."

Section 448.9705 (1) states that: "the board may, by rule, provide for a temporary license to practice genetic counseling for an applicant who satisfies the requirements of s. 448.9704 (1) other than the examination requirements under s. 448.9704 (1) (d) (2)."

2021 WI Act 251, Section 24 (3) states that "Using the procedure under s. 227.24, the genetic counselor affiliated credentialing board may promulgate rules under s. 448.9703 for the period before permanent rules take effect, but not to exceed the period authorized under s. 227.24 (1) (c) and (2). Notwithstanding s. 227.24 (1) (a), (2) (b)m and (3) the genetic counselors affiliated credentialing Board is not required to provide a finding of emergency for a rule promulgated under this subsection as an emergency rule is necessary for the preservation of public peace, health, safety, or welfare and is not required to provide a finding of emergency for a rule promulgated under this subsection."

#### Related statute or rule: None.

**Plain language analysis:** The objective of this emergency rule is to implement the statutory changes from 2021 Wisconsin Act 251 by creating chapters Gen Couns 1 to 5 as a new part of the Wisconsin Administrative Code.

Summary of, and comparison with, existing or proposed federal regulation: None.

#### Comparison with rules in adjacent states:

**Illinois**: Genetic Counselors in Illinois are licensed through the Illinois Department of Financial and Professional Regulation. The Genetic Counselor Licensing Act governs the practice of genetic counselors in Illinois and includes statutory direction regarding genetic counselor examination, temporary licensure, endorsement, and grounds for disciplinary action [225 Illinois Compiled Statutes ch. 135].

Genetic Counselors in Illinois are required to complete 30 hours of continuing education per 2-year license renewal cycle [Illinois Administrative Code Title 68 Part 1251 s. 1251.100]. Part 1251 of the Illinois Administrative Code further details rules for genetic counselors in the areas of licensure, examination, and granting variances. [Illinois Administrative Code Title 68 Part 1251 s. 1251.10 to 1251.110].

**Iowa**: Genetic Counselors in Iowa are licensed through the Iowa Board of Medicine. Chapter 148H of the Iowa Code governs the practice of genetic counselors in Iowa and includes statutes on licensure and grants administrative rulemaking authority to their Board [Iowa Code ch. 148H].

Chapter 20 of the Medicine Board Section 653 of the Iowa Administrative Code further details rules for of genetic counselors in the areas of licensure, practice, continuing education, and discipline. Each licensee is required to complete at least 30 hours of continuing education approved by the board per biennium. [653 Iowa Administrative Code ch. 20].

**Michigan**: Genetic Counselors in Michigan are licensed through the Michigan Department of Licensing and Regulatory Affairs. Part 170 of The Public Health Code Act 368 governs the practice of genetic counselors in Michigan. This section of the Michigan Compiled Laws includes requirements for genetic counselors regarding licensure, practice, continuing education, and standards of practice. The Michigan Board of Medicine is also responsible for the regulation of Genetic Counselors in Michigan. The board may require each licensee to provide evidence of completion of at least 75 hours within the three years immediately preceding the application for license renewal [Michigan Compiled Laws ss. 333.17091 to 333.17097].

Minnesota: Genetic Counselors in Minnesota are licensed through the Minnesota Board of Medical Practice. Chapter 147F of the Minnesota Statutes includes requirements for scope of practice, licensure requirements, grounds for disciplinary action, and continuing education. Genetic Counselors in Minnesota must either meet the licensure standards or provide evidence of completion of at least 25 hours of National Society of Genetic Counselors (NSGC) or American Board of Medical Genetics (ABMG) approved continuing education within the two years preceding renewal [Minnesota Statutes ch. 147F].

### Summary of factual data and analytical methodologies:

The Board reviewed the statutory changes from 2021 Wisconsin Act 251 and promulgated rules as needed for the profession. While promulgating these rules, the Board referenced Wisconsin Administrative Code chs. MPSW 20, OT 4 and 5, PA 3, and Pod 2 and 8, among other sources.

**Fiscal Estimate:** The Fiscal Estimate will be attached upon completion.

#### **Effect on small business:**

These proposed rules do not have an economic impact on small businesses, as defined in s. 227.114 (1), Stats. The Department's Regulatory Review Coordinator may be contacted by email at Jennifer.Garrett@wisconsin.gov, or by calling (608) 266-6795.

### **Agency contact person:**

Nilajah Hardin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, Wisconsin 53708; telephone 608-26-7139; email at DSPSAdminRules@wisconsin.gov.

Place where comments are to be submitted and deadline for submission:

Comments may be submitted to Nilajah Hardin, Administrative Rules Coordinator, Department of Safety and Professional Services, Division of Policy Development, 4822 Madison Yards Way, P.O. Box 8366, Madison, WI 53708-8366, or by email to DSPSAdminRules@wisconsin.gov. Comments must be received on or before the public hearing, held on a date to be determined, to be included in the record of rule-making proceedings.

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#### **TEXT OF RULE**

SECTION 1. Chapters Gen Couns 1 to 5 are created to read:

## Chapter Gen Couns 1 AUTHORITY, ADOPTION OF STANDARDS, AND DEFINITIONS

**Gen Couns 1.01 Authority.** The rules in chapters Gen Couns 1 to 5 are adopted by the genetic counselors affiliated credentialing board pursuant to the authority delegated by ss. 15.85 (5) (b) and 448.9703, Stats.

**Gen Couns 1.02 Adoption of Standards.** The National Society of Genetic Counselors (NSGC) code of ethics, issued in April 2017, is incorporated by reference into chs. Gen Couns 1 to 5.

**Note:** Copies of the above standards are on file in the office of the department and the legislative reference bureau. A copy of the NSGC code of ethics can be retrieved from: <a href="https://nsgc.org">https://nsgc.org</a>.

Gen Couns 1.03 Definitions. As used in chapters Gen Couns 1 to 5:

- (1) "Board" means the genetic counselors affiliated credentialing board.
- (2) "Genetic counseling" has the meaning given in s. 448.970 (3), Stats.
- (3) "Genetic counselor" means an individual who is licensed by the board to practice genetic counseling.
- (4) "Temporary basis" means three months from the date you submit notification to the board. After those three months, regular licensure is required. This definition does not apply to those individuals who hold a temporary license under s. 448.9705, Stats.

## Chapter Gen Couns 2 LICENSE TO PRACTICE GENETIC COUNSELING

**Gen Couns 2.01 Initial Licensure.** Except as provided in sub. (2), the board shall grant a license as a genetic counselor to an applicant who does all of the following:

- (1) Submits all of the following:
  - (a) A completed application form.

- Note: Instructions for applications are available from the department of safety and professional services' website at http://dsps.wi.gov.
- (b) The fee determined by the Department under s. 440.05 (1), Stats.
- (c) Subject to ss. 111.321, 111.322, and 111.335, Stats., evidence satisfactory to the board that the applicant does not have an arrest or conviction record.
- (d) Evidence satisfactory to the board of one of the following:
  - Successful completion of the academic and clinical requirements of and developed the practice-based competencies required by a degree-granting program in genetic counseling that is accredited by the Accreditation Council for Genetic Counseling or the American Board of Medical Genetics and Genomics.
  - 2. Successful completion of a degree-granting program outside of the United States that the board determines is substantially equivalent to a program described in sub. (c) 1.
- (e) Evidence satisfactory to the board of having successfully passed an examination administered by the American Board of Genetic Counseling or the genetic counseling examination administered by the American Board of Medical Genetics and Genomics.
- (f) Evidence of current certification with the American Board of Genetic Counseling.
- (2) The board may waive the requirements of subs. (1) (d), (e), and (f) either of the following apply:
  - (a) The applicant holds an unexpired genetic counselor license issued by another state, territory, foreign country, or province and the requirements for licensure and for renewal of a genetic counselor license of such other state, territory, foreign country, or province are deemed by the board to be substantially equivalent to the requirements for licensure and for renewal of a genetic counselor of this state.
  - (b) The applicant does all of the following:
    - 1. Applies for licensure under sub. (1) by September 1, 2023.
    - 2. Submits evidence satisfactory to the board of all of the following:
      - a. Has 10 years of documented work experience practicing genetic counseling.
      - b. Has completed, within the previous 5 years, 25 hours of continuing education approved by the National Society of Genetic Counselors.
    - 3. Submits to the board 2 letters of recommendation, one from a genetic counselor and another from a physician.

Gen Couns 2.02 Temporary Licensure. (1) The board may issue a temporary license to an applicant who has completed all of the following:

- (a) Submits a completed application for licensure and a completed application for a temporary license.
  - Note: Instructions for applications are available from the department of safety and professional services' website at http://dsps.wi.gov.
- (b) Pays the fee determined by the Department under s. 440.05 (1), Stats.
- (c) Submits evidence of completion of the education requirements from Gen Couns 2.01 (d).
- (d) Submits evidence of current active candidate status with the American Board of Genetic Counseling.
- (2) A person granted a temporary license to practice genetic counseling under this section shall practice at all times under the supervision and direction of a licensed genetic counselor or physician.
- (3) Except as provided in sub. (4), a temporary license issued under this section expires upon granting of a license under s. 448.9704 (1), Stats. or on whichever if the following dates occurs first:
  - (a) The date that is one year after the date on which the temporary license was granted.
  - (b) 30 days after the results of the examination under s. 448.9704 (1) (d) 2 Stats. taken by the applicant have been issued by the American Board of Genetic Counseling.
- (4) The board may grant a one time renewal of a temporary license, for a period specified by the board, if the person maintains active candidate status with the American Board of Genetic Counseling.
- (5) The board may require an applicant for the renewal of a temporary license to appear before a member of the board for an interview.

Gen Couns 2.03 Reciprocal Credentials for Service Members, Former Service Members, and their Spouses. A reciprocal license shall be granted to a service member, former service member, or the spouse of a service member or former service member who the board determines meets all of the requirements under s. 440.09 (2), Stats. subject to s. 440.09 (2m), Stats. The board may request verification necessary to make a determination under this section.

Gen Couns 2.04 Title Protection. No person may designate themselves as a genetic counselor or use or assume the title "genetic associate," "genetic counselor," "licensed genetic counselor," or "registered genetic counselor, or append to the person's name the letters "G.A.," "G.C.," "L.G.C.," or "R.G.C.," or use any insignia or designation that would imply that the individual is licensed or certified or registered as a genetic counselor, or claim to render genetic counseling services unless the person is licensed by the board.

Gen Couns 2.05 Exceptions. A license to practice genetic counseling is not required for any of the following if the person does not claim to be a genetic counselor:

- (1) Any person who is lawfully practicing within the scope of a license, permit, registration, or certification granted by this state or the federal government.
- (2) Any person assisting a genetic counselor in practice under the direct, on-premises supervision of the genetic counselor.
- (3) A student of genetic counseling assisting a genetic counselor in the practice of genetic counseling if the assistance is within the scope of the student's education and training.
- (4) Pursuant to s. 448.9701 (2) (d), Stats., a person who is licensed to practice genetic counseling in another state or country and who is providing consulting services in this state on a temporary basis. The person must notify the board that they will be providing consulting services and the nature and date of those services. The person must receive authorization from the board to provide consulting services on a temporary basis prior to the beginning of the time period they are providing those consulting services.
- (5) Pursuant to s. 448.9701 (2) (e), Stats., a person who is not licensed to practice genetic counseling in another state or country and who is providing consulting services in this state on a temporary basis, if the person satisfies all of the following:
  - (a) Current certification by the American Board of Genetic Counseling or the American Board of Medical Genetics and Genomics.
  - (b) Submits notification to the board that they will be providing consulting services and the nature and date of those services.
  - (c) Receives authorization from the board to provide consulting services on a temporary basis prior to the beginning of the time period they are providing those consulting services.

## Chapter Gen Couns 3 LICENSE RENEWAL AND REINSTATEMENT

Gen Couns 3.01 License Renewal and Continuing Education. (1) A licensee shall renew their license as specified by ss. 440.03 (9) (a) and 440.08 (2) (a), Stats.

- (2) A licensee shall complete a renewal application approved by the board and return it with the required fee prior to the date specified by ss. 440.03 (9) (a) and 440.08 (2) (a), Stats.
  - Note: Instructions for renewal applications can be found on the department of safety and professional services' website at <a href="http://dsps.wi.gov">http://dsps.wi.gov</a>.
- (3) Except as provided under subsection (4) and specified by s. 440.08 (2) (a), Stats., a licensee shall attest to the completion of the following:
  - (a) At least 30 hours of continuing education approved by the National Society of Genetic Counselors.
  - (b) Current certification with the American Board of Genetic Counseling.
- (4) Section (3) does not apply to the first scheduled renewal date under s. 440.08 (2)
- (a) 37m., Stats. following the date a license is issued.
- (5) Licensees shall retain certificates of continuing education attendance for a minimum of four years to be provided to the board upon request.

Gen Couns 3.02 Reinstatement. (1) A licensee who fails for any reason to be licensed as required under this chapter may not exercise the rights or privileges conferred by any license granted by the board.

- (2) Failure to renew a license as specified in s. Gen Couns 3.01. shall cause the license to lapse. A licensee who allows the license to lapse may apply for reinstatement of the license by the board, subject to s. 440.08 (4), Stats., as follows:
  - (a) If the licensee applies for renewal of the license less than five years after its expiration, the license shall be renewed upon payment of the renewal fee.
  - (b) If the licensee applies for renewal of the license more than five years after its expiration, the board shall make an inquiry to determine whether the applicant is competent to practice under the license in this state and shall impose any reasonable conditions on the renewal of the license. This paragraph does not apply to licensees who have unmet disciplinary requirements or whose licenses have been surrendered or revoked.
- (3) A licensee who has unmet disciplinary requirements and failed to renew a license within five years of the renewal date or whose license has been surrendered or revoked may apply to have a license reinstated if the applicant provides all of the following:
  - (a) Evidence of completion of requirements under s. Gen Couns 3.02 (2) (b) if the licensee has not held an active Wisconsin license in the last five years.
  - (b) Evidence of completion of disciplinary requirements, if applicable.
  - (c) Evidence of rehabilitation or a change in circumstances, warranting reinstatement of the license.

## Chapter Gen Couns 4 PRACTICE AND SUPERVISION

**Gen Couns 4.01 Scope of Practice. (1)** "Genetic counseling," as defined in s. 448.970 (3), Stats., means to do any of the following:

- (a) Obtain and evaluate individual, family, and medical histories to determine genetic risk for genetic or medical conditions and diseases in a patient, a patient's offspring, and other family members.
- (b) Discuss the features, natural history, means of diagnosis, genetic and environmental factors, and management of risk for genetic or medical conditions and diseases.
- (c) Identify, coordinate, and order genetic laboratory tests as appropriate for genetic assessment.
- (d) Integrate genetic laboratory test results with personal and family medical history to assess and communicate risk factors for genetic or medical conditions and diseases.
- (e) Explain the clinical implications of genetic laboratory tests and other diagnostic studies and their results.
- (f) Evaluate a patient's or family's response to the condition or risk of recurrence and provide patient-centered counseling and anticipatory guidance.

(g) Provide written documentation of medical, genetic, and counseling information for families and health care professionals.

Gen Couns 4.02 Standards of Practice. Genetic counselors shall adhere to the minimum standards of practice of genetic counseling that have become established in the profession, including but not limited to the following areas:

- (1) REFERRALS. Referrals are not required but may be accepted from licensed health care professionals. Patients may also self-refer for genetic counseling services.
- (2) INFORMED CONSENT. (a) Any genetic counselor who provides services to a patient shall inform the patient about the availability of reasonable alternatives and about the benefits and risks of these options. The reasonable genetic counselor standard is the standard for informing a patient under this section. The reasonable genetic counselor standard requires disclosure only of information that a reasonable genetic counselor would know and disclose under the circumstances.
  - (b) The genetic counselor's duty to inform the patient under sub. (a) does not require disclosure of any of the following:
- 1. Detailed technical information that in all probability the patient would not understand.
  - 2. Risks apparent or known to the patient.
- 3. Extremely remote possibilities that might falsely or detrimentally alarm the patient.
  - 4. Information in emergencies where failure to provide information would be more harmful to the patient than not giving information.
  - 5. Information in cases where the patient is incapable of consenting.
  - 6. Information about reasonable alternatives for any condition the genetic counselor has not included in their diagnosis at the time the genetic counselor informs the patient.
  - (c) A genetic counselor's patient record shall include documentation that reasonable alternatives have been communicated to the patient and informed consent has been obtained from the patient as required under sub. Gen Couns 4.02 (2) (a).
- (3) RECORDKEEPING. (a) When patient healthcare records are not maintained by a separate entity, a genetic counselor shall ensure patient health care records are maintained on every patient for a period of not less than 10 years after the date of the last entry, or for a longer period as may be otherwise required by law.
  - (b) A patient health care record shall contain all of the following clinical health care information which applies to the patient's medical condition:
    - 1. Pertinent patient history.
    - 2. Pertinent objective findings related to examination and test results.
    - 3. Assessment or diagnosis.
    - 4. Recommendations for the patient.
  - (c) Each patient health care record entry shall be dated, shall identify the genetic counselor, and shall be sufficiently legible to allow interpretation by other healthcare practitioners.

**Gen Couns 4.03 Supervision. (1)** TEMPORARY LICENSEES. A person with a temporary license authorized in s. Gen Couns 2.02 shall practice under the supervision of a licensed

- genetic counselor or physician. The supervising licensed genetic counselor or physician shall be available to the temporary licensee either in-person or via electronic contact methods at all times while performing genetic counseling services.
- (2) UNLICENSED ASSISTANTS. An unlicensed person assisting in the practice of a licensed genetic counselor shall practice under the supervision of that licensed genetic counselor. The supervising licensed genetic counselor or physician shall be available to the unlicensed assistant either in-person or via virtual supervision methods at all times while assisting in the practice of genetic counseling. Supervisory duties for unlicensed assistants may not be delegated to other staff unless they are also licensed as a genetic counselor or a physician.
- (3) GENETIC COUNSELING STUDENTS. A genetic counseling student may practice under the supervision of a licensed genetic counselor or physician as long as that practice is within the scope that student's genetic counseling education and training. The supervising licensed genetic counselor or physician shall be available to the genetic counseling student either in-person or via immediate virtual supervision methods. Genetic counseling students shall be supervised at all times while present in the facility they are practicing in.

# Chapter Gen Couns 5 UNPROFESSIONAL CONDUCT

**Gen Couns 5.01 Unprofessional Conduct.** "unprofessional conduct" includes the following, or aiding or abetting the same:

- (1) Violating or attempting to violate subch. VIII of ch. 448, Stats., or any provision of a rule or order of the board.
- (2) Knowingly making or presenting or causing to be made or presented any false, fraudulent, or forged statement, writing, certificate, diploma, or other item in connection with any application for licensure.
- (3) Practicing fraud, forgery, deception, collusion, or conspiracy in connection with any examination for licensure.
- (4) Engaging in false, fraudulent, deceptive or misleading billing practices.
- (5) Giving, selling, buying, bartering, or attempting to give, sell, buy, or barter any license.
- (6) Engaging or attempting to engage in practice under any license under any given name or surname other than that under which originally licensed to practice in this or any other state. This subsection does not apply to change of name resulting from marriage, divorce, or order by a court of record.
- (7) Any practice or conduct which may constitute a danger to the health, welfare, or safety of a patient or public.
- (8) Practicing or attempting to practice under any license when unable to do so with reasonable skill and safety to patients.
- (9) Practicing or attempting to practice under any license beyond the scope of that license.
- (10) Offering, undertaking, or agreeing to treat or cure a disease or condition by a secret means, method, device, or instrumentality or refusing to divulge to the board upon

demand the means, method, device, or instrumentality used in the treatment of a disease or condition.

- (11) Representing that a manifestly incurable disease or condition may be or will be permanently cured; or that a curable disease or condition can be cured within a stated time, if this is not the case.
- (12) Knowingly making any false statement, written or oral, in practicing under any license, with fraudulent intent; or obtaining or attempting to obtain any professional fee or compensation by any form of fraud or deceit.
- (13) Willfully divulging a privileged communication or confidence entrusted by a patient or deficiencies in the character of the patient observed in the course of professional attendance, unless lawfully required to do so.
- (14) Engaging in uninvited, in-person solicitation of actual or potential patients who, because of their particular circumstances, are vulnerable to undue influence; or engaging in false, misleading or deceptive advertising.
- (15) Having a license or other credential granted by another state or any agency of the federal government which becomes limited, restricted, suspended, or revoked, or having been subject to other adverse action by a state licensing authority or any agency of the federal government including the denial or limitation of an original credential, or the surrender of a credential, whether or not accompanied by findings of negligence or unprofessional conduct.
- (16) Conviction of any crime the circumstances of which substantially relate to the practice of genetic counseling. A certified copy of judgement of a court record showing such conviction, within this state or without, shall be presumptive evidence.
- (17) Aiding or abetting the unlicensed practice of genetic counseling.
- (18) Violating or aiding and abetting the violation of any law or administrative rule or regulation the circumstances of which substantially relate to the practice of genetic counseling.
- (19) Failing to report to the board or to institutional supervisory personnel any violation of the rules of this chapter by a licensee.
- (20) Engaging in inappropriate sexual contact, exposure, gratification, or other sexual behavior with or in the presence of a patient. For purposes of this subsection, an adult receiving genetic counseling services shall continue to be a patient for 2 years after the termination of professional services. If the person receiving genetic counseling services is a minor, the person shall continue to be a patient for the purposes for this subsection for 2 years after the termination of services, or for 2 years after the client reaches 18 years of age, whichever is longer.
- (21) Discriminating on the basis of age, race, color, biological sex, gender, gender identity, religion, creed, national origin, ancestry, ethnicity, disability or sexual orientation by means of service provided or denied.

SECTION 2. EFFECTIVE DATE.	This emergency rule shall	l take effect upon p	ublication in
the official state newspaper.			

(END OF TEXT OF RULE)	

A Code of Ethics is a document which attempts to clarify and guide the conduct of a professional so that the goals and values of the profession might best be served.

## Preamble

Genetic counselors are health professionals with specialized education, training, and experience in medical genetics and counseling. The National Society of Genetic Counselors (NSGC) is the leading voice, authority and advocate for the genetic counseling profession. Through this code of ethics, the NSGC affirms the ethical responsibilities of its members. NSGC members are expected to be aware of the ethical implications of their professional actions and work to uphold and adhere to the guidelines and principles set forth in this code.

## Introduction

A code of ethics is a document that attempts to clarify and guide the conduct of a professional so that the goals and values of the profession are best served. The NSGC Code of Ethics is based upon the distinct relationships genetic counselors have with 1) themselves, 2) their clients, 3) their colleagues, and 4) society. Each section of this code begins with an explanation of the relevant relationship, along with the key values and characteristics of that relationship. These values are drawn from the ethical principles of autonomy, beneficence, nonmaleficence and justice, and they include the professional principles of fidelity, veracity, integrity, dignity and accountability.

No set of guidelines can provide all the assistance needed in every situation, especially when different values appear to conflict. In certain areas, some ambiguity remains, allowing for the judgement of the genetic counselor(s) involved to determine how best to respond to difficult situations.

## Section I: Genetic Counselors Themselves

Genetic counselors value professionalism, competence, integrity, objectivity, veracity, dignity, accountability and self-respect in themselves as well as in each other. Therefore, genetic counselors work to:

- 1. Seek out and acquire balanced, accurate and relevant information required for a given situation.
- 2. Continue their education and training to keep abreast of relevant guidelines, regulations, position statements, and standards of genetic counseling practice.
- 3. Work within their scope of professional practice and recognize the limits of their own knowledge, expertise, and competence.
- 4. Accurately represent their experience, competence, and credentials, including academic degrees, certification, licensure, and relevant training.
- 5. Identify and adhere to institutional and professional conflict of interest guidelines and develop mechanisms for avoiding or managing real or perceived conflict of interest when it arises
- 6. Acknowledge and disclose to relevant parties the circumstances that may interfere with or influence professional judgment or objectivity, or may otherwise result in a real or perceived conflict of interest.
- 7. Assure that institutional or professional privilege is not used for personal gain.
- 8. Be responsible for their own physical and emotional health as it impacts their professional judgment and performance, including seeking professional support, as needed.

## Section II: Genetic Counselors and Their Clients

The counselor-client relationship is based on values of care and respect for the client's autonomy, individuality, welfare, and freedom in clinical and research interactions. Therefore, genetic counselors work to:

- 1. Provide genetic counseling services to their clients within their scope of practice regardless of personal interests or biases, and refer clients, as needed, to appropriately qualified professionals.
- 2. Clarify and define their professional role(s) and relationships with clients, disclose any real or perceived conflict of interest, and provide an accurate description of their services.
- 3. Provide genetic counseling services to their clients regardless of their clients' abilities, age, culture, religion, ethnicity, language, sexual orientation and gender identity.
- 4. Enable their clients to make informed decisions, free of coercion, by providing or illuminating the necessary facts, and clarifying the alternatives and anticipated consequences.
- 5. Respect their clients' beliefs, inclinations, circumstances, feelings, family relationships, sexual orientation, religion, gender identity, and cultural traditions.
- 6. Refer clients to an alternate genetic counselor or other qualified professional when situations arise in which a genetic counselor's personal values, attitudes and beliefs may impede his or her ability to counsel a client.
- 7. Maintain the privacy and security of their client's confidential information and individually identifiable health information, unless released by the client or disclosure is required by law.
- 8. Avoid the exploitation of their clients for personal, professional, or institutional advantage, profit or interest.

## Section III: Genetic Counselors and Their Colleagues

The genetic counselors' professional relationships with other genetic counselors, trainees, employees, employers and other professionals are based on mutual respect, caring, collaboration, fidelity, veracity and support. Therefore, genetic counselors work to:

- 1. Share their knowledge and provide mentorship and guidance for the professional development of other genetic counselors, employees, trainees and colleagues.
- 2. Respect and value the knowledge, perspectives, contributions, and areas of competence of colleagues, trainees and other professionals.
- 3. Encourage ethical behavior of colleagues.
- 4. Assure that individuals under their supervision undertake responsibilities that are commensurate with their knowledge, experience and training.
- 5. Maintain appropriate boundaries to avoid exploitation in their relationships with trainees, employees, employers and colleagues.
- 6. Take responsibility and credit only for work they have actually performed and to which they have contributed
- 7. Appropriately acknowledge the work and contributions of others.
- 8. Make employers aware of genetic counselors' ethical obligations as set forth in the NSGC Code of Ethics.

## Section IV: Genetic Counselors and Society

The relationships of genetic counselors with society include interest and participation in activities that have the purpose of promoting the well-being of society and access to genetic services and health care. These relationships are based on the principles of veracity, objectivity and integrity. Therefore, genetic counselors, individually or through their professional organizations, work to:

1. Promote policies that aim to prevent genetic discrimination and oppose the use of genetic information as a basis for discrimination.

- 2. Serve as a source of reliable information and expert opinion on genetic counseling to employers, policymakers, payers, and public officials. When speaking publically on such matters, a genetic counselor should be careful to separate their personal statements and opinions made as private individuals from statements made on behalf of their employers or professional societies.
- 3. Participate in educating the public about the development and application of technological and scientific advances in genetics and the potential societal impact of these advances.
- 4. Promote policies that assure ethically responsible research in the context of genetics.
- 5. Adhere to applicable laws and regulations. However, when such laws are in conflict with the principles of the profession, genetic counselors work toward change that will benefit the public interest.

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